

**ATTACHMENT “A”**

**CITY OF LAKE FOREST  
LOCAL GUIDELINES  
FOR IMPLEMENTING THE  
CALIFORNIA ENVIRONMENTAL QUALITY ACT**

**March 2011**

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## **1) GENERAL PROVISIONS, PURPOSE AND POLICY**

### **a) AUTHORITY AND RELATIONSHIP TO STATE GUIDELINES**

These procedures are adopted to implement the California Environmental Quality Act (“CEQA”), Public Resources Code Section 21000 et seq., and the State CEQA Guidelines (“State Guidelines”), 14 California Code of Regulations Section 15000 et seq. The procedures established herein implement and tailor the general provisions of the State Guidelines to the specific operations of the City of Lake Forest (“City”). These Local Guidelines are intended to supplement the State Guidelines. If any section of these Local Guidelines is in conflict with or contrary to any provision of CEQA as it now exists or may be amended hereafter, CEQA shall control.

In these Local Guidelines, any procedures applicable to “Negative Declarations” shall also apply to “Mitigated Negative Declarations,” except to the extent special procedures are expressly made applicable for Mitigated Negative Declarations hereunder.

All time periods set out herein shall be calendar days unless otherwise indicated.

### **b) PURPOSE**

The purpose of these Local Guidelines is to help the City accomplish the following basic objectives of CEQA:

- (1) To enhance and provide long-term protection for the environment, while providing a decent home and satisfying living environment for every Californian.
- (2) To provide information to governmental decision-makers and the public regarding the potential significant environmental effects of the proposed project.
- (3) To provide an analysis of the environmental effects of future actions associated with the project to adequately apprise all interested parties of the true scope of the project for intelligent weighing of the environmental consequences of the project.
- (4) To identify ways that environmental damage can be avoided or significantly reduced.
- (5) To prevent significant avoidable environmental damage through utilization of feasible project alternatives or mitigation measures.
- (6) To disclose and demonstrate to the public the reasons why a governmental agency approved the project in the manner chosen. Public participation is an essential part of the CEQA process. Each public agency should encourage wide public involvement, formal and informal, in order to receive and evaluate public reactions to environmental issues related to a public agency’s activities. Such involvement should include, whenever possible, making environmental information available in electronic format on the Internet, on a web site maintained or utilized by the public agency.

**c) APPLICABILITY**

These Guidelines apply to any activity of the City which constitutes a “project” as defined in Guidelines Section 10.44 and/or to any activity for which the City is a Responsible Agency. These Guidelines are also intended to assist the City in determining whether a proposed activity does not constitute a project triggers CEQA review, or whether the activity is exempt from CEQA.

An Environmental Impact Report (“EIR”) is required for each such project which may have a significant effect on the environment. When the City finds that a project will have no significant environmental effect, a Negative Declaration or Mitigated Negative Declaration rather than an EIR shall be prepared.

An EIR serves several functions for the benefit of the City and the public. An EIR: (1) identifies and analyzes the significant environmental effects of a proposed project; (2) identifies alternatives to the project; and (3) discloses possible ways to reduce or avoid potential environmental damage. These matters are to be evaluated by the City before the project is approved or disapproved.

The EIR is an informational document. It should not be used to rationalize approval of a project. CEQA requires that decisions be informed and balanced. It must not be subverted into an instrument for the oppression and delay of social, economic, or recreational development or advancement. Indications of adverse environmental impacts from the project which are identified in the EIR do not necessarily require disapproval of a project. Rather, when an EIR shows that a project would cause substantial adverse changes in the environment, the City must respond to the information by one or more of the following methods:

- (1) Changing the proposed project;
- (2) Imposing conditions on the approval of the project;
- (3) Adopting plans or ordinances to control a broader class of activities to avoid the problems;
- (4) Choosing an alternative way of meeting the same need;
- (5) Disapproving the project; or
- (6) Finding that the unavoidable, significant environmental damage is acceptable pursuant to a Statement of Overriding Considerations.

Although CEQA requires that major consideration be given to preventing environmental damage, the City also has an obligation to balance other public objectives for each project including economic and social factors.

**d) DELEGATION OF RESPONSIBILITY TO DEVELOPMENT SERVICES DIRECTOR**

The Development Services Director (“Director”) or designee shall be responsible for the following CEQA functions:

- (a) Determining whether a project is exempt;
- (b) Conducting an Initial Study, and deciding whether to prepare a Negative Declaration or Draft Environmental Impact Report (“EIR”);

- (c) Preparing a Negative Declaration or Draft EIR;
- (d) Determining that a Negative Declaration has been completed within a period of one-hundred and five (105) days or an EIR within a period of one (1) year from the date when the City accepted an application as complete;
- (e) Preparing responses to comments on environmental documents;
- (f) Filing notices required or authorized by CEQA, the State Guidelines, or this Resolution;
- (g) Consulting with and obtaining comments from other public agencies and the public;
- (h) Assuring adequate opportunity and time for public review and public commentary;
- (i) Developing procedures for a mitigation monitoring and reporting program for those mitigation measures imposed as conditions of approval in order to reduce or eliminate a significant environmental effect; and,
- (j) Determining whether an EIR is required to be recirculated or whether to prepare a Subsequent EIR or an Addendum to an EIR or a Subsequent Negative Declaration or an Addendum to a Negative Declaration.

**e) REDUCING DELAY AND PAPERWORK**

The State Guidelines encourage local governmental agencies to reduce delay and paperwork by, among other things:

- (1) Integrating the CEQA process into early planning review; to this end, the project approval process and these procedures, to the maximum extent feasible, are to run concurrently, not consecutively;
- (2) Identifying projects which fit within categorical or other exemptions and are therefore exempt from CEQA processing;
- (3) Using initial studies to identify significant environmental issues and to narrow the scope of EIRs;
- (4) Using a Negative Declaration when a project not otherwise exempt will not have a significant effect on the environment;
- (5) Consulting with state and local responsible agencies before and during the preparation of an EIR so that the document will meet the needs of all the agencies which will use it;
- (6) Allowing applicants to revise projects to eliminate possible significant effects on the environment, thereby enabling the project to qualify for a Negative Declaration rather than an EIR;
- (7) Integrating CEQA requirements with other environmental review and consultation requirements;
- (8) Emphasizing consultation before an EIR is prepared, rather than submitting adverse comments on a completed document;
- (9) Combining environmental documents with other documents, such as general plans;
- (10) Eliminating repetitive discussions of the same issues by using EIRs on programs, policies or plans and tiering from statements of broad scope to those of narrower scope;
- (11) Reducing the length of EIRs by means such as setting appropriate page limits;
- (12) Preparing analytic, rather than encyclopedic EIRs;

- (13) Mentioning insignificant issues only briefly;
- (14) Writing EIRs in plain language;
- (15) Following a clear format for EIRs;
- (16) Emphasizing the portions of the EIR that are useful to decision-makers and the public and reducing emphasis on background material;
- (17) Incorporating information by reference; and
- (18) Making comments on EIRs as specific as possible.

**f) TERMINOLOGY**

The terms “must” or “shall” identify mandatory requirements. The terms “may” and “should” are permissive, with the particular decision being left to the discretion of the City.

**g) PARTIAL INVALIDITY**

In the event any part or provision of these Guidelines shall be determined to be invalid, the remaining portions which can be separated from the invalid unenforceable provisions shall continue in full force and effect.

**h) REQUESTS FOR NOTICES**

Individuals may file a written request to receive copies of public notices provided under these Guidelines or the State Guidelines. The requestor may elect to receive these notices via email rather than regular mail. Notices sent by email are deemed delivered when the staff person sending the email sends it directed to the last email address provided by the requestor to the public agency. The City may require requests for notices to be renewed annually.

Individuals may also submit comments on the CEQA documentation for a project via email. Comments submitted via email shall be treated as written comments for all purposes. Comments sent to the public agency via email are deemed received when they actually arrive in an email account of a staff person who has been designated or identified as the point of contact for a particular project.

Whenever a member of the public files a written request with the City Clerk to receive copies of notices prepared pursuant to Public Resources Code Sections 21080.4 (Notice of Determination), 21092 (Notice of Determination), 21152 (Notice of Approval or Determination), the Director shall cause a copy of said Notice to be mailed to such individual. A “written request” shall be defined to include the payment of a fee as set by Resolution of the City Council. Unless a fee is not set by Resolution of the City Council, no written request for notice shall be valid unless and until the fee is paid. All written requests shall be valid for one (1) calendar year and may be renewed annually by submitting a new written request and payment of the fee set by Resolution of the City Council. The provisions of Public Resources Code Section 21092.2 relative to substantial compliance shall be applicable to this Section. The Director may mail a Proof of Service of Mailing when mailing a Notice of Determination to a person making a request for a Notice of Determination to establish proof of mailing for purposes of starting the statute of limitations pursuant to Public Resources Code Section 21167(f).

**i) THE CITY MAY CHARGE REASONABLE FEES FOR REPRODUCING ENVIRONMENTAL DOCUMENTS**

A public agency may charge and collect a reasonable fee from members of the public that request a copy of an environmental document, so long as the fee does not exceed the cost of reproduction. The kinds of “environmental documents” that CEQA specifically allows public agencies to seek reimbursement for includes: initial studies, negative declarations, mitigated negative declarations, draft and final EIRs, and documents prepared as a substitute for an EIR, negative declaration, or a mitigated negative declaration.

In response to a public request for an environmental document, the public agency has the option to provide the document in hard copy form or electronically. Thus, the public agency may choose to make documents available to the public-at-large on the agency’s website or charge a reasonable fee for reproducing the document in hard-copy form, on compact discs, email attachments, or other digital transfers.

**j) STATE AGENCY FURLONGHS**

Due to budget concerns, the State may institute mandatory furlough days for state government agencies. Local agencies may also change their operating hours.

Because state and local agencies may enact furloughs that limit their operating hours, if the City has time sensitive materials or needs to consult with a state agency, the City should check with the applicable state agency office or with the City’s attorney to ensure compliance with all applicable deadlines.

## **2) LEAD AND RESPONSIBLE AGENCIES**

### **a) LEAD AGENCY PRINCIPLE**

The City will be the Lead Agency if it will have principal responsibility for carrying out or approving a project. Where a project is to be carried out or approved by more than one public agency, only one agency shall be responsible for the preparation of environmental documents. This agency shall be called the Lead Agency.

### **b) SELECTION OF LEAD AGENCY**

Where two or more public agencies will be involved with a project, the Lead Agency shall be designated according to the following criteria:

- (1) If the project will be carried out by a public agency, that agency shall be the Lead Agency even if the project will be located within the jurisdiction of another public agency.
- (2) If the project will be carried out by a nongovernmental person or entity, the Lead Agency shall be the public agency with the greatest responsibility for supervising and approving the project as a whole. The Lead Agency will normally be the agency with general governmental powers, rather than an agency with a single or limited purpose. (For example, a district which will provide a public service or utility to the project serves a limited purpose.) If two or more agencies meet this criteria equally, the agency which acts first on the project will be the Lead Agency.

If two or more public agencies have a substantial claim to be the Lead Agency under either (1) or (2), they may designate one agency as the Lead Agency by agreement. An agreement may also provide for cooperative efforts by contract, joint exercise of powers, or similar devices. If the agencies cannot agree which agency should be the Lead Agency for preparing the environmental document, any of the disputing public agencies or the project applicant may submit the dispute to the Office of Planning and Research. Within 21 days of receiving the request, the Office of Planning and Research will designate the Lead Agency. The Office of Planning and Research shall not designate a Lead Agency in the absence of a dispute. A “dispute” means a contested, active difference of opinion between two or more public agencies as to which of those agencies shall prepare any necessary environmental document. A dispute exists when each of those agencies claims that it either has or does not have the obligation to prepare that environmental document.

### **c) DUTIES OF A LEAD AGENCY**

As a Lead Agency, the City shall decide whether a Negative Declaration, Mitigated Negative Declaration or an EIR will be required for a project and shall prepare, or cause to be prepared, and consider the document before making its decision on whether and how to approve the project. The documents may be prepared by Staff or by private consultants pursuant to a contract with the City. However, the City shall independently review and analyze all draft and final EIRs or Negative Declarations prepared for a project and shall find that the EIR or Negative

Declaration reflects the independent judgment of the City prior to approval of the document. If a DEIR or Final EIR is prepared under a contract to the City, the contract must be executed within forty-five (45) days from the date on which the City sends a Notice of Preparation.

During the process of preparing an EIR, the City shall have the following duties:

- (1) Immediately after deciding that an EIR is required for a project, the City shall send to the Office of Planning and Research and each Responsible Agency a Notice of Preparation (Form "G") stating that an EIR will be prepared. (See Guidelines Section 7(e).)
- (2) The City shall prepare or cause to be prepared the DEIR for the project. (See Guidelines Section 7(g).)
- (3) Once the DEIR is completed, the City shall file a Notice of Completion (Form "H") with the Office of Planning and Research. (See Guidelines Section 7(u).)
- (4) The City shall consult with state, federal and local agencies which exercise authority over resources which may be affected by the project for their comments on the completed DEIR. (See Guidelines Section 7(v).)
- (5) The City shall provide public notice of the availability of a DEIR (Form "K") at the same time that it sends a Notice of Completion to the Office of Planning and Research. (See Guidelines Section 7(u).)
- (6) The City shall evaluate comments on environmental issues received from persons who reviewed the DEIR and shall prepare or cause to be prepared a written response to all comments that raise significant environmental issues and that were timely received during the public comment period. A written response must be provided to all public agencies who commented on the project during the public review period at least ten (10) days prior to certifying an EIR. (See Guidelines Section 7(aa).)
- (7) The City shall prepare or cause to be prepared a Final EIR before approving the project. (See Guidelines Section 7(bb).)
- (8) The City shall certify that the Final EIR has been completed in compliance with CEQA and has been reviewed by the City Council. (See Guidelines Section 7(dd).)
- (9) The City shall include in the Final EIR any comments received from a Responsible Agency on the Notice of Preparation or the DEIR. (See Guidelines Sections 7(h), 7(aa) and 7(bb).)

As set forth in the Lake Forest Municipal Code, the City has charged non-elected bodies such as the Planning Commission with the responsibility of making the decisions on certain projects, and, hence, the environmental findings for those projects. The CEQA decisions of any non-elected body may be appealed to the City Council. The procedures of Section 2.04.100, et seq., of the Lake Forest Municipal Code shall govern these appeals, except that the time limit for filing an the appeal shall be ten (10) days after the decision making body's CEQA determination.

**d) PROJECTS RELATING TO DEVELOPMENT OF HAZARDOUS WASTE AND OTHER SITES**

An applicant for a development project must submit a signed statement to the City stating whether the project and any alternatives are located on a site which is included in any list compiled by the Secretary for Environmental Protection of the California Environmental Protection Agency ("California EPA") listing hazardous waste sites and other specified sites located in the City's boundaries. The applicant's statement must contain the following information:

- (1) The applicant's name, address, and phone number.
- (2) Address of site, and local agency (city/county).
- (3) Assessor's book, page, and parcel number.
- (4) The list which includes the site, identification number, and date of list.

Before accepting as complete an application for any development project, the City shall consult lists compiled by the Secretary for Environmental Protection of the California EPA pursuant to Government Code Section 65962.5 listing hazardous waste sites and other specified sites located in the City's boundaries. When acting as Lead Agency, the City shall notify an applicant for a development project if the project site is located on such a list and not already identified. In the Notice of Intent to Adopt a Negative Declaration or Mitigated Negative Declaration (see Guidelines Section 6(d)) or the Notice of Preparation of DEIR (see Guidelines Section 7(e)), the City shall specify the California EPA list, if any, which includes the project site, and shall provide the information contained in the applicant's statement.

This provision applies only to projects for which applications have not been deemed complete on or before January 1, 1992.

**e) RESPONSIBLE AGENCY PRINCIPLE**

When a project is to be carried out or approved by more than one public agency, all public agencies other than the Lead Agency which have discretionary approval power over the project shall be identified as Responsible Agencies.

**f) DUTIES OF A RESPONSIBLE AGENCY**

When it is identified as a Responsible Agency, the City shall consider the environmental documents prepared or caused to be prepared by the Lead Agency and reach its own conclusions on whether and how to approve the project involved. The City shall also both respond to consultation and attend meetings as requested by the Lead Agency to assist the Lead Agency in preparing adequate environmental documents. The City should also review and comment on DEIRs and Negative Declarations. Comments shall be limited to those project activities which are within the City's area of expertise or are required to be carried out or approved by the City or are subject to the City's powers.

As a Responsible Agency, the City may identify significant environmental effects of a project for which mitigation is necessary. As a Responsible Agency, the City may submit to the Lead Agency proposed mitigation measures which would address those significant



environmental effects. If mitigation measures are required, the City should submit to the Lead Agency complete and detailed performance objectives for such mitigation measures which would address the significant environmental effects identified, or refer the Lead Agency to appropriate, readily available guidelines or reference documents. Any mitigation measures submitted to the Lead Agency by the City, when acting as a Responsible Agency, shall be limited to measures which mitigate impacts to resources that are within the City's authority. For private projects, the City, as a Responsible Agency, may require the project proponent to provide such information as may be required and to reimburse the City for all costs incurred by it in reporting to the Lead Agency.

**g) RESPONSE TO NOTICE OF PREPARATION BY RESPONSIBLE AGENCIES**

Within thirty (30) days of receipt of a Notice of Preparation of an EIR, the City, as a Responsible Agency, shall specify to the Lead Agency the scope and content of the environmental information related to the City's area of statutory responsibility in connection with the proposed project. At a minimum, the response shall identify the significant environmental issues and possible alternatives and mitigation which the City, as a Responsible Agency, will need to have explored in the DEIR. Such information shall be specified in writing, shall be as specific as possible, and shall be communicated to the Lead Agency, by certified mail or any other method of transmittal which provides it with a record that the notice was received, not later than thirty (30) days after receipt of the notice of the Lead Agency's determination. The Lead Agency shall incorporate this information into the EIR.

**h) USE OF FINAL EIR OR NEGATIVE DECLARATION BY RESPONSIBLE AGENCIES**

The City, as a Responsible Agency, shall consider the Lead Agency's Final EIR or Negative Declaration before acting upon or approving a proposed project. As a Responsible Agency, the City must independently review and consider the adequacy of the Lead Agency's environmental documents prior to approving any portion of the proposed project. In certain instances the City, in its role as a Responsible Agency, may require that a Subsequent EIR or a Supplemental EIR be prepared to fully address those aspects of the project over which the City has approval authority. Mitigation measures and alternatives deemed feasible and relevant to the City's role in carrying out the project shall be adopted. Findings which are relevant to the City's role as a Responsible Agency shall be made. After the City decides to approve or carry out part of a project for which an EIR or negative declaration has previously been prepared by the Lead Agency, the City, as Responsible Agency, should file a Notice of Determination with the County Clerk within five (5) days of approval, but need not state that the Lead Agency's EIR or Negative Declaration complies with CEQA. The City, as Responsible Agency should state that it considered the EIR or Negative Declaration as prepared by a Lead Agency.

**i) SHIFT IN LEAD AGENCY RESPONSIBILITIES**

The City, as a Responsible Agency, shall assume the role of the Lead Agency if any one of the following three conditions is met:

- (1) The Lead Agency did not prepare any environmental documents for the project, and the statute of limitations has expired for a challenge to the action of the appropriate Lead Agency.
- (2) The Lead Agency prepared environmental documents for the project, and all of the following conditions occur:
  - (i) A Subsequent or Supplemental EIR is required;
  - (ii) The Lead Agency has granted a final approval for the project; and
  - (iii) The statute of limitations has expired for a challenge to the action of the appropriate Lead Agency.
- (3) The Lead Agency prepared inadequate environmental documents without providing public notice of a Negative Declaration or sending Notice of Preparation of an EIR to Responsible Agencies and the statute of limitations has expired for a challenge to the action of the appropriate Lead Agency.

**j) PRELIMINARY REVIEW FOR COMPLETENESS**

The Director shall determine whether an application for a permit or other entitlement for use is complete and shall notify the applicant in writing within thirty (30) days from the receipt of the application. If the application is incomplete, the notice shall list and thoroughly describe the specific information required to complete the application. If no written determination of the completeness of the application is made within the thirty (30) day period, the application will be deemed complete on the thirtieth (30th) day. Upon resubmittal of the application, a new thirty (30) day period shall begin.

If the application and materials are determined to be incomplete, within fifteen (15) days of the date of the notice, the applicant may appeal the decision in writing to the Planning Commission. The Planning Commission shall issue a written determination within forty-five (45) days after receipt of the written appeal. The determination of the Planning Commission shall be final.

### **3) ACTIVITIES EXEMPT FROM CEQA**

#### **a) ACTIONS SUBJECT TO CEQA**

The requirements of CEQA apply to all discretionary projects which may have a significant effect on the environment. In particular, CEQA applies to discretionary private projects that are carried out, approved, or financed by a public agency. Possible exemptions from CEQA include the following:

- (1) The activity is not a project;
- (2) The project is statutorily exempt pursuant to Article 18 of the State Guidelines;
- (3) The project is categorically exempt pursuant to Article 19 of the State Guidelines;
- (4) It can be established with certainty that there is no possibility that the activity may have a significant effect on the environment; or
- (5) The project will be rejected or disapproved by the City.

#### **b) ACTIONS NOT SUBJECT TO CEQA**

If the proposed activity does not come within the definition of “project” contained in Guidelines Section 10.44, it is not subject to CEQA review.

“Project” does not include:

- (1) Proposals for legislation to be enacted by the State Legislature;
- (2) Continuing administrative or maintenance activities, such as purchases for supplies, personnel-related actions, and general policy and procedure making;
- (3) The submittal of proposals to a vote of the people in response to a petition drive initiated by voters, or the enactment of a qualified voter-sponsored initiative under California Constitution Art. II, Section 11(a) and Election Code Section 9214;
- (4) The creation of government funding mechanisms or other government fiscal activities that do not involve any commitment to any specific project which may have a potentially significant physical impact on the environment. Government funding mechanisms may include, but are not limited to, assessment districts and community facilities districts;
- (5) Organizational or administrative activities of governments that will not result in direct or indirect physical changes in the environment; and
- (6) Activities that do not result in a direct or reasonably foreseeable indirect physical change in the environment.

#### **c) MINISTERIAL ACTIONS**

Ministerial actions are not subject to CEQA review. A ministerial action is one that is approved or denied by a decision which a public official or a public agency makes that involves only the use of fixed standards or objective measurements without personal judgment or

discretion. Ministerial actions are not subject to the requirements of CEQA and no environmental documents are required. The following is a non-exclusive list of actions which the City Council has determined to be ministerial in nature:

- (i) Approval of final subdivision and parcel maps;
- (ii) Approval of individual utility service connections and disconnections;
- (iii) Issuance of health regulatory licenses;
- (iv) Issuance of permits to install individual sewage disposal systems; and,
- (v) The issuance of a building permit shall be deemed a ministerial act if the Community Development and Building Departments exercise no discretion in the issuance of the building permit. If the permit involves both discretionary and ministerial actions, the permit shall not be deemed a ministerial act.

When a project involves an approval that contains elements of both a ministerial and discretionary nature, the project will be deemed to be discretionary and subject to the requirements of CEQA.

#### **d) EXEMPTIONS IN GENERAL**

CEQA and the State Guidelines exempt certain activities and provide that local agencies should further identify and describe certain exemptions. The requirements of CEQA and the obligation to prepare an EIR, Negative Declaration or Mitigated Negative Declaration do not apply to the exempt activities which are set forth in CEQA, the State Guidelines and this Chapter.

If it is determined that a project is exempt, then, after approval of the project, the Director may cause a Notice of Exemption to be filed in the form and manner required by the State Guidelines. (See Form “A”, “Preliminary Exemption Assessment”; Form “B”, “Notice of Exemption”.) The notice may be filed with the County Clerk of the County of Orange.

#### **e) PRELIMINARY EXEMPTION ASSESSMENT**

As part of the preliminary review of an application for a project, the Director shall determine whether a particular activity is exempt from CEQA. If the Director determines that an activity is exempt from CEQA, the Director should so find on the form entitled “Preliminary Exemption Assessment” (Form “A”) and should issue a Notice of Exemption (Form “B”). If prepared, the Preliminary Exemption Assessment shall be retained at City Hall as a public record.

**f) NOTICE OF EXEMPTION**

After City approval of an exempt project, a “Notice of Exemption” (Form “B”) may be filed by the Director, or his or her designee, with the Clerk. If City exempts an agricultural housing, affordable housing, or residential infill project under State Guidelines Sections 15193, 15194 or 15195 and the City approves or determines to carry out that project, it must file a notice with the Office of Planning and Research (“OPR”) identifying the exemption. The Preliminary Exemption Assessment shall be attached to the Notice of Exemption for filing. If filed, the Clerk must post the Notice within twenty-four (24) hours of receipt, and the Notice must remain posted for thirty (30) days. Although no California Department of Fish and Game (“DFG”) filing fee is applicable to exempt projects, most counties customarily charge a documentary handling fee to pay for record keeping on behalf of the DFG. Refer to the Index in the Staff Summary to determine if such a fee will be required for the project.

The filing of a Notice of Exemption is recommended for City actions because it starts a 35-day statute of limitations on legal challenges to the City’s determination that the activity is exempt from CEQA. The City is encouraged to make postings of all filed notices available in electronic format on the Internet. These electronic postings are in addition to the procedures required by the State Guidelines and the Public Resources Code. If a Notice of Exemption is not filed, a 180-day statute of limitations will apply.

When a request is made for a copy of the Notice prior to the date on which the City determines the project is exempt, the Notice must be mailed, first class postage prepaid, within five (5) days after the City’s determination. If such a request is made following the City’s determination, then the copy should be mailed in the same manner as soon as possible.

**g) DISAPPROVED PROJECTS**

Projects which the City rejects or disapproves are exempt. An applicant shall not be relieved of paying the costs for an EIR or Negative Declaration prepared for a project prior to the City’s disapproval of the project.

**h) PROJECTS WITH NO POSSIBILITY OF SIGNIFICANT EFFECT**

Where it can be seen with absolute certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is exempt.

**i) SPECIFIC STATUTORY EXEMPTIONS**

CEQA and the State Guidelines exempt many other specific activities, including early activities related to thermal power plants, certain types of ongoing projects, transportation improvement programs, family day care homes, congestion management programs, railroad grade separation projects, restriping of streets or highways to relieve traffic congestion, and hazardous or volatile liquid pipelines. Specific statutory exemptions are listed in the Public Resources Code, including Sections 21080 through 21080.33, and in the State Guidelines, including Sections 15260 through 15285.

## **j) BALLOT MEASURES**

The definition of project in the State Guidelines specifically excludes the submittal of proposals to a vote of the people of the state or of a particular community. This exemption does not apply to the public agency who sponsors the initiative. When a governing body makes a decision to put a measure on the ballot, that decision may be discretionary and therefore subject to CEQA. In contrast, the enactment of a qualified voter-sponsored initiative under California Constitution Art. II, Section 11(a) and Election Code Section 9214 is not a project and therefore is not subject to CEQA review. (See Local Guidelines Section 3(b).)

## **k) TRANSIT PRIORITY PROJECT**

**Exemption:** Transit Priority Projects that are consistent with the general use designation, density, building intensity, and applicable policies specified for the project area in either a Sustainable Community Strategy or an alternative planning strategy may be exempt from CEQA. To qualify for the exemption, the decision-making body must hold a hearing and make findings that the project meets all of Public Resources Code Section 21155.1's environmental, housing, and public safety conditions and requirements.

**Streamlined Review:** A Transit Priority Project that has incorporated all feasible mitigation measures, performance standards or criteria set forth in a prior environmental impact report, may be eligible for streamlined environmental review. For a complete description of the requirements for this streamlined review see Public Resources Code Section 21155.2. Similarly, the environmental review for a residential or mixed use residential project may limit, or entirely omit, its discussion of growth-inducing impacts or impacts from traffic on global warming under certain limited circumstances. Note, however, that impacts from other sources of greenhouse gas emissions would still need to be analyzed. For complete requirements see Public Resources Code Section 21159.28.

Note that neither the exemption nor the streamlined review will apply until: (1) the applicable Metropolitan Planning Organization prepares and adopts a Sustainable Communities Strategy or alternative planning strategy for the region; and (2) the California Air Resources Board has accepted the Metropolitan Planning Organization's determination that the Sustainable Communities Strategy or the alternative planning strategy would, if implemented, achieve the greenhouse gas emission reduction targets adopted for the region.

## **l) CATEGORICAL EXEMPTIONS**

The State Guidelines establish certain classes of categorical exemptions. These apply to classes of projects which have been determined not to have a significant effect on the environment and which, therefore, are generally exempt. Compliance with the requirements of CEQA or the preparation of environmental documents for any project which comes within one of these classes of categorical exemptions is not required. (Refer to the State Guidelines for the full description of each exemption is recommended.)

The City Council hereby finds those classes of activities set forth in Sections 15301 through 15333 of the State Guidelines to be categorically exempt, unless they are subject to one of the following exceptions:

- (1) Location. Classes 3, 4, 5, 6, and 11 of the categorical exemptions established by the State Guidelines are qualified by consideration of the location of the project. A project that is ordinarily insignificant in its impact on the environment may, in a particularly sensitive environment, be significant. Therefore, these exemptions are considered to apply to all projects except those that may result in an impact on an environmental resource of hazardous or critical concern which has been designated, precisely mapped, and officially adopted pursuant to law by federal, state, or local agencies.
- (2) Cumulative Impact. All classes of categorical exemptions are qualified. These exemptions are inapplicable when the cumulative impact of successive projects of the same type in the same place over time is significant or when there is a reasonable possibility that the activity will have a significant effect on the environment due to unusual circumstances. For example, annual additions to an existing building that would normally be exempt under Class I of the State Guidelines might not be exempt if the cumulative impacts of these additions is, over time, significant.
- (3) Significant Effect. A categorical exemption shall not be used for an activity where there is a reasonable possibility that the activity will have a significant effect on the environment due to unusual circumstances.
- (4) Scenic Highways. A categorical exemption shall not be used for a project which may result in damage to scenic resources, including, but not limited to, trees, historic buildings, rock outcroppings, or similar resources, within a highway officially designated as a state highway. This does not apply to improvements which are required as mitigation by an adopted negative declaration or certified EIR.
- (5) Hazardous Waste Sites. A categorical exemption shall not be used for a project located on a site which is included on any list compiled pursuant to Section 65962.5 of the Government Code.
- (6) Historical Resources. A categorical exemption shall not be used for a project which may cause a substantial adverse change in the significance of an historical resource.

**m) SUBSURFACE PIPELINES WITHIN A PUBLIC RIGHT-OF-WAY**

The installation of a new pipeline or the maintenance, repair, restoration, reconditioning, relocation, replacement, removal or demolition of an existing subsurface pipeline is exempt where the project is less than one mile in length and located within a public street, highway or any other public right-of-way.

**n) CERTAIN RESIDENTIAL HOUSING PROJECTS**

CEQA does not apply to the construction, conversion, or use of residential housing if the project meets all of the general requirements described in Section (i) below and satisfies the specific requirements for any one of the following three categories: (1) agricultural housing (Section (ii) below); (2) affordable housing projects in urbanized areas (Section (iii) below); or (3) affordable housing projects near major transit stops (Section (iv) below).

- i) **General Requirements.** The construction, conversion, or use of residential housing units affordable to low-income households located on an infill site in an urbanized area is exempt from CEQA if all of the following general requirements are satisfied:
- (1) The project is consistent with:
- (a) Any applicable general plan, specific plan, or local coastal program, including any mitigation measures required by such plan or program, as that plan or program existed on the date that the application was deemed complete: and
  - (b) Any applicable zoning ordinance, as that zoning ordinance existed on the date that the application was deemed complete. However, the project may be inconsistent with zoning if the zoning is inconsistent with the general plan and the project site has not been rezoned to conform to the general plan;
  - (c) Community level environmental review has been adopted or certified;
  - (d) The project and other projects approved prior to the approval of the project can be adequately served by existing utilities, and the project applicant has paid, or has committed to pay, all applicable in-lieu or development fees;
  - (e) The project site meets all of the following four criteria relating to biological resources:
    - 1. The project site does not contain wetlands;
    - 2. The project site does not have any value as a wildlife habitat;
    - 3. The project does not harm any species protected by the federal Endangered Species Act of 1973, the Native Plant Protection Act, or the California Endangered Species Act; and
    - 4. The project does not cause the destruction or removal of any species protected by a local ordinance in effect at the time the application for the project was deemed complete;
  - (f) The site is not included on any list of facilities and sites compiled pursuant to Government Code Section 65962.5;
  - (g) The project site is subject to a preliminary endangerment assessment prepared by a registered environmental assessor to determine the existence of any release of a hazardous substance on the site and to determine the potential for exposure of future occupants to significant health hazards from any nearby property



or activity. In addition, the following steps must have been taken in response to the results of this assessment:

1. If a release of a hazardous substance is found to exist on the site, the release shall be removed or any significant effects of the release shall be mitigated to a level of insignificance in compliance with state and federal requirements; or
  2. If a potential for exposure to significant hazards from surrounding properties or activities is found to exist, the effects of the potential exposure shall be mitigated to a level of insignificance in compliance with state and federal requirements;
- (h) The project does not have a significant effect on historical resources pursuant to Section 21084.1 of the Public Resources Code (See Local Guidelines Section 10.25);
- (i) The project site is not subject to wildland fire hazard, as determined by the Department of Forestry and Fire Protection; unless the applicable general plan or zoning ordinance contains provisions to mitigate the risk of a wildland fire hazard.
- (j) The project site does not have an unusually high risk of fire or explosion from materials stored or used on nearby properties;
- (k) The project site does not present a risk of a public health exposure at a level that would exceed the standards established by any state or federal agency;
- (l) Either the project site is not within a delineated earthquake fault zone, or a seismic hazard zone, as determined pursuant to Section 2622 and 2696 of the Public Resources Code respectively, or the applicable general plan or zoning ordinance contains provisions to mitigate the risk of an earthquake or seismic hazard.
- (i) Either the project site does not present a landslide hazard, flood plain, flood way, or restriction zone, or the applicable general plan or zoning ordinance contains provisions to mitigate the risk of a landslide or flood.
- (ii) The project site is not located on developed open space;
- (iii) The project site is not located within the boundaries of a state conservancy;
- (iv) The project site has not been divided into smaller projects to qualify for one or more of the exemptions for affordable

housing, agricultural housing, or residential infill housing projects found in the subsequent sections; and

- (v) The project meets the requirements set forth in either Public Resources Code Sections 21159.22, 21159.23 or 21159.24.

- ii) **Specific Requirements for Agricultural Housing.** (Public Resources Code Section 21159.22 and State Guidelines Section 15192.) CEQA does not apply to the construction, conversion, or use of residential housing for agricultural employees that meets all of the general requirements described above in Section (3)(n)(i) and meets the following additional criteria:

- (a) The project either:
  - 1. Is affordable to lower income households, lacks public financial assistance, and the developer has provided sufficient legal commitments to the City to ensure the continued availability and use of the housing units for lower income households for a period of at least fifteen (15) years; or
  - 2. If public financial assistance exists for the project, then the project must be housing for very low, low-, or moderate-income households and the developer of the project has provided sufficient legal commitments to the appropriate local agency to ensure the continued availability and use of the housing units for low- and moderate-income households for a period of at least fifteen (15) years;
- (b) The project site is adjacent on at least two sides to land that has been developed and the project consists of not more than forty-five (45) units or provides dormitories, barracks, or other group-living facilities for a total of forty-five (45) or fewer agricultural employees, and either:
  - 1. The project site is within incorporated City limits or within a census-defined place with a minimum population density of at least five thousand (5,000) persons per square mile; or
  - 2. The project site is within incorporated City limits or within a census- defined place and the minimum population density of the census-defined place is at least one thousand (1,000) persons per square mile, unless the Lead Agency determines that there is a reasonable possibility that the project, if completed, would have a significant effect on the environment due to unusual circumstances or that the cumulative effects of successive projects of the same type in the same area would, over time, be significant;

- (c) If the project is located on a site zoned for general agricultural use, it must consist of twenty (20) or fewer units, or, if the housing consists of dormitories, barracks, or other group-living facilities, the project must not provide housing for more than twenty (20) agricultural employees; and
    - (d) The project is not more than two (2) acres in area if the project site is located in an area with a population density of at least one thousand (1,000) persons per square mile, and is not more than five (5) acres in area for all other project sites.
  - iii) **Specific Requirements for Affordable Housing Projects in Urbanized Areas.** (Reference: Public Resources Code Sections 21083, 21159.23 and State Guidelines Section 15194.) CEQA does not apply to any development project that consists of the construction, conversion, or use of residential housing consisting of one hundred (100) or fewer units that are affordable to low-income households if all of the general requirements described in Section (i) above are satisfied and the following additional criteria are also met:
    - (a) The developer of the project provides sufficient legal commitments to the local agency to ensure the continued availability and use of the housing units for lower income households for a period of at least thirty (30) years, at monthly housing costs deemed to be “affordable rent” for lower income, very low income, and extremely low income households, as determined pursuant to Section 50053 of the Health and Safety Code;
    - (b) The project site:
      - 1. Has been previously developed for qualified urban uses;
      - 2. Is immediately adjacent to parcels that are developed with qualified urban uses; or
      - 3. At least 75% of the perimeter of the site adjoins parcels that are developed with qualified urban uses and the remaining 25% of the perimeter of the site adjoins parcels that have previously been developed for qualified urban uses, the site has not been developed for urban uses and no parcel within the site has been created within ten (10) years prior to the proposed development of the site;
    - (c) The project site is not more than five (5) acres in area; and
    - (d) The project site meets one of the following requirements regarding population density:
      - 1. The project site is within an urbanized area or within a census-defined place with a population density of at least five thousand (5,000) persons per square mile; or

2. If the project consists of fifty (50) or fewer units, the project site is within an incorporated city with a population density of at least twenty-five hundred (2,500) persons per square mile and a total population of at least twenty-five thousand (25,000) persons; or
3. The project site is within either an incorporated city or a census-defined place with a population density of one thousand (1,000) persons per square mile, unless there is a reasonable possibility that the project would have a significant effect on the environment due to unusual circumstances or due to the related or cumulative impacts of reasonably foreseeable projects in the vicinity of the project.

iv) **Specific Requirements for Affordable Housing Projects Near Major Transit Stops.** (Reference: Public Resources Code Sections 21083, 21159.24 and State Guidelines Section 15195.) CEQA does not apply to a residential project on an infill site within an urbanized area if all of the general requirements described above in Section (i) are satisfied and the following additional criteria are also met:

- (a) Within five (5) years prior to the date that the application for the project is deemed complete, community-level environmental review was certified or adopted. This exemption does not apply, however, if new information about the project or substantial changes regarding the circumstances surrounding the project become available after the community-level environmental review was certified or adopted;
- (b) The site is not more than four (4) acres in total area;
- (c) The project does not contain more than one hundred (100) residential units;
- (d) The project meets either of the following criteria:
  1. At least 10% of the housing is sold to families of moderate income or rented to families of low income, or at least 5% of the housing is rented to families of very low income, and the project developer has provided the City with sufficient legal commitments to ensure the continued availability and use of the housing units for very low, low-, and moderate-income households at monthly housing costs; or
  2. The project developer has paid or will pay in-lieu fees sufficient to pay for the development of the same number of units that would otherwise be sold or rented to families of moderate or very low income pursuant to subparagraph (a);

- (e) The project is within one-half mile of a major transit stop;
- (f) The project does not include any single-level building that exceeds one hundred thousand (100,000) square feet; and
- (g) The project promotes higher density infill housing.
  - 1. A project with a density of at least 20 units per acre shall be conclusively presumed to promote higher density infill housing.
  - 2. A project with a density of at least 10 units per acre and a density greater than the average density of the residential properties within 1,500 feet shall be presumed to promote higher density housing unless the preponderance of the evidence demonstrates otherwise.
- (h) Exception.
  - 1. The Exemption for Affordable Housing Projects near Major Transit Stops does not apply if any one of the following criteria is met:
    - a. There is a reasonable possibility that the project will have a project-specific, significant effect on the environment due to unusual circumstances;
    - b. Substantial changes have occurred since community-level environmental review was adopted or certified with respect to the circumstances under which the project is being undertaken, and those changes are related to the project; or
    - c. New information regarding the circumstances under which the project is being undertaken has become available, and that new information is related to the project and was not known and could not have been known at the time of the community-level environmental review.
  - 2. If a project satisfies any one of the three criteria described above in Section 3(n)(iv)(d)(v)(1), the environmental effects of the project must be analyzed in an Environmental Impact Report or a Negative Declaration. The environmental analysis shall be limited to the project-specific effects and any effects identified pursuant to Section 3(n)(iv)(d)(v)(1).
- (e) Whenever the Lead Agency determines that a project is exempt from environmental review based on Public Resources Code Section 21159.22 [Section 3(n)(ii) of these Guidelines], 21159.23

[Section 3(n)(iii) of these Guidelines], or 21159.24 [Section 3(n)(iv) of these Guidelines], Staff and/or the proponent of the project shall file a Notice of the Determination of Exemption with the Office of Planning and Research within five (5) working days after the approval of the project.

#### **4) TIME LIMITATIONS**

##### **a) TIME LIMITS FOR REVIEW OF PRIVATE PROJECT APPLICATIONS**

Staff shall determine whether the application for a private project is complete within thirty (30) days of receipt of the application. No application may be deemed incomplete for lack of a waiver of the time limitations. Accepting an application as complete does not limit the authority of the City, acting as the Lead Agency or Responsible Agency, to require the applicant to submit additional information needed for environmental evaluation of the project. Requiring such additional information after the application is complete does not change the status of the application.

Except as provided in Guidelines Section 4(e), the Director shall, within thirty (30) days after accepting an application for a private project as complete, determine whether it is necessary to prepare an EIR or a Negative Declaration, and, if so, whether a previously prepared environmental document may be used. The thirty (30) day period may be extended fifteen (15) days upon the consent of both the Director and the project applicant.

##### **b) FEES**

If a project is to be carried out by any person or entity other than the City, the Director may collect a reasonable fee from such person or entity to recover the estimated costs incurred in preparing an EIR or Negative Declaration. Fees shall be paid in accordance with the Lake Forest Municipal Code.

##### **c) COMPLETION AND ADOPTION OF NEGATIVE DECLARATION OR COMPLETION AND CERTIFICATION OF EIR**

For private projects involving the issuance of a lease, permit, license, certificate, or other entitlement for use by one or more public agencies, the decision making body shall either:

- (1) Complete and approve a Negative Declaration within one-hundred eighty (180) days from the date the application is accepted as complete; or,
- (2) Complete and certify the Final EIR within one (1) year from the date the application is accepted as complete. In the event that compelling circumstances justify additional time, the City Council may provide a one-time extension of the one (1) year time limit for up to ninety (90) days, upon consent of the Director and the applicant.

When warranted by circumstances, and at the request of the project applicant, the Director may grant a reasonable extension of the time periods contained in this section..

##### **d) PROJECTS SUBJECT TO THE PERMIT STREAMLINING ACT**

The Permit Streamlining Act requires agencies to make decisions on certain development project approvals within specified time limits. If a project is subject to the Act, the City cannot require the project applicant to submit the informational equivalent of an EIR or prove compliance with CEQA as a prerequisite to determining whether the project application is

complete. In addition, if requested by the project applicant, the City must begin processing the project application prior to final CEQA action, provided the information necessary to begin the process is available.

Under the Permit Streamlining Act, the City as Lead Agency must approve or disapprove the development project application within one hundred eighty (180) days from the date on which it certifies the EIR, or within ninety (90) days of certification if an extension for completing and certifying the EIR was granted. The Permit Streamlining Act's time periods for complying with CEQA do not apply when:

- (1) The project will be subject to the National Environmental Policy Act;
- (2) Additional time will be required to prepare a combined EIR/EIS or a combined Negative Declaration/Finding of No Significant Impact (FONSI) as provided in Section 15221 of the State Guidelines; and,
- (3) The time required to prepare such a combined document would be less than the time required to prepare each document separately.

(See Government Code Sections 65951 and 65957.)

The time limits for processing permits for development projects under Government Code Sections 65950-65960 shall not apply if federal statutes or regulations require time schedules which exceed the state limits. In this event, the City shall make a final decision on the project within the time limits set forth by federal law (if any).

Unless one of these two exceptions is met, the City cannot require a waiver of the time limits specified in the Permit Streamlining Act as a condition of accepting or processing a development project application. In addition, the City cannot disapprove a development project application in order to comply with the time limits specified in the Permit Streamlining Act.

**e) PROJECTS, OTHER THAN THOSE SUBJECT TO THE PERMIT STREAMLINING ACT, WITH SHORT TIME PERIODS FOR APPROVAL**

A few statutes require agencies to make decisions on project applications within time limits that are so short that review of the project under CEQA would be difficult. To enable the City as Lead Agency to comply with both the enabling statute and CEQA, the City shall deem a project application as not received for filing under the enabling statute until such time as the environmental documentation required by CEQA is complete. This section applies where all of the following conditions are met:

- (1) The enabling statute for a program, other than development projects under Chapter 4.5 (commencing with Section 65920) of Division 1 of Title 7 of the Government Code, requires the City to take action on an application within a specified period of time of six (6) months or less;
- (2) The enabling statute provides that the project is approved by operation of law if the City fails to take any action within the specified time period; and
- (3) The project application involves the City's issuance of a lease, permit, license, certificate or other entitlement for use.



In any case, the environmental document shall be completed or certified and the decision on the application shall be made within the period established by the Permit Streamlining Act (Government Code Sections 65920, et seq.).

**f) SUSPENSION OF TIME PERIODS**

An unreasonable delay by an applicant in meeting the City's requests necessary for the preparation of a Negative Declaration, Mitigated Negative Declaration, or an EIR shall suspend the running of the time periods described in Guidelines Section 4(a) for the period of the unreasonable delay. Alternatively, the Director may disapprove a project application where there is unreasonable delay in meeting requests. The Director may also allow a renewed application to begin at the same point in the process where the prior application was when it was disapproved.

## **5) INITIAL STUDY**

### **a) PREPARATION OF INITIAL STUDY**

If a project is subject to the requirements of CEQA and it is determined that the project is not exempt, the Director shall cause an Initial Study to be conducted to determine if the project may have a significant effect on the environment, regardless of whether the overall effect of the project is adverse or beneficial. All phases of project planning, implementation and operation must be considered in the Initial Study. The Director should use the Thresholds of Significance in these Local CEQA Guidelines, and/or any other thresholds that are determined to be relevant, as a guide for evaluating whether a potential environmental effect may be significant.

For City projects, the Initial Study shall be prepared by Staff or by private experts pursuant to contract with the City. For private projects, the person or entity proposing to carry out the project shall submit all data and information as may be required by the City to determine whether the proposed project may have a significant effect on the environment. All costs incurred by the City in reviewing the data and information submitted, or in conducting its own investigation based upon such data and information, or in preparing an Initial Study for the project shall be borne by the person or entity proposing to carry out the project.

An Initial Study may rely on expert opinion supported by facts, technical studies or other substantial evidence. However, an Initial Study is neither intended nor required to include the level of detail included in an EIR.

If it is determined that an EIR will be required for a project, then the City may immediately dispense with the Initial Study and begin directly on the EIR.; the Initial Study need not be completed unless Staff desires to use it to focus the scope of the EIR.

All phases of project planning, implementation, and operation must be considered in the Initial Study.

### **b) DEPOSIT FOR INITIAL STUDY**

In order to commence the Initial Study preparation process, the project applicant shall deposit with the City an initial deposit of \$6,500. The Director shall use the applicant's deposits to pay for work completed by the Consultant and for all City costs in reviewing, revising, and processing the same. Following completion of the Initial Study, the Community Development Department, in conjunction with the Finance Department, shall undertake a final accounting for the Initial Study process. In the event the amount of the deposit exceeds the City's costs, including all consulting, staff, legal, and publishing costs, a refund in the amount of the excess shall be provided to the project applicant. In the event such costs exceed the project applicant's deposit, the City shall bill the project applicant for the overage.

**c) USE OF THE INITIAL STUDY**

The purposes of an Initial Study are to:

- (1) Identify environmental impacts;
- (2) Enable an applicant or Lead Agency to modify a project, mitigating adverse impacts before an EIR is written;
- (3) Focus an EIR, if one is required, on potentially significant environmental effects;
- (4) Facilitate environmental assessment early in the design of a project;
- (5) Provide documentation of the factual basis for the finding in a Negative Declaration that a project will not have a significant effect on the environment;
- (6) Eliminate unnecessary EIRs; and
- (7) Determine whether a previously prepared EIR could be used for the project.

The Initial Study shall be used to provide information to use as the basis for the determination of whether a Negative Declaration or an EIR shall be prepared for a project. The Initial Study shall also be used to identify whether a Program EIR, tiering, or another appropriate process can be used for analysis of the project's environmental effects.

The Director shall use the Initial Study to determine whether or not a project may have a significant effect on the environment. When there is no substantial evidence to support a fair argument that the project or any of its aspects may cause a significant effect on the environment which cannot be reduced below the level of significance by revision of the project plans or other mitigation measures, the Director shall cause a Negative Declaration to be prepared. When a project is revised so that potential adverse effects are mitigated to a point where no significant environmental effects will occur, a Mitigated Negative Declaration shall be prepared instead of an EIR. When the Initial Study concludes that no EIR is necessary, the Study shall also provide documentation of the factual basis for the finding that the project will not have a significant effect on the environment.

If there is substantial evidence that any aspect of the project, either individually or cumulatively, has the potential to cause a significant effect on the environment, which cannot be reduced below the level of significance by revision of the project plans or other mitigation measures, then an EIR must be prepared. The EIR shall emphasize study of the impacts determined to be significant and can omit further examination of those impacts found to be clearly insignificant in the Initial Study. The Director shall determine whether a previously certified Program EIR such as the General Plan EIR, tiering, or another appropriate process can be used for analysis of the project's environmental effects.

**d) CONTENTS OF INITIAL STUDY**

An Initial Study shall contain in brief form:

- (1) A description of the project, including the location of the project. The project description must be consistent throughout the environmental review process;
- (2) An identification of the environmental setting. The environmental setting is usually the existing physical environmental conditions in the vicinity of the project, as they exist at the time the Notice of Preparation is published, or if no Notice of Preparation is published, such as in the case of a Negative Declaration or Mitigated Negative Declaration, at the time environmental analysis begins. The environmental setting should describe both the project site and surrounding properties. The description should include, but not necessarily be limited to, a discussion of existing structures, land use, energy supplies, topography, water usage, soil stability, plants and animals, and any cultural, historical, or scenic aspects. This environmental setting will normally constitute the baseline physical conditions against which a Lead Agency may compare the project to determine whether an impact is significant;
- (3) An identification of environmental effects by use of a checklist, matrix, or other method, provided that entries are briefly explained to show the evidence supporting the entries. The brief explanation may be through either a narrative or a reference to other information such as attached maps, photographs, or an earlier EIR, Mitigated Negative Declaration, or Negative Declaration. A reference to another document should include a citation to the page or pages where the information is found;
- (4) A discussion of ways to mitigate any significant effects identified;
- (5) An examination of whether the project is consistent with existing zoning and local land use plans and other applicable land use controls;
- (6) The name of the person or persons who prepared or participated in the Initial Study;
- (7) A summary of any comments regarding the project received from Responsible Agencies, Trustee Agencies or other persons; and
- (8) Identification of prior EIRs or environmental documents which could be used with the project.

To meet the requirements of this Section, the Director may use an Environmental Assessment or similar analysis prepared pursuant to the National Environmental Policy Act, as long as the document used meets the minimum requirements of CEQA.

**e) SUBMISSION OF DATA**

Any person may submit information in any form to the Director to assist in the preparation of an Initial Study.

**f) FORMAT; USE OF A CHECKLIST INITIAL STUDY**

Forms for an applicant's project description and a review form for use by the Director shall be provided by the Community Development Department. (See Environmental Checklist (Form "J").) When used together and properly completed, these forms shall be presumed to meet the requirements for an Initial Study unless there is substantial evidence indicating that other environmental impact(s) must be analyzed for the particular project.

California courts have rejected the use of a bare, unsupported Initial Study checklist. An Initial Study must contain more than mere conclusions. The entries on the checklist should be briefly explained. Either the Environmental Checklist (Form "J") should be expanded or a separate attachment should be prepared to describe the project, including its location, and to identify the environmental setting. These forms shall provide for a substantive, written description of the project and its potential effects.

The Initial Study must disclose supporting data or evidence upon which the City relied in conducting the Study. The City shall augment checklists with supporting factual data and reference information sources when completing the forms. Explanation of all "potential impact" answers should be provided on attached sheets. For controversial projects, it is advisable to state briefly why "no" answers were checked. If practicable, attach a list of reference materials, such as prior EIRs, plans, traffic studies, air quality data, or other supporting studies.

**g) CONSULTATION**

When more than one public agency will be involved in undertaking or approving a project, the Community Development Department shall consult with all Responsible Agencies and all Trustee Agencies. Such consultation shall be undertaken as part of the Initial Study process prior to determining whether an EIR, Mitigated Negative Declaration or Negative Declaration is required for the project.

This early consultation, which may be done quickly and informally, is designed to insure that the EIR, Negative Declaration or Mitigated Negative Declaration will reflect the concerns of all Responsible Agencies that will issue approvals for the project and all Trustee Agencies responsible for natural resources affected by the project. It may include consultation with other individuals or organizations with an interest in the project. The Office of Planning and Research, upon request of the City or a private project applicant, shall assist in identifying the various Responsible Agencies for a proposed project and ensure that the Responsible Agencies are notified regarding any early consultation. In the case of a project undertaken by a public agency, the Office of Planning and Research, upon request of the City, shall ensure that any Responsible Agency or public agency that has jurisdiction by law with respect to the project is notified regarding any early consultation.

During or immediately after preparation of an Initial Study for a private project, the Community Development Department may consult with the applicant to determine if the applicant is willing to modify the project to reduce or avoid the significant effects identified in the Initial Study. If the project can be revised to avoid or mitigate effects to a level of insignificance and there is no substantial evidence before the City that the project, as revised,

may have a significant effect on the environment, the City may prepare and adopt a Negative Declaration. If any significant effect may still occur despite alterations of the project, an EIR must be prepared.

#### **h) EVALUATING SIGNIFICANT ENVIRONMENTAL EFFECTS**

In evaluating the environmental significance of effects disclosed by the Initial Study, the City shall consider:

- (1) Whether the Initial Study and/or any comments received informally during consultations indicate that a fair argument can be made that the project may have a significant adverse environmental impact which cannot be mitigated to a level of insignificance. Even if a fair argument can be made to the contrary, an EIR should be prepared.
- (2) Whether both primary (direct) and secondary (indirect) consequences of the project were evaluated. Primary consequences are immediately related to the project, while secondary consequences are related more to the primary consequences than to the project itself. For example, secondary impacts upon the resources base, including land, air, water and energy use of an area, may result from population growth, a primary impact.
- (3) Whether adverse social and economic changes will result from a physical change caused by the project. Adverse economic and social changes resulting from a project are not, in themselves, significant environmental effects. However, if such adverse changes cause physical changes in the environment, those consequences may be used as the basis for finding that the physical change is significant.
- (4) Whether there is serious public controversy or disagreement among experts over the environmental effects of the project. However, the existence of public controversy or disagreement among experts does not, without more, require preparation of an EIR in the absence of substantial evidence to support a fair argument of significant effects.
- (5) Whether the cumulative impact of the project is significant and whether the incremental effects of the project are “cumulatively considerable” when viewed in connection with the effects of past projects, current projects, and probable future projects. The City may conclude that a project’s incremental contribution to a cumulative effect is not cumulatively considerable if the project will comply with the requirements in a previously approved plan or mitigation program (including, but not limited to, water quality control plan, air quality attainment or maintenance plan, integrated waste management plan, habitat conservation plan, natural community conservation plan, plans or regulations for the reduction of greenhouse gas emissions) that provides specific requirements that will avoid or substantially lessen the cumulative problem. To be used for this purpose, such a plan or program must be specified in law or adopted by the public agency with jurisdiction over the affected resources through a public review process. In relying on such a plan or program, the City should explain which requirements apply to the

- project and ensure that the project's incremental contribution is not cumulatively considerable; and
- (6) Whether the project may cause a substantial adverse change in the significance of an archaeological or historical resource.

**i) MANDATORY FINDINGS OF SIGNIFICANT EFFECT**

Whenever there is substantial evidence, in light of the whole record, that any of the conditions set forth below may occur, the Lead Agency shall find that the project may have a significant effect on the environment and thereby shall require preparation of an EIR:

- (1) The project has the potential to substantially degrade the quality of the environment, substantially reduce the habitat of a fish or wildlife species, cause a fish or wildlife population to drop below self-sustaining levels, threaten to eliminate a plant or animal community, substantially reduce the number or restrict the range of a rare or endangered plant or animal, or eliminate important examples of major periods of California history or prehistory;
- (2) The project has the potential to achieve short-term environmental goals to the disadvantage of long-term environmental goals;
- (3) The project has possible environmental effects which are individually limited but cumulatively considerable. "Cumulatively considerable" means that the incremental effects of an individual project are significant when viewed in connection with the effects of past, current, and probable future projects. That is, the City is required to determine whether the incremental impacts of a project are cumulatively considerable by evaluating them against the back-drop of the environmental effects of the other projects; or
- (4) The environmental effects of a project will cause substantial adverse effects on humans either directly or indirectly.

If, before the release of the CEQA document for public review, the potential for triggering one of the mandatory findings of significance is avoided or mitigation measures or project modifications reduce the potentially significant impacts to a point where clearly the mandatory finding of significance is not triggered, preparation of an EIR is not mandated. If the project's potential for triggering one of the mandatory findings of significance cannot be avoided or mitigated to a point where the criterion is clearly not triggered, an EIR shall be prepared, and the relevant mandatory findings of significance shall be used: (1) as thresholds of significance for purposes of preparing the EIR's impact analysis, (2) in making findings on the feasibility of alternatives or mitigation measures, (3) when found to be feasible, in making changes in the project to lessen or avoid the adverse environmental impacts, and (4) when necessary, in adopting a statement of overriding considerations.

Although an EIR prepared for a project that triggers one of the mandatory findings of significance must use the relevant mandatory findings as thresholds of significance, the EIR need not conclude that the impact itself is significant. Rather, the City must exercise its discretion and determine, on a case-by-case basis after evaluating all of the relevant evidence, whether the

project's environmental impacts are avoided or mitigated below a level of significance or whether a statement of overriding considerations is required.

With regard to a project that has the potential to substantially reduce the number or restrict the range of a protected species, the City does not have to prepare an EIR solely due to that impact, provided the project meets the following three criteria:

- (a) The project proponent must be bound to implement mitigation requirements relating to such species and habitat pursuant to an approved habitat conservation plan and/or natural communities conservation plan;
- (b) The state or federal agency must have approved the habitat conservation plan and/or natural community conservation plan in reliance on an EIR and/or EIS; and
- (c) The mitigation requirements must either avoid any net loss of habitat and net reduction in number of the affected species, or preserve, restore, or enhance sufficient habitat to mitigate the reduction in habitat and number of the affected species below a level of significance.

**j) DEVELOPMENT PURSUANT TO AN EXISTING COMMUNITY PLAN AND EIR**

Before preparing a CEQA document, Staff should determine whether the proposed project involves development consistent with an earlier zoning or community plan to accommodate a particular density for which an EIR has been certified. If an earlier EIR for the zoning or planning action has been certified, and if the proposed project concerns the approval of a subdivision map or development, CEQA applies only to the extent the project raises environmental effects peculiar to the parcel which were not addressed in the earlier EIR. Off-site and cumulative effects not discussed in the general plan EIR must still be considered. Mitigation measures set out in the earlier EIR should be implemented at this stage.

Environmental effects shall not be considered peculiar to the parcel if uniformly applied development policies or standards have been previously adopted by a city or county with a finding based on substantial evidence that the policy or standard will substantially mitigate the environmental effect when applied to future projects. Examples of uniformly applied development policies or standards include, but are not limited to: parking ordinances; public access requirements; grading ordinances; hillside development ordinances; flood plain ordinances; habitat protection or conservation ordinances; view protection ordinances; and requirements for reducing greenhouse gas emissions as set forth in adopted land use plans, policies or regulations. Any rezoning action consistent with the Community Plan shall be subject to exemption from CEQA in accordance with this section. "Community Plan" means part of a city's general plan which: (1) applies to a defined geographic portion of the total area included in the general plan; (2) complies with Article 5 (commencing with Section 65300) of Chapter 3 of Division 1 of Title 7 of the Government Code by referencing each of the mandatory elements specified in Government Code Section 65302; and (3) contains specific development policies adopted for the area in the Community Plan and identifies measures to implement those policies, so that the policies which will apply to each parcel can be determined.



## **k) LAND USE POLICIES**

When a project will amend a general plan or another land use policy, the Initial Study must address how the change in policy and its expected direct and indirect effects will affect the environment. When the amendments constitute substantial changes in policies that result in a significant impact on the environment, an EIR may be required.

## **l) EVALUATING IMPACTS ON HISTORICAL RESOURCES**

Projects that may cause a substantial adverse change in the significance of a historical resource, as defined in Guidelines Section 10.25, are projects that may have a significant effect on the environment, thus requiring consideration under CEQA. Particular attention and care should be given when considering such projects, especially projects involving the demolition of a historical resource, since such demolitions have been determined to cause a significant effect on the environment.

Substantial adverse change in the significance of a historical resource means physical demolition, destruction, relocation or alteration of the resource or its immediate surroundings, such that the significance of a historical resource would be materially impaired.

The significance of a historical resource is materially impaired when a project:

- (1) Demolishes or materially alters in an adverse manner those physical characteristics of a historical resource that convey its historical significance and that justify its inclusion in, or eligibility for inclusion in, the California Register of Historical Resources;
- (2) Demolishes or materially alters in an adverse manner those physical characteristics that account for its inclusion in a local register of historical resources or its identification in a historical resources survey, unless the Lead Agency establishes by a preponderance of evidence that the resource is not historically or culturally significant; or
- (3) Demolishes or materially alters in an adverse manner those physical characteristics of a historical resource that convey its historical significance and that justify its eligibility for inclusion in the California Register of Historical Resources as determined by the Lead Agency for purposes of CEQA.

Generally, a project that follows either one of the following sets of standards and guidelines will be considered mitigated to a level of less than significant: (a) the Secretary of the Interior's Standards for the Treatment of Historic Properties with Guidelines for Preserving, Rehabilitating, Restoring and Reconstructing Historic Buildings; or (b) the Secretary of the Interior's Standards for Rehabilitation and Guidelines for Rehabilitating Historic Buildings (1995), Weeks and Grimmer.

In the event of an accidental discovery of a possible historical resource during construction of the project, the City may provide for the evaluation of the find by a qualified archaeologist or other professional. If the find is determined to be a historical resource, the City should take appropriate steps to implement appropriate avoidance or mitigation measures. Work

on non-affected portions of the project, as determined by the City, may continue during the process. Curation may be an appropriate mitigation measure for an artifact that must be removed during project excavation or testing.

**m) EVALUATING IMPACTS ON ARCHAEOLOGICAL SITES**

When a project will impact an archaeological site, the City shall first determine whether the site is a historical resource, as defined in Guidelines Section 10.25. If the archaeological site is a historical resource, it shall be treated and evaluated as such, and not as an archaeological resource. If the archaeological site does not meet the definition of a historical resource, but does meet the definition of a unique archaeological resource set forth in Public Resources Code Section 21083.2, the site shall be treated in accordance with said provisions of the Public Resources Code. The time and cost limitations described in Section 21083.2(c-f) do not apply to surveys and site evaluation activities intended to determine whether the project site contains unique archaeological resources.

If the archaeological resource is neither a unique archaeological resource nor a historical resource, the effects of the project on those resources shall not be considered a significant effect on the environment. It shall be sufficient that both the resource and the effect on it are noted in the Initial Study or EIR, if one is prepared to address impacts on other resources, but they need not be considered further in the CEQA process.

In the event of an accidental discovery of a possible unique archaeological resource during construction of the project, the City may provide for the evaluation of the find by a qualified archaeologist. If the find is determined to be a unique archaeological resource, the City should take appropriate steps to implement appropriate avoidance or mitigation measures. Work on non-affected portions of the project, as determined by the City, may continue during the process. Curation may be an appropriate mitigation measure for an artifact that must be removed during project excavation or testing.

When an Initial Study identifies the existence of, or the probable likelihood of, Native American human remains within the Project, the City shall comply with the provisions of State Guidelines Section 15064.5(d). In the event of an accidental discovery or recognition of any human remains in any location other than a dedicated cemetery, the City shall comply with the provisions of State Guidelines Section 15064.5(e).

**n) CONSULTATION WITH WATER AGENCIES REGARDING LARGE DEVELOPMENT PROJECTS**

**i) Projects Subject to Consultation Requirements.**

This section applies only to water demand projects as defined by Local Guidelines Section 10.63. Program level environmental review may not need to be as extensive as project level environmental review. See Local Guidelines Section 8(j).

ii) Water Supply Assessment.

When a city or county as Lead Agency determines the type of environmental document that will be prepared for a water demand project or any project that includes a water demand project, the city or county must identify any public water system that may supply water for the project. The city or county must also request that the public water system determine whether the projected demand associated with the project was included in the most recently adopted Urban Water Management Plan. The city or county must also request that the public water system prepare a specified water supply assessment for approval at a regular or special meeting of the public water system governing body.

If no public water system is identified that may supply water for the water demand project, the city or county shall prepare the water supply assessment. The city or county shall consult with any entity serving domestic water supplies whose service area includes the site of the water demand project, the local agency formation commission, and the governing body of any public water system adjacent to the site of the water demand project. The city council or county board of supervisors must approve the water assessment prepared pursuant to this paragraph at a regular or special meeting.

As per Water Code section 10910, the water assessment must include identification of existing water supply entitlements, water rights, or water service contracts relevant to the water supply for the proposed project and water received in prior years pursuant to those entitlements, rights, and contracts, and further information is required if water supplies include groundwater. The water assessment must determine the ability of the public water system to meet existing and future demands along with the demands of the proposed water demand project in light of existing and future water supplies. This supply demand analysis is to be conducted via a twenty-year projection, and must assess water supply sufficiency during normal year, single dry year, and multiple dry year hydrology scenarios. If the public water agency concludes that the water supply is, or will be, insufficient, it must submit plans for acquiring additional water supplies.

The city or county may grant the public water agency a thirty (30) day extension of time to prepare the assessment if the public water agency requests an extension within ninety (90) days of being asked to prepare the assessment. If the governing body of the public water system fails to request and receive an extension of time, or fails to submit the water assessment notwithstanding the thirty (30) day extension, the city or county may seek a writ of mandamus to compel the governing body of the public water system to comply.

The city or county shall include the water assessment, and any water acquisition plan in the EIR, negative declaration, or mitigated negative declaration, or any supplement thereto, prepared for the project, and may include an evaluation of the water assessment and water acquisition plan information within such environmental document. A discussion of water supply availability should be included in the main text of the environmental document. Normally, this discussion should be based on the data and information included in the water supply assessment. In making its required findings under CEQA, the city or county shall determine, based on the entire record, whether projected water supplies will be sufficient to satisfy the demands of the project, in addition to existing and planned future uses. If a city or county determines that water

supplies will not be sufficient, the city or county shall include that determination in its findings for the project.

If a water-demand project has been the subject of a water assessment, no additional water assessment shall be required for subsequent water-demand projects that were included in the larger water-demand project if all of the following criteria are met:

- (i) The entity completing the water assessment concluded that its water supplies are sufficient to meet the projected water demand associated with the larger water-demand project, in addition to the existing and planned future uses, including, but not limited to, agricultural and industrial uses; and
- (ii) None of the following changes has occurred since the completion of the water assessment for the larger water-demand project:
  - (A) Changes in the larger water-demand project that result in a substantial increase in water demand for the water-demand project.
  - (B) Changes in the circumstances or conditions substantially affecting the ability of the public water system identified in the water assessment to provide a sufficient supply of water for the water demand project.
  - (C) Significant new information becomes available which was not known and could not have been known at the time when the entity had reached its assessment conclusions.

For complete information on these requirements, consult Water Code Sections 10910, et seq.

**o) SUBDIVISIONS WITH MORE THAN 500 DWELLING UNITS**

Cities and counties must obtain written verification (see Form “O” for a sample) from the applicable public water system(s) that a sufficient water supply is available before approving certain residential development projects. The City should also be aware of these requirements when reviewing projects in its role as a Responsible Agency.

Cities and counties are prohibited from approving a tentative map, parcel map for which a tentative map was not required, or a development agreement for a subdivision of property of more than 500 dwellings units, unless:

- (i) The City Council, Board of Supervisors, or the advisory agency receives written verification from the applicable public water system that a sufficient water supply is available; or

- (ii) Under certain circumstances, the City Council, Board of Supervisors or the advisory agency makes a specified finding that sufficient water supplies are, or will be, available prior to completion of the project.

For complete information on these requirements, consult Government Code Section 66473.7.

**p) CLIMATE CHANGE AND GREENHOUSE GAS EMISSIONS**

**Estimating or Calculating the Magnitude of the Project's Greenhouse Gas Emissions.**

The City shall analyze the greenhouse gas emissions of its projects as required in State CEQA Guidelines section 15064.4. For projects subject to CEQA, the City should make a good-faith effort, based to the extent possible on scientific and factual data, to describe, calculate or estimate the amount of greenhouse gas emissions resulting from a project.

For its projects, the City, as Lead Agency, shall have discretion to determine the appropriate model or methodology for analyzing greenhouse gas emissions for each particular project. The City is not required to use the same model or methodology in every instance, but should explain the choice and limitations of the model or methodology chosen in the record of proceedings. In performing the analysis of greenhouse gas emissions, the City may perform a quantitative analysis, rely on a qualitative analysis or performance based standards, or use a combination of quantitative and qualitative analysis as appropriate for the project.

**Factors in Determining Significance.**

Once the magnitude of a project's emissions have been described, estimated or calculated, the City should consider the following factors, among others, to determine whether those emissions are significant:

- (1) The extent to which the project may increase or reduce greenhouse gas emissions as compared to the baseline. Physical environmental conditions in the vicinity of the project, as they exist at the time the Notice of Preparation is published or the time when the environmental analysis is commenced, will normally constitute the baseline. All project phases, including construction and operation, should be considered in determining whether a project will cause emissions to increase or decrease as compared to the baseline;
- (2) Whether the project emissions exceed a threshold of significance that the Lead Agency determines applies to the project. Lead Agencies may rely on thresholds of significance developed by experts or other agencies provided that application of the threshold and the significance conclusion is supported with substantial evidence. When relying on thresholds developed by other agencies, Lead Agencies should ensure that the threshold is appropriate for the project and the project's location; and

- (3) The extent to which the project complies with regulations or requirements adopted to implement a statewide, regional, or local plan for the reduction or mitigation of greenhouse gas emissions. Such requirements must be adopted by the relevant public agency through a public review process and must reduce or mitigate the project's incremental contribution of greenhouse gas emissions. If there is substantial evidence that the possible effects of a particular project are still cumulatively considerable notwithstanding compliance with the adopted regulations or requirements, an EIR must be prepared for the project.

Additional guidance on the determination of significance is available in the Natural Resources Agency's Final Statement of Reasons prepared for the Amendments to the State CEQA Guidelines Addressing Analysis and Mitigation of Greenhouse Gas Emissions Pursuant to SB97 (December 2009).

### **Consistency with Applicable Plans.**

When an EIR is prepared, it must discuss any inconsistencies between the proposed project and any applicable general plan, specific plans, and regional plans. This includes, but is not limited to, any applicable air quality attainment plans, regional blueprint plans, or plans for the reduction of greenhouse gas emissions.

### **Mitigation Measures Related to Greenhouse Gas Emissions.**

Lead Agencies must consider feasible means of mitigating the significant effects of greenhouse gas emissions. Any such mitigation measure must be supported by substantial evidence and be subject to monitoring or reporting. Potential mitigation will depend on the particular circumstances of the project, but may include the following, among others:

- (1) Measures in an existing plan or mitigation program for the reduction of emissions that are required as part of the Lead Agency's decision;
- (2) Reductions in emissions resulting from a project through implementation of project features, project design, or other measures, such as those described in State CEQA Guidelines Appendix F;
- (3) Off-site measures, including offsets that are not otherwise required, to mitigate a project's emissions;
- (4) Measures that sequester greenhouse gases; and
- (5) In the case of the adoption of a plan, such as a general plan, long range development plan, or plan for the reduction of greenhouse gas emissions, mitigation may include the identification of specific measures that may be implemented on a project-by-project basis. Mitigation may also include the incorporation of specific measures or policies found in an adopted ordinance or regulation that reduces the cumulative effect of emissions.

### **Streamlined Analysis of Greenhouse Gas Emissions.**

Under certain limited circumstances, the legislature has specifically declared that the analysis of greenhouse gas emissions or climate change impacts may be limited. Public

Resources Code Sections 21155, 21155.2, and 21159.28 provide that if certain residential, mixed use and transit priority projects meet specified ratios and densities, then the lead agencies for those projects may conduct a limited review of greenhouse gas emissions or may be exempted from analyzing global warming impacts that result from cars and light duty trucks, if a detailed list of requirements is met. However, unless the project is exempt from CEQA, the Lead Agency must consider whether such projects will result in greenhouse gas emissions from other sources, including, but not limited to, energy use, water use, and solid waste disposal

### **Tiering.**

The City may analyze and mitigate the significant effects of greenhouse gas emissions at a programmatic level. Later project-specific environmental documents may then tier from and/or incorporate by reference that existing programmatic review.

### **Plans for the Reduction of Greenhouse Gas Emissions.**

Public agencies may choose to analyze and mitigate greenhouse gas emissions in a plan for the reduction of greenhouse gas emissions or similar document. A plan for the reduction of greenhouse gas emissions should:

- (1) Quantify greenhouse gas emissions, both existing and projected over a specified time period, resulting from activities within a defined geographic area;
- (2) Establish a level, based on substantial evidence, below which the contribution to greenhouse gas emissions from activities covered by the plan would not be cumulatively considerable;
- (3) Identify and analyze the greenhouse gas emissions resulting from specific actions or categories of actions anticipated within the geographic area;
- (4) Specify measures or a group of measures, including performance standards, that substantial evidence demonstrates, if implemented on a project-by-project basis, would collectively achieve the specified emissions level;
- (5) Establish a mechanism to monitor the plan's progress toward achieving the level and to require amendment if the plan is not achieving specified levels; and
- (6) Be adopted in a public process following environmental review.

A plan for the reduction of greenhouse gas emissions, once adopted following certification of an EIR, or adoption of another environmental document, may be used in the cumulative impacts analysis of later projects. An environmental document that relies on a plan for the reduction of greenhouse gas emissions for a cumulative impacts analysis must identify those requirements specified in the plan that apply to the project, and, if those requirements are not otherwise binding and enforceable, incorporate those requirements as mitigation measures applicable to the project. If there is substantial evidence that the effects of a particular project may be cumulatively considerable notwithstanding the project's compliance with the specified requirements in the plan for reduction of greenhouse gas emissions, an EIR must be prepared for the project.

### **Analyzing the Effects of Climate Change on the Project.**

Where an EIR is prepared for a project, the EIR shall analyze any significant environmental effects the project might cause by bringing development and people into the project area that may be affected by climate change. In particular, the EIR should evaluate any potentially significant impacts of locating development in areas susceptible to hazardous conditions (e.g., floodplains, coastlines, wildfire risk areas) as identified in authoritative hazard maps, risk assessments or in land use plans addressing such hazards areas. The analysis may be limited to the potentially significant effects of locating the project in a potentially hazardous location. Further, this analysis may be limited by the project's life in relation to the potential of such effects to occur and the availability of existing information related to potential future effects of climate change. Further, the EIR need not include speculation regarding such future effects.

#### **q) ENERGY CONSERVATION**

Potentially significant energy implications of a project must be considered in an EIR to the extent relevant and applicable to the project. Therefore, the project description should identify the following as applicable or relevant to the particular project:

- (1) Energy consuming equipment and processes which will be used during construction, operation and/or removal of the project. If appropriate, this discussion should consider the energy intensiveness of materials and equipment required for the project;
- (2) Total energy requirements of the project by fuel type and end use;
- (3) Energy conservation equipment and design features;
- (4) Identification of energy supplies that would serve the project; and
- (5) Total estimated daily vehicle trips to be generated by the project and the additional energy consumed per trip by mode.

As described in Local Guideline Section 5(f), above, an initial study must include a description of the environmental setting. The discussion of the environmental setting may include existing energy supplies and energy use patterns in the region and locality. The City may also consider the extent to which energy supplies have been adequately considered in other environmental documents. Environmental impacts may include:

- (1) The project's energy requirements and its energy use efficiencies by amount and fuel type for each stage of the project including construction, operation, maintenance and/or removal. If appropriate, the energy intensiveness of materials may be discussed;
- (2) The effects of the project on local and regional energy supplies and on requirements for additional capacity;
- (3) The effects of the project on peak and base period demands for electricity and other forms of energy;
- (4) The degree to which the project complies with existing energy standards;
- (5) The effects of the project on energy resources; and/or
- (6) The project's projected transportation energy use requirements and its overall use of efficient transportation alternatives.



As discussed above in Section 5(f), the Initial Study must identify the potential environmental effects of the proposed activity. That discussion must include the unavoidable adverse effects. Unavoidable adverse effects may include wasteful, inefficient and unnecessary consumption of energy during the project construction, operation, maintenance and/or removal that cannot be feasibly mitigated.

When discussing energy conservation, alternatives should be compared in terms of overall energy consumption and in terms of reducing wasteful, inefficient and unnecessary consumption of energy.

**r) ENVIRONMENTAL IMPACT ASSESSMENT**

The function of the Initial Study is to identify any potentially significant environmental impacts the project may have. Based upon the Initial Study, Staff shall determine whether a proposed project may or will have a significant effect on the environment. Such determination shall be made in writing on the Environmental Impact Assessment Form (Form "C") may be used for this purpose. If Staff finds that a project will not have a significant effect on the environment, it shall recommend that a Negative Declaration be prepared and adopted by the decision making body. If Staff finds that a project may have a significant effect on the environment, but the effects can be mitigated to a level of insignificance, it shall recommend that a Mitigated Negative Declaration be prepared and adopted by the decision making body. If Staff finds that a project may have a significant effect on the environment, it shall recommend that an EIR be prepared and certified by the decision making body.

**s) FINAL DETERMINATION**

The City Council shall have the final responsibility for determining whether an EIR, Negative Declaration or Mitigated Negative Declaration shall be required for any project. The City Council's determination shall be final and conclusive on all persons, including Responsible Agencies and Trustee Agencies, except as provided in Section 15050(c) of the State Guidelines.

## **6) NEGATIVE DECLARATION**

### **a) DECISION TO PREPARE A NEGATIVE DECLARATION**

A Negative Declaration (Form “E”) shall be prepared for a project:

- (1) When the Director finds, in light of all of the evidence that has been submitted into the administrative record, that there is no substantial evidence to support a fair argument that the project will have a significant effect on the environment; or,
- (2) When the Initial Study identified potential effects, but the effects are less-than-significant.

### **b) DECISION TO PREPARE A MITIGATED NEGATIVE DECLARATION**

A Mitigated Negative Declaration (Form “E”) shall be prepared for a project subject to CEQA when the Initial Study identifies potentially significant effects on the environment, but:

- (1) The project applicant has agreed to revise the project or the City can revise the project to avoid these significant effects or to mitigate the effects to a point where it is clear that no significant effects would occur; and
- (2) There is no substantial evidence in light of the whole record before the City that the revised project may have a significant effect.

If an applicant proposes project features that would reduce impacts to below a level of significant, the project plans must be revised to incorporate these features before the proposed Negative Declaration is released for public review. It is insufficient to require an applicant to adopt mitigation measures after final adoption of the Negative Declaration or to state that mitigation measures will be recommended on the basis of a future study. The City must know the measures at the time the Negative Declaration is adopted in order for them to be evaluated and accepted as adequate mitigation. Evidence of agreement by the applicant to such mitigation should be in the record prior to public review. Except where noted, the procedural requirements for the preparation and approval of a Negative Declaration and Mitigated Negative Declaration are the same.

### **c) CONTRACTING FOR PREPARATION OF NEGATIVE DECLARATION OR MITIGATED NEGATIVE DECLARATION**

Pursuant to Section 21082.1(a) of CEQA, the Negative Declaration (or Mitigated Negative Declaration) for a project shall, at the option of the Director, be prepared directly by the City or under contract to the City. If the Director elects to have the Negative Declaration (or Mitigated Negative Declaration) prepared under contract with the City, then the preparation thereof, together with any required notices, responses to comments, mitigation measures, and other work reasonably required to complete the process shall be performed by a qualified consultant retained by the City or by City Staff. Even when the documents are prepared by private consultants pursuant to a contract with the City, they must be the City’s product and reflect the independent judgment of the City.

**d) NOTICE OF INTENT TO ADOPT A NEGATIVE DECLARATION OR MITIGATED  
NEGATIVE DECLARATION**

When, based upon the Initial Study, it is recommended to the decision making body that a Negative Declaration or Mitigated Negative Declaration be adopted, a Notice of Intent to Adopt a Negative Declaration or Mitigated Negative Declaration (Form “D”) shall be prepared. In addition to being provided to the public through the means set forth in Guidelines Section 6(f), this Notice shall also be provided to:

- (1) Each Responsible and Trustee Agency,
- (2) Any other federal, state, or local agency which has jurisdiction by law or exercises authority over resources affected by the project, including:
  - (i) Any water supply agency consulted under Guidelines Section 5(n);
  - (ii) Any city or county bordering on the project area;
  - (iii) For a project of statewide, regional, or areawide significance, to any transportation agencies or public agencies which have major local arterials or public transit facilities within five (5) miles of the project site or freeways, highways, or rail transit service within ten (10) miles of the project site which could be affected by the project; and
  - (iv) For a subdivision project located within one mile of a facility of the State Water Resources Development System, to the California Department of Water Resources.
- (3) The last known name and address of all organizations and individuals who have previously filed a written request with the City to receive these Notices;
- (4) For certain projects that may impact a low-level flight path, military impact zone, or special use airspace and that meet certain criteria, to the specified military services contact.
- (5) For certain projects that involve the construction or alteration of a facility anticipated to emit hazardous air emissions or handle hazardous substances within one-quarter mile of a school and that meet the certain criteria, to any potentially affected school district.
- (6) For certain waste-burning projects that meet certain criteria, to the owners and occupants of property within one-fourth mile of any parcel on which the project will be located.

A copy of the proposed Negative Declaration or Mitigated Negative Declaration and the Initial Study shall be attached to the Notice of Intent to Adopt that is sent to every Responsible Agency and Trustee Agency concerned with the project and every other public agency with jurisdiction by law over resources affected by the project.

The Notice of Intent to Adopt a Negative Declaration (Form “D”) must be filed and posted with the County Clerk at least twenty (20) days, or, when the Negative Declaration is submitted to the State Clearinghouse for review, posted by the County Clerk and the State Office and Planning and Research at least thirty (30) days prior to the final adoption of the Negative Declaration or Mitigated Negative Declaration by the decision making body. When a Negative Declaration is submitted to the State Clearinghouse for review by state agencies, the public review period shall be not less than thirty (30) days unless a shortened review period is requested in accordance with Public Resources Code Section 21091(e) and State Guidelines Section 15073 and approved by the State Clearinghouse.

If the Negative Declaration has been submitted to the State Clearinghouse for circulation, the public review period shall be at least as long as the period of review by the State Clearinghouse. (See Guidelines Section 6(f) and 6(g).) Day one of the state review period shall be the date that the State Clearinghouse distributes the document to state agencies. If the City is submitting a Negative Declaration or Mitigated Negative Declaration to the State Clearinghouse, the Notice of Completion form may be used.

The Notice of Intent to Adopt a Negative Declaration or Mitigated Negative Declaration shall contain the following information:

- (a) The period during which comments will be received;
- (b) The date, time and place of any public meetings or hearings on the proposed project;
- (c) A brief description of the proposed project and its location;
- (d) The address where copies of the proposed Negative Declaration or Mitigated Negative Declaration and all documents referenced in the proposed Negative Declaration or Mitigated Negative Declaration are available for review;
- (e) The Environmental Protection Agency (“EPA”) list on which the proposed project site is located, if applicable, and the corresponding information from the applicant’s statement. (See Guidelines Section 2(d)); and
- (f) The significant effects on the environment, if any, anticipated as a result of the proposed project.

The City may require requests for notices to be renewed annually. If the City is not otherwise required by CEQA or another regulation to provide notice, the City may charge a fee for providing notices to individuals or organizations that have submitted written requests to receive such notices, unless the request is made by another public agency.

Pursuant to Public Resources Code Section 21092(a) an action shall not be invalidated because of alleged inadequacy of the content of the Notice if the City has complied substantially with the provisions of Section 21092 of the Public Resources Code.

#### **e) SHORTENED REVIEW PERIOD**

The Director and/or the City Manager are hereby authorized to request a shortened public review period from the Office of Planning and Research for Negative Declarations submitted to the State Clearinghouse. The City designates the Director and/or the City Manager as the persons authorized to make such requests.

Whenever the Director and/or City Manager requests a shortened public review period, the Director and/or City Manager shall notify the City Council in writing of this request which shall appear on the next legally permissible City Council Agenda as an information item. The City Council may thereafter either receive and file the report or rescind the action by directing the Director and/or City Manager to notify the Office of Planning and Research of this rescission.

A shortened review period is not available for any proposed project of statewide, regional or areawide environmental significance as determined pursuant to State Guidelines Section 15206. Any approval of a shortened review period shall be given prior to, and reflected in, the public notices. The shortened review period shall not be less than twenty (20) days.

**f) POSTING AND PUBLICATION OF NEGATIVE DECLARATION OR MITIGATED NEGATIVE DECLARATION**

The City shall have a copy of the Notice of Intent to Adopt, the Negative Declaration or Mitigated Negative Declaration and the Initial Study posted at the City's offices and made available for public inspection. The Notice must be provided either twenty (20) or thirty (30) days prior to final adoption of the Negative Declaration or Mitigated Negative Declaration: The public review period for Negative Declarations or Mitigated Negative Declarations prepared for projects subject to State Clearinghouse review must be circulated for at least as long as the review period established by the State Clearinghouse, usually no less than thirty (30) days. Under certain circumstances, a shortened review period of at least twenty (20) days may be approved by the State Clearinghouse as provided for in State Guidelines Section 15105. (See Form "P"). The state review period will commence on the date the State Clearinghouse distributes the document to state agencies. The State Clearinghouse will distribute the document within three (3) days of receipt if the Negative Declaration or Mitigated Negative Declaration is deemed complete.

The Notice must also be posted in the office of the Clerk in each county in which the Project is located and must remain posted throughout the public review period. The County Clerk is required to post the Notice within twenty-four (24) hours of receiving it.

To increase public awareness, notices may be posted on the City's web site, accessible through the internet. Such postings are not required but encouraged where convenient.

Notice shall be provided as stated in Guidelines Section 6(d). In addition, it must be also given by at least one (1) of the following procedures:

- (1) Publication at least once in a newspaper of general circulation in the area affected by the proposed project. If more than one area will be affected, the notice shall be published in the newspaper of largest circulation from among the newspapers of general circulation in those areas;
- (2) Posting of notice on and off site in the area where the project is to be located; or
- (3) Direct mailing to owners and occupants of property contiguous to the project, as shown on the latest equalized assessment roll.

**g) SUBMISSION OF NEGATIVE DECLARATION OR MITIGATED NEGATIVE DECLARATION TO STATE CLEARINGHOUSE**

A Negative Declaration or Mitigated Negative Declaration must be submitted to the State Clearinghouse for circulation in the following situations:

- (1) The Negative Declaration or Mitigated Negative Declaration is prepared by a Lead Agency that is a state agency;
- (2) The Negative Declaration or Mitigated Negative Declaration is prepared by a public agency where a state agency is a Responsible Agency, Trustee Agency, or otherwise has jurisdiction by law with respect to the project; or
- (3) The Negative Declaration or Mitigated Negative Declaration is for a project identified in State Guidelines Section 15206 as being of statewide, regional, or areawide significance.

State Guidelines Section 15206 identifies the following types of projects as being examples of projects of statewide, regional, or areawide significance which require submission to the State Clearinghouse for circulation:

- (i) Projects which have the potential for causing significant environmental effects beyond the city or county where the project would be located, such as:
  1. Residential development of more than 500 units;
  2. Commercial projects employing more than 1,000 persons or covering more than 500,000 square feet of floor space;
  3. Office building projects employing more than 1,000 persons or covering more than 250,000 square feet of floor space;
  4. Hotel or motel development of more than 500 rooms; or
  5. Industrial projects housing more than 1,000 persons, occupying more than 40 acres of land, or covering more than 650,000 square feet of floor area.
- (ii) Projects for the cancellation of a Williamson Act contract covering more than 100 acres.
- (iii) Projects in one of the following Environmentally Sensitive Areas:
  1. Lake Tahoe Basin.
  2. Santa Monica Mountains Zone.
  3. Sacramento-San Joaquin River Delta.
  4. Suisun Marsh.
  5. Coastal Zone, as defined by the California Coastal Act.
  6. Areas within one-quarter mile of a river designated as wild and scenic.
  7. Areas within the jurisdiction of the San Francisco Bay Conservation and Development Commission.

- (iv) Projects which would affect sensitive wildlife habitats or the habitats of any rare, threatened, or endangered species.
- (v) Projects which would interfere with water quality standards.
- (vi) Projects which would provide housing, jobs, or occupancy for 500 or more people within 10 miles of a nuclear power plant.

A Negative Declaration or Mitigated Negative Declaration may also be submitted to the State Clearinghouse for circulation if a state agency has special expertise with regard to the environmental impacts involved.

When the Negative Declaration or Mitigated Negative Declaration is submitted to the State Clearinghouse for review, the review period shall be at least thirty (30) days. The review period begins (day one) on the date that the State Clearinghouse distributes the Negative Declaration or Mitigated Negative Declaration to state agencies. The State Clearinghouse is required to distribute the Negative Declaration or Mitigated Negative Declaration to state agencies within three (3) working days from the date the State Clearinghouse receives the document, as long as the Negative Declaration or Mitigated Negative Declaration is complete when submitted to the State Clearinghouse. If the document submitted to the State Clearinghouse is not complete, the State Clearinghouse must notify the Lead Agency. The review period for the public and all other agencies may run concurrently with the state agency review period established by the State Clearinghouse, but the public review period cannot conclude before the state agency review period does. The review period for the public shall be at least as long as the review period established by the State Clearinghouse.

When a Negative Declaration or Mitigated Negative Declaration is submitted to the State Clearinghouse, a Notice of Completion (Form “H”) should be included. A sufficient number of copies of the documents must be sent to the State Clearinghouse for circulation. Staff should contact the State Clearinghouse to find out the correct number of printed copies required for circulation. In addition to the printed copies, a copy of the documents in electronic format shall be submitted on a diskette or by electronic mail transmission if available.

Alternatively, the City may provide copies of draft environmental documents to the State Clearinghouse for state agency review in an electronic format. The document must be on a CD-ROM in a common file format such as Word or Acrobat. Lead agencies must provide fifteen (15) copies of the CD-ROM to the State Clearinghouse along with a hard copy version of the Notice of Completion (Form “H”). In addition, each CD-ROM must be accompanied by 15 printed copies of the introduction section of a Negative Declaration or Mitigated Negative Declaration. The printed summary allows both the State Clearinghouse and agency CEQA coordinators to distribute the documents quickly without the use of a computer. Form “Q” may be used as a cover sheet.

A shorter review period by the State Clearinghouse for a Negative Declaration or Mitigated Negative Declaration can be requested by the decision-making body. The shortened review period shall not be less than twenty (20) days. Such a request must be made in writing by the Lead Agency to OPR. The decision-making body may designate by resolution or ordinance

an individual authorized to request a shorter review period. (See Form “P”). Any approval of a shortened review period must be given prior to, and reflected in, the public notice. However, a shortened review period shall not be approved by the OPR for any proposed project of statewide, regional or areawide environmental significance, as defined by State Guidelines Section 15206.

**h) CONSULTATION WITH WATER AGENCIES REGARDING LARGE DEVELOPMENT PROJECTS**

Under specific circumstances the City must consult with the public water system which will supply the project to determine whether it can adequately supply the water needed for the project. See Guidelines Section 5(n) for more information on these requirements.

**i) CONTENT OF NEGATIVE DECLARATION**

A Negative Declaration must be prepared directly by or under contract to the City and should generally resemble Form “E.” A Negative Declaration circulated for public review shall contain:

- (1) A brief description of the project proposed, including any commonly used name for the project and its location;.
- (2) The name of the project proponent;
- (3) A proposed finding that the project will not have a significant effect on the environment;
- (4) An attached copy of the Initial Study documenting reasons to support the finding; and
- (5) For a Mitigated Negative Declaration, feasible mitigation measures, if any, included in the project to avoid potentially significant effects or reduce them below a level of significance. These mitigation measures must be fully enforceable through permit conditions, agreements, or other measures. Such permit conditions, agreements, and measures must be consistent with applicable constitutional requirements such as the “nexus” and “rough proportionality” standards established by case law.

For a Mitigated Negative Declaration, a mitigation monitoring or reporting program must be prepared in compliance with Public Resources Code Section 21081.6. However, the mitigation monitoring or reporting program need not be circulated for public review. The proposed Negative Declaration or Mitigated Negative Declaration must reflect the independent judgment of the City.

**j) TYPES OF MITIGATION**

The following is a non-exhaustive list of potential types of mitigation the City may consider:

- (1) Avoidance;
- (2) Preservation;
- (3) Rehabilitation or replacement. Replacement may be on-site or off-site depending on the particular circumstances; and/or



- (4) Participation in a fee program.

**k) CONSIDERATION OF COMMENTS; ADOPTION OF NEGATIVE DECLARATIONS**

Following the publication, posting or mailing of the Notice of Intent to Adopt a Negative Declaration or Mitigated Negative Declaration, but in no event sooner than the expiration of the applicable twenty (20) or thirty (30) day public review period, the Negative Declaration or Mitigated Negative Declaration may be presented to the decision making body at a regular or special meeting. Prior to adoption, the City shall independently review and analyze the Negative Declaration or Mitigated Negative Declaration and find that the Negative Declaration or Mitigated Negative Declaration reflects the independent judgment of the City.

The decision making body shall consider the proposed Negative Declaration together with all comments received during the public review period pursuant to Section 15074(b) of the State Guidelines. Comments submitted via email shall be treated as written comments for all purposes. Comments sent to the public agency via email are deemed received when they actually arrive in an email account of a staff person who has been designated or identified as the point of contact for a particular project.

The City shall notify any public agency which comments on a Negative Declaration or Mitigated Negative Declaration of the public hearing or hearings, if any, on the project for which the Negative Declaration or Mitigated Negative Declaration was prepared.

The City is not required to respond in writing to comments it receives either during or after the public review period. However, the City may want to provide a written response to all comments if it will not delay action on the Negative Declaration or Mitigated Negative Declaration, since any comment received prior to final action on the Negative Declaration or Mitigated Negative Declaration can form the basis of a legal challenge. A written response which refutes the comment or adequately explains the City's action in light of the comment will assist the City in defending against a legal challenge.

If substantial new mitigation was added after public review, the City should determine whether recirculation of the Negative Declaration is warranted. (See Guidelines Section 6(l).)

The decision making body shall adopt a Negative Declaration if it finds, on the basis of the Initial Study and all of the other evidence before it, that there is no substantial evidence to support a fair argument that the project will have a significant effect on the environment. The decision making body shall adopt a Mitigated Negative Declaration if it finds, on the basis of the Initial Study and all of the other evidence before it, that the revisions to the project plans or other mitigation measures agreed to by the project applicant have mitigated any potentially significant effects below the level of significance and there is no substantial evidence to support a fair argument that the project will have a significant effect on the environment. If the decision making body finds that the proposed project may have a significant effect on the environment that cannot be mitigated or avoided, it shall order the preparation of a DEIR and the filing of a Notice of Preparation of a DEIR. In making a finding as to whether there is any substantial evidence that the project will have a significant effect on the environment, the factors listed in Guidelines Sections 5(h) and 5(i) should be considered.

When adopting the Negative Declaration or Mitigated Negative Declaration, the City shall specify the location and custodian of the documents or other material which constitute the record of proceedings upon which it based its decision.

**l) RECIRCULATION OF A NEGATIVE DECLARATION OR MITIGATED NEGATIVE DECLARATION**

The City shall recirculate a negative declaration when the document must be substantially revised after the public review period but prior to its adoption. A “substantial revision” of the negative declaration occurs when:

- (1) The City has identified a new and avoidable significant effect for which mitigation measures or project revisions must be added in order to reduce the effect to a level of insignificance, or
- (2) The City determines that the proposed mitigation measures or project revisions included in the Negative Declaration that was circulated for public review will not reduce the potential effects to less than significant levels and new measures or revisions must be required.

Recirculation is not required under the following circumstances:

- (a) Mitigation measures are replaced with equal or more effective measures, and the City makes a finding to that effect.
- (b) New project revisions are added after circulation of the Negative Declaration or Mitigated Negative Declaration or in response to written or oral comments on the projects’ effects, but the revisions do not create new significant environmental effects and are not necessary to mitigate an avoidable significant effect.
- (c) Measures or conditions of project approval are added after circulation of the Negative Declaration or Mitigated Negative Declaration, but the measures or conditions are not required by CEQA, do not create new significant environmental effects and are not necessary to mitigate an avoidable significant effect.
- (d) New information is added to the Negative Declaration or Mitigated Negative Declaration which merely clarifies, amplifies, or makes insignificant modifications to the Negative Declaration or Mitigated Negative Declaration.

If, after preparation of a Negative Declaration or Mitigated Negative Declaration, the City determines that the project requires an EIR, it shall prepare circulate the DEIR for consultation and review and advise reviewers in writing that a proposed Negative Declaration had previously been circulated for the project.

**m) MITIGATION REPORTING OR MONITORING PROGRAM FOR MITIGATED NEGATIVE DECLARATION**

The City may require feasible changes in any or all activities involved in the project in order to substantially lessen or avoid significant effects on the environment, consistent with applicable constitutional requirements such as the “nexus” and “rough proportionality” standards established by case law. (e.g., *Nollan v. California Coastal Commission*, 483 U.S. 825 (1987); *Dolan v. City of Tigard*, 512 U.S. 374 (1994); *Ehrlich v. City of Culver City*, 12 Cal. 4th 854

(1996).) When adopting a Mitigated Negative Declaration the City shall adopt a reporting or monitoring program to assure that mitigation measures which are required to mitigate or avoid significant effects on the environment will be fully enforceable through permit conditions, agreements, or other measures and implemented by the project proponent or other responsible party in a timely manner, in accordance with conditions of project approval.

The City shall also specify the location and the custodian of the documents which constitute the record of proceedings upon which it based its decision. There is no requirement that the reporting or monitoring program be circulated for public review; however, the City may choose to circulate it for public comments along with the Negative Declaration. The mitigation measures required to mitigate or avoid significant effects on the environment must be adopted.

This reporting or monitoring program shall be designed to assure compliance during the implementation or construction of a project. If a Responsible Agency or Trustee Agency has required that certain conditions be incorporated into the project, the City may request that agency to prepare and submit a proposed reporting or monitoring program. The City shall also require that prior to the close of the public review period for a Mitigated Negative Declaration, the Responsible or Trustee Agency submit detailed performance objectives for mitigation measures, or refer the City to appropriate, readily available guidelines or reference documents. Any mitigation measures submitted to the City by a Responsible or Trustee Agency shall be limited to measures which mitigate impacts to resources which are within the Responsible or Trustee Agency's authority.

Any mitigation measures required to mitigate or avoid significant effects on the environment shall be adopted and made fully enforceable, such as by being imposed as conditions on the conditional use permit, site plan, area plan, or other discretionary approvals for the project. Alternatively, the City may require the applicant to enter into a written Mitigation Monitoring Compliance Agreement which specifies the obligations and duties relative to mitigation, monitoring, and reporting on said mitigation measures. The reporting or monitoring program shall be designed to assure compliance during the construction and implementation of the project.

If a Responsible Agency or Trustee Agency has required that certain conditions be incorporated into the project, the City may request that agency to prepare and submit a proposed reporting or monitoring program. The City shall also require that, prior to the close of the public review period for a DEIR, the Responsible or Trustee Agency submit detailed performance objectives for mitigation measures, or refer the City to appropriate, readily available guidelines or reference documents. Any mitigation measures submitted to the City by a Responsible or Trustee Agency shall be limited to measures that mitigate impacts to resources that are within the Responsible or Trustee Agency's authority.

When a project is of statewide, regional, or areawide importance, any transportation information resulting from the reporting or monitoring program required to be adopted by the City shall be submitted to the regional transportation planning agency where the project is located and to the State Department of Transportation. The transportation planning agency and the Department of Transportation are required by law to adopt guidelines for the submittal of

these reporting or monitoring programs, so the City may wish to tailor its submittal to such guidelines.

Local agencies have the authority to levy fees sufficient to pay for this program. For all private projects, the City will charge the project applicant a fee to cover actual costs of program processing and implementation.

The City may delegate reporting or monitoring responsibilities to an agency or to a private entity which accepts the delegation; however, until mitigation measures have been completed, the City remains responsible for ensuring that implementation of the mitigation measures occurs in accordance with the program.

The City may choose whether its program will monitor mitigation, report on mitigation, or both. "Reporting" is defined as a written compliance review that is presented to the Council or an authorized staff person. A report may be required at various stages during project implementation or upon completion of the mitigation measure. Reporting is suited to projects which have readily measurable or quantitative mitigation measures or which already involve regular review. "Monitoring" is generally an ongoing or periodic process of project oversight. Monitoring is suited to projects with complex mitigation measures which may exceed the expertise of the City to oversee, are expected to be implemented over a period of time, or require careful implementation to assure compliance.

At its discretion, the City may adopt standardized policies and requirements to guide individually adopted programs.

Standardized policies or requirements for monitoring and reporting may describe, but are not limited to:

- (a) The relative responsibilities of various departments within the City for various aspects of the program.
- (b) The responsibilities of the project proponent.
- (c) Guidelines adopted by the City to govern preparation of programs.
- (d) General standards for determining project compliance with the mitigation measures and related conditions of approval.
- (e) Enforcement procedures for noncompliance, including provisions for administrative appeal.
- (f) Process for informing the Council and Staff of the relative success of mitigation measures and using those results to improve future mitigation measures.

**n) NOTICE OF DETERMINATION ON A PROJECT FOR WHICH A PROPOSED  
NEGATIVE OR MITIGATED NEGATIVE DECLARATION HAS BEEN APPROVED**

After final approval of a project for which a Negative Declaration has been prepared, the Director shall cause to be prepared, filed and posted a Notice of Determination (Form "F"). The Notice of Determination shall contain the following information:

- (1) An identification of the project, including the project title as identified on the proposed Negative Declaration and its common name (where

- possible), location, and the State Clearinghouse identification number for the proposed Negative Declaration if the Notice of Determination was circulated with the State Clearinghouse;
- (2) A brief description of the project;
  - (3) The name of the City and the date upon which the City approved the project;
  - (4) The determination of the City that the project will not have a significant effect on the environment;
  - (5) A statement that a Negative Declaration or Mitigated Negative Declaration was adopted pursuant to the provisions of CEQA;
  - (6) A statement indicating whether mitigation measures were made a condition of the approval of the project, and whether a mitigation monitoring plan/program was adopted;
  - (7) The documents and filing fees required by Fish and Game Code Section 711.4, Public Resources Code Section 21089(b), and 14 California Code of Regulations 753.5; and
  - (8) The address where a copy of the Negative Declaration or Mitigated Negative Declaration may be examined.

For private projects, it shall be the responsibility and duty of the applicant to deliver to the Community Development Department a check payable to the County Clerk of the County of Orange in an amount sufficient to cover the fees required by Fish and Game Code Section 711.4, Public Resources Code Section 21089(b), and 14 California Code of Regulations 753.5 as well as the County Clerk's processing fee, within forty-eight (48) hours of City's final approval of the project. If the applicant fails to deliver the check required above to the Community Development Department within the forty-eight (48) hour period, the approval for the project shall be void.

The Notice of Determination shall be filed with the County Clerk of the County of Orange within five (5) working days of project approval. The County Clerk must post the Notice of Determination within twenty-four (24) hours of receipt. The Notice must remain posted in the office of the County Clerk for a minimum of thirty (30) days. Thereafter, the Clerk shall return the notice to the City with a notation of the period it was posted. The City shall retain the notice for not less than twelve (12) months.

If the project requires discretionary approval from any State agency or was otherwise subject to review through the State Clearinghouse, the Notice of Determination shall also be filed with the Office of Planning and Research within five (5) working days of project approval along with proof of payment of the California Department of Fish and Game fee or a no effect determination form from the Department of Fish and Game (see Guidelines Section 6(p)). Simultaneously with the filing of the Notice of Determination with the Clerk, Staff shall cause a copy of the Notice of Determination to be posted at City Hall.

If an interested party has made a written request for a copy of the Notice of Determination prior to the date on which the City adopts the Negative Declaration, the copy must be mailed, first class postage prepaid, within five (5) days of the City's determination. If such a request is made following the City's determination, then the copy should be mailed in the same

manner as soon as possible. The recipients of such documents may be charged a fee reasonably related to the cost of providing the service.

For projects with more than one phase, Staff shall file a Notice of Determination for each phase requiring a discretionary approval.

The filing and posting of the Notice of Determination with the County Clerk, and, if necessary, with the Office of Planning and Research, usually starts a thirty (30) day statute of limitations on court challenges to the approval under CEQA. When separate notices are filed for successive phases of the same overall project, the thirty (30) day statute of limitation to challenge the subsequent phase begins to run when the second notice is filed. Failure to file the Notice may result in a one hundred eighty (180) day statute of limitations.

To increase public awareness, notices may be posted on the City's web site, accessible through the internet. Such postings are not required but encouraged where convenient. Such electronic notices are in addition to the posting requirements of the CEQA Guidelines and the Public Resources Code.

**o) APPROVAL OR PROJECT; APPEAL OF ADOPTION OR REJECTION OF NEGATIVE DECLARATION**

After it has adopted a Negative Declaration or Mitigated Negative Declaration, the decision making body may consider approving the project. Except in those cases in which the City Council is the final decision making body on a project, any interested person may appeal the adoption or rejection of a Negative Declaration to the City Council. The procedures of Section 2.04.100 et seq. of the Lake Forest Municipal Code shall govern this appeal, except that the time limit for filing the appeal shall be ten (10) days after the adoption or rejection of the Negative Declaration.

**p) FILING FEES FOR PROJECTS WHICH AFFECT WILDLIFE RESOURCES**

At the time a Notice of Determination for a Negative Declaration is filed with the County or Counties in which the project is located, the applicable Department of Fish and Game filing fee shall be paid to the Clerk for projects that will adversely affect fish or wildlife resources. These fees are collected by the Clerk on behalf of the California Department of Fish and Game ("DFG") pursuant to Fish and Game Code Section 711.4.

Only one filing fee is required for each project unless the project is tiered or phased and separate environmental documents are prepared. (Fish & Game Code Section 711.4(g).) For projects where Responsible Agencies file separate Notices of Determination, only the Lead Agency is required to pay the fee.

For private projects, the City may pass these costs on to the project applicant.

Fish and Game Code fees may be waived for projects with "no effect" on fish or wildlife resources or for certain projects undertaken by the DFG and implemented through a contract with a non-profit entity or local government agency; however, the Lead Agency must obtain a form showing that the DFG has determined that the project will have "no effect" on fish and

wildlife. (Fish and Game Code Section 711.4(c)(2)(A)). Projects that are statutorily or categorically exempt from CEQA are also not subject to the filing fee, and do not require a no effect determination (CEQA Local Guidelines Sections 15260 through 15333; Fish and Game Code Section 711.4(d)(1)). Regional Department environmental review and permitting staff are responsible for determining whether a project within their region will qualify for a no effect determination and if the CEQA filing fee will be waived.

The request should be submitted when the CEQA document is released for public review, or as early as possible in the public comment period. Documents submitted in digital format are preferred (e.g. compact disk). If insufficient documentation is submitted to the Department for the proposed project, a no effect determination will not be issued.

If the City believes that a project for which it is Lead Agency will have “no effect” on fish or wildlife resources, it should contact the DFG Department Regional Office. The project’s CEQA document may need to be provided to the DFG Department Regional Office along with a written request. Documentation submitted to the DFG Department Regional Office should set forth facts in support of the fee exemption. Previous examples of projects that have qualified for a fee exemption include: minor zoning changes that did not lead to or allow new construction, grading, or other physical alterations to the environment and minor modifications to existing structures including addition of a second story to single or multi-family residences.

It is important to note that the fee exemption requirement that the project have “no” impact on fish or wildlife resources is more stringent than the former requirement that a project have only “de minimis” effects on fish or wildlife resources. DFG may determine that a project would have no effect on fish and wildlife if all of the following conditions apply:

- The project would not result in or have the potential to result in harm, harassment, or take of any fish and/or wildlife species.
- The project would not result in or have the potential to result in direct or indirect destruction, ground disturbance, or other modification of any habitat that may support fish and/or wildlife species.
- The project would not result in or have the potential to result in the removal of vegetation with potential to support wildlife.
- The project would not result in or have the potential to result in noise, vibration, dust, light, pollution, or an alteration in water quality that may affect fish and/or wildlife directly or from a distance.
- The project would not result in or have the potential to result in any interference with the movement of any fish and/or wildlife species.

Any request for a fee exemption should include the following information:

- (1) the name and address of the project proponent and applicant contact information;
- (2) a brief description of the project and its location;
- (3) site description and aerial and/or topographic map of the project site;
- (4) State Clearinghouse number or county filing number;

- (5) a statement that an Initial Study has been prepared by the City to evaluate the project's effects on fish and wildlife resources, if any; and
- (6) a declaration that, based on the City's evaluation of potential adverse effects on fish and wildlife resources, the City believes the project will have no effect on fish or wildlife.

If insufficient documentation is submitted to DFG for the proposed project, a no effect determination will not be issued. (A sample Request for Fee Exemption is attached as Form "L".) DFG will review the City's finding, and if DFG agrees with the Lead Agency's conclusions, DFG will provide the City with written confirmation. Retain DFG's determination as part of the administrative record; the City is required to file a copy of this determination with the County after project approval and at the time of filing of the Notice of Determination (NOD).

The Lead Agency must have written confirmation of DFG's finding of "no impact" at the time the Lead Agency files its Notice of Determination with the County. The County cannot accept the Notice of Determination unless it is accompanied by the appropriate fee or a written no effect determination from DFG.



## **7) ENVIRONMENTAL IMPACT REPORT**

### **a) DECISION TO PREPARE AN EIR**

An EIR shall be prepared whenever the Director determines, in light of the whole record, that there is substantial evidence which supports a fair argument that the project may have a significant effect on the environment which cannot be reduced below the level of significance by revision of the project plans or other mitigation measures agreed to by the project applicant. The record may include the Initial Study or other documents or studies prepared to assess the project's environmental impacts. When it is determined that an EIR will be required for a project, the procedures set forth in this Section shall be followed in the EIR preparation.

### **b) PREPARATION OF A DEIR**

Pursuant to Section 15084(a) of the State Guidelines, the DEIR for a project shall be prepared under contract to the City. In order to streamline the EIR preparation process, and in accordance with Section 15084(d)(2) of the State Guidelines, preparation of the DEIR, required notices, responses to comments, mitigation measures, and other work reasonably required to complete the EIR process ("EIR Work"), shall be performed by a qualified Consultant retained by the City or by City staff.

The Director is authorized to create and maintain a list of pre-qualified EIR Consultants to be used to prepare EIR Work. The Director shall promulgate criteria to be used in pre-qualifying EIR Consultants. This criteria shall include the Consultant's level of skill and experience in preparing EIRs, the Consultant's ability to complete work within the time required, the quality of Consultant's previous work performed for the City, and the identification of any potentially disqualifying conflicts of interest. Consultants may request pre-qualification by submission of a letter to the Director accompanied by the information required by the Director. The Director is authorized to determine whether each Consultant satisfies the criteria for pre-qualification.

In the event the Director determines that there are insufficient pre-qualified Consultants or that it would be in the best interests of the City to forego the pre-qualification process, the Director may institute a Request for Proposal process or any other lawful means to obtain a qualified Consultant. It is expressly recognized that the retention of CEQA Consultants is exempt from the City's requirement that City Council authorization be obtained before a Request for Proposals is issued. This exception recognizes the statutory timeline to retain a Consultant would preclude meaningful review or evaluation of the proposals submitted and the fact that the Consultant's scope of work is largely set by State law.

The contract for a consultant's preparation of an EIR must be executed within forty-five (45) days from the date on which the City sends a Notice of Preparation. The City may take longer to execute the contract if the project applicant and the City mutually agree to an extension of the 45-day time limit.

The EIR prepared under contract must be the City's product. Staff, together with such consultant help as may be required, shall independently review and analyze the EIR to verify its

accuracy, objectivity and completeness prior to presenting it to the decision making body. The EIR made available for public review must reflect the independent judgment of the City.

**c) DEPOSIT FOR PRIVATE PROJECTS**

In order to commence the EIR preparation process, the project applicant shall deposit with the City an initial deposit of \$9,500. Following selection of an environmental consultant for preparation of the EIR, the applicant shall deposit an amount equal to the estimated cost to complete the EIR plus any fees required by the City. The Director shall use the applicant's deposits to pay for work completed by the Consultant and for all City costs in reviewing, revising, and processing the same. Following final certification of the EIR or denial of certification by the City Council, the Community Development Department, in conjunction with the Finance Department, shall undertake a final accounting for the EIR process. In the event the amount of the deposit exceeds the City's costs, including all consulting, staff, legal, and publishing costs, a refund in the amount of the excess shall be provided to the project applicant. In the event such costs exceed the project applicant's deposit, the City shall bill the project applicant for the overage.

**d) COOPERATION OF PROJECT APPLICANT**

The project applicant shall supply the City with such information and data concerning the project and its effects on the environment as the Director deems necessary for completion of the EIR. In addition, any other person may submit information or comments to the Director to assist in the preparation of the DEIR. The submittal of such information may be presented in any format, including the form of a DEIR. This information or comments may be included in the City's DEIR, in whole or in part, at the City's election. The decision making body will consider all information and comments received. However, before using a DEIR prepared by another person, the decision making body shall subject the DEIR to the body's own review and analysis. Nothing in this paragraph shall be construed to be an exception to the requirement of these Guidelines that the DEIR be prepared under contract with the City.

**e) NOTICE OF PREPARATION OF DEIR**

After the determination has been made that an EIR will be required for a project, or a determination has been made pursuant to Public Resources Code Section 21157 with respect to a Master EIR, the Director shall cause a Notice of Preparation (Form "G") to be prepared and sent to the Office of Planning and Research and to each of the following:

- (1) Each Responsible Agency and Trustee Agency involved with the project;
- (2) Any other federal, state, or local agency which has jurisdiction by law or exercises authority over resources affected by the project, including:
  - (i) Any water supply agency consulted under Guidelines Section 5(n);
  - (ii) Any city or county bordering on the project area;
  - (iii) For a project of statewide, regional, or areawide significance, to any transportation agencies or public agencies which have major

local arterials or public transit facilities within five (5) miles of the project site or freeways, highways, or rail transit service within ten (10) miles of the project site which could be affected by the project; and

- (iv) For a subdivision project located within one mile of a facility of the State Water Resources Development System, to the California Department of Water Resources.
- (3) The last known name and address of all organizations and individuals who have previously filed a written request with the City to receive these Notices;
- (4) For certain projects that may impact a low-level flight path, military impact zone, or special use airspace and that meet certain other criteria, to the specified military services contact.
- (5) For certain projects that involve the construction or alteration of a facility anticipated to emit hazardous air emissions or handle hazardous substances within one-quarter mile of a school and that meet certain other requirements, to any potentially affected school district.
- (6) For certain waste-burning projects, to the owners and occupants of property within one-fourth mile of any parcel on which the project will be located.

The Notice of Preparation must also be filed and posted in the office of the County Clerk in the County of Orange for thirty (30) days. The County Clerk must post the Notice within twenty-four (24) hours of receipt.

When submitting the Notice of Preparation to the Office of Planning and Research, a Notice of Completion (Form "H") should be used as a cover sheet. agencies and other parties to which a Notice of Preparation is sent shall have thirty (30) days from the receipt of the Notice to respond to it. If an agency or party fails to respond by the end of the thirty (30) day period, it shall be presumed that the agency or party has no comments on the Notice, unless the City has granted that agency or party additional time to respond. The copies of the Notice of Preparation shall be sent by certified mail or any other method of transmittal which provides the City with a record that the Notice has been received.

At a minimum, the Notice of Preparation shall include:

- (a) A description of the project;
- (b) The location of the project indicated either on an attached map (preferably a copy of the USGS 15' or 7½' topographical map identified by quadrangle name) or by a street address and cross street in an urbanized area;
- (c) The probable environmental effects of the project;
- (d) The name and address of the consulting firm retained to prepare the DEIR, if applicable; and

- (e) The Environmental Protection Agency (“EPA”) list on which the proposed site is located, if applicable, and the corresponding information from the applicant’s statement.

**f) SPECIAL NOTICE REQUIREMENTS FOR AFFECTED MILITARY AGENCIES**

CEQA imposes additional requirements to provide notice to potentially affected military agencies under certain circumstances. When a project meets these requirements, the City must provide the military service’s designated contact with any Notice of Preparation, and/or Notice of Availability of DEIRs that have been prepared for a project, unless the project involves the remediation of lands contaminated with hazardous wastes and meets certain other requirements. See Public Resources Code Sections 21080.4, 21092, and 21098, and Health and Safety Code Sections 25300, et seq., 25396, and 25187.

The City must provide the military service with sufficient notice of its intent to certify an EIR to ensure that the military service has no fewer than thirty (30) days to review the document; or forty-five (45) days to review the environmental documents before they are approved if the documents have been submitted to the State Clearinghouse.

It should be noted that the effect, or potential effect, a project may have on military activities does not itself constitute an adverse effect on the environment pursuant to CEQA.

**g) PREPARATION OF DEIR**

The City as Lead Agency is responsible for preparing a DEIR and may begin preparation immediately without awaiting responses to the Notice of Preparation. However, relevant information communicated to the City not later than thirty (30) days after receipt of the City’s Notice of Preparation shall be included in the DEIR.

**h) CONSULTATION WITH OTHER AGENCIES AND PERSONS**

To expedite consultation in response to the Notice of Preparation, the City as Lead Agency, a Responsible Agency, or a project applicant may request a meeting among the agencies involved to assist the City in determining the scope and content of the environmental information that the involved agencies may require. For any project that may affect highways or other facilities under the jurisdiction of the State Department of Transportation, the Department of Transportation can request a scoping meeting. When acting as Lead Agency, the City must convene the meeting as soon as possible but no later than 30 days after the request is made. When acting as a Responsible Agency, the City should make any requests for consultation as soon as possible after receiving a Notice of Preparation.

Prior to completion of the DEIR, the City shall consult with each Responsible Agency and any public agency which has jurisdiction by law over the project.

When acting as a Lead Agency, the City may fulfill this obligation by distributing the Notice of Preparation in compliance with Guidelines Section 7(e) and soliciting the comments of Responsible Agencies, Trustee Agencies, and other affected agencies. The City may also consult with any individual who has special expertise with respect to any environmental impacts

involved with a project. The City may also consult directly with any person or organization it believes will be concerned with the environmental effects of the project, including any interested individuals and organizations of which the City is reasonably aware. The purpose of this consultation is to “scope” the EIR’s range of analysis. When a Negative Declaration or Mitigated Negative Declaration will be prepared for a project, no scoping meeting need be held, although the City may hold one if it so chooses. For private projects, the City as Lead Agency may charge and collect from the applicant a fee not to exceed the actual cost of the consultations.

In addition to soliciting comments on the Notice of Preparation, the City may be required to conduct a scoping meeting to take additional input regarding the impacts to be analyzed in the EIR. The Lead Agency is required to conduct a scoping meeting when:

- (1) The meeting is requested by a Responsible Agency, a Trustee Agency, the Office of Planning and Research, or a project applicant;
- (2) The project is one of “statewide, regional or areawide significance” as defined in State Guidelines Section 15206; or
- (3) The project may affect highways or other facilities under the jurisdiction of the State Department of Transportation and the Department of Transportation has requested a scoping meeting.

When acting as Lead Agency, the City shall provide notice of the scoping meeting to all of the following:

- (a) Any county or city that borders on a county or city within which the project is located, unless the City has a specific agreement to the contrary with that county or city;
- (b) Any Responsible Agency;
- (c) Any public agency that has jurisdiction by law over the project;
- (d) A transportation planning agency, or any public agency that has transportation facilities within its jurisdiction, that could be affected by the project; and
- (e) Any organization or individual who has filed a written request for the notice.

The requirement for providing notice of a scoping meeting may be met by including the notice of the public scoping meeting in the public meeting notice.

For projects that are also subject to NEPA, a scoping meeting held pursuant to NEPA satisfies the CEQA scoping requirement as long as notice is provided to the agencies and individuals listed above, and in accordance with these Guidelines.

The City shall call the scoping meeting as soon as possible but not later than 30 days after the meeting was requested.

A Responsible Agency or other public agency shall only make comments regarding those activities within its area of expertise or which are required to be carried out or approved by it. These comments must be supported by specific documentation. Any mitigation measures

submitted to the City by a Responsible or Trustee Agency shall be limited to measures which mitigate impacts to resources which are within the Responsible or Trustee Agency's authority.

For projects of statewide, areawide, or regional significance, consultation with transportation planning agencies or with public agencies that have transportation facilities within their jurisdictions shall be for the purpose of obtaining information concerning the project's effect on major local arterials, public transit, freeways, highways, overpasses, on-ramps, off-ramps, and rail transit services. Any transportation planning agency or public agency that provides information to the lead agency must be notified of, and provided with, copies of any environmental documents relating to the project.

**i) EARLY CONSULTATION ON PROJECTS INVOLVING PERMIT ISSUANCE**

When the project involves the issuance of a lease, permit, license, certificate, or other entitlement for use to a person by one or more public agencies, the Director shall, upon the request of the applicant, provide for early consultation regarding the project. The consultation shall seek to identify the range of actions, potential alternatives, mitigation measures, and significant effects to be analyzed in depth in the EIR. The City may also consult with concerned persons identified by the applicant and persons who have made written requests to be consulted.

A request for early consultation shall be made within thirty (30) days after the determination by the City that an EIR will be required for the project. The City Council may by Resolution establish a fee sufficient to recover the actual costs of consultation with the applicant.

**j) CONSULTATION WITH WATER AGENCIES REGARDING LARGE DEVELOPMENT PROJECTS**

Under specific circumstances, the City must consult with the public water system to determine whether it can adequately supply the water needed for the project. See Guidelines Section 5(n) for more information on these requirements.

**k) GENERAL ASPECTS OF AN EIR**

Both a Draft and Final EIR must contain the information outlined in Guidelines Section 7(o). Each element must be covered, and when elements are not separated into distinct sections, the document must state where in the document each element is covered.

The body of the EIR shall include summarized technical data, maps, diagrams and similar relevant information. Highly technical and specialized analyses and data should be included in appendices. Appendices may be prepared in separate volumes, but must be equally available to the public for examination. All documents used in preparation of the EIR must be referenced. An EIR shall not include "trade secrets," locations of archaeological sites and sacred lands, or any other information subject to the disclosure restrictions of the Public Records Act (Government Code Section 6250, et seq.).

The EIR should discuss environmental effects in proportion to their severity and probability of occurrence. Effects dismissed in the Initial Study as clearly insignificant and unlikely to occur need not be discussed.

The Initial Study should be used to focus the EIR so that the EIR identifies and discusses only the specific environmental problems or aspects of the project which have been identified as potentially significant or important. A copy of the Initial Study should be attached to the EIR or included in the administrative record to provide a basis for limiting the impacts discussed.

The EIR shall contain a statement briefly indicating the reason for determining that various effects of a project that could possibly be considered significant were not found to be significant and consequently were not discussed in detail in the EIR. The City should also note any conclusion by it that a particular impact is too speculative for evaluation.

The EIR should omit unnecessary descriptions of projects and emphasize feasible mitigation measures and alternatives to projects.

#### **l) USE OF REGISTERED CONSULTANTS IN PREPARING EIRS**

An EIR is not a technical document that can be prepared only by a registered consultant or professional. However, state statutes may provide that only registered professionals can prepare certain technical studies which will be used in or which will control the detailed design, construction, or operation of the proposed project and which will be prepared in support of an EIR.

#### **m) INCORPORATION BY REFERENCE**

A CEQA document, such as a Negative Declaration, Mitigated Negative Declaration or an EIR, may incorporate by reference all or portions of another document which is a matter of public record or is generally available to the public. Any incorporated document shall be considered to be set forth in full as part of the text of the subsequent document. When all or part of another document is incorporated by reference, that document shall be made available to the public for inspection at the City's offices. The subsequent document shall state where incorporated documents will be available for inspection.

When using incorporation by reference, the incorporated part of the referenced document shall be briefly summarized, if possible, or briefly described if the data or information cannot be summarized. The relationship between the incorporated document and the subsequent document shall be described. When information from a document that has previously been reviewed through the state review system ("State Clearinghouse") is incorporated by the City, the state identification number of the incorporated document should be included in the summary or text of the subsequent document.

#### **n) STANDARDS FOR ADEQUACY OF AN EIR**

An EIR should be prepared with a sufficient degree of analysis to provide decision makers with information which enables them to make a decision which takes into account the environmental consequences of the project. The evaluation of environmental effects need not be exhaustive, but must be within the scope of what is reasonably feasible. The EIR should be written and presented in such a way that it can be understood by governmental decision makers and members of the public. A good faith effort at completeness is necessary. The adequacy of an EIR is assessed in terms of what is reasonable in light of factors such as the magnitude of the

project at issue, the severity of its likely environmental impacts, and the geographic scope of the project. CEQA does not require a Lead Agency to conduct every test or perform all research, study, and experimentation recommended or demanded by commentators, but CEQA does require the Lead Agency to make a good faith, reasoned response to timely comments raising significant environmental issues.

There is no need to unreasonably delay adoption of an EIR in order to include results of studies in progress, even if those studies will shed some additional light on subjects related to the project.

**o) FORM AND CONTENT OF EIR**

The text of the EIR should normally be less than 150 pages. For proposals of unusual scope or complexity, the EIR may be longer than 150 pages but should normally be less than 300 pages. The required contents of an EIR are set forth in Sections 15122 through 15132 of the State Guidelines. In brief, the EIR must contain:

- (1) A table of contents or an index.
- (2) A brief summary of the proposed project, including each significant effect with proposed mitigation measures and alternatives, areas of known controversy and issues to be resolved including the choice among alternatives and whether or how to mitigate the significant effects, and whether there are any significant and unavoidable impacts. (Generally, the summary should be less than fifteen (15) pages.)
- (3) A description of the proposed project, including its underlying purpose and a list of permit and other approvals required to implement the project. (See Guidelines Section 7(t) regarding analysis of future project expansion.)
- (4) A description of the environmental setting which includes the project's physical environmental conditions from both a local and regional perspective at the time the Notice of Preparation is published, or if no Notice of Preparation is published, at the time environmental analysis begins. (State Guidelines Section 15125.) This environmental setting will normally constitute the baseline physical conditions by which the Lead Agency determines whether an impact is significant. However, the City may choose any baseline that is appropriate as long as the Lead Agency's choice of baseline is supported by substantial evidence.
- (5) A discussion of any inconsistencies between the proposed project and applicable general, specific and regional plans. Such plans include, but are not limited to, the applicable air quality attainment or maintenance plan or State Implementation Plan, areawide waste treatment and water quality control plans, regional transportation plans, regional housing allocation, regional blueprint plans, plans for the reduction of greenhouse gas emissions, habitat conservation plans, natural community conservation plans and regional land use plans.
- (6) A description of the direct and indirect significant environmental impacts of the proposed project explaining which, if any, can be avoided or



- mitigated to a level of insignificance, indicating reasons that various possible significant effects were determined not to be significant and denoting any significant effects which are unavoidable or could not be mitigated to a level of insignificance. Direct and indirect significant effects shall be clearly identified and described, giving due consideration to both short-term and long-term effects.
- (7) Potentially significant energy implications of a project must be considered to the extent relevant and applicable to the project. (See Local Guidelines Section 5(q).)
  - (8) An analysis of a range of alternatives to the proposed project which could feasibly attain the project's objectives as discussed in Guidelines Section 7(s).
  - (9) A description of any significant irreversible environmental changes which would be involved in the proposed action should it be implemented if, and only if, the EIR is being prepared in connection with:
    - (i) The adoption, amendment, or enactment of a plan, policy, or ordinance of a public agency;
    - (ii) The adoption by a Local Agency Formation Commission of a resolution making determinations; or
    - (iii) A project which will be subject to the requirement for preparing an Environmental Impact Statement pursuant to the National Environmental Policy Act.
  - (10) An analysis of the growth-inducing impacts of the proposed action. The discussion should include ways in which the project could foster economic or population growth, or the construction of additional housing, either directly or indirectly, in the surrounding environment. Growth-inducing impacts may include the estimated energy consumption of growth induced by the project.
  - (11) A discussion of any significant, reasonably anticipated future developments and the cumulative effects of all proposed and anticipated action as discussed in Guidelines Section 7(t).
  - (12) In certain situations, a regional analysis should be completed for certain impacts, such as air quality.
  - (13) A discussion of any economic or social effects, to the extent that they cause or may be used to determine significant environmental impacts.
  - (14) A statement briefly indicating the reasons that various possible significant effects of a project were determined not to be significant and, therefore, were not discussed in the EIR.
  - (15) The identity of all federal, state or local agencies or other organizations and private individuals consulted in preparing the EIR, and the identity of the persons, firm or agency preparing the EIR, by contract or other authorization. To the fullest extent possible, the City should integrate

CEQA review with these related environmental review and consultation requirements.

- (16) A discussion of those potential effects of the proposed project on the environment which the City has determined are or may be significant. The discussion on other effects may be limited to a brief explanation as to why those effects are not potentially significant.
- (17) A description of feasible measures, as set forth in Guidelines Section 7(r), which could minimize significant adverse impacts.

**p) CONSIDERATION AND DISCUSSION OF SIGNIFICANT ENVIRONMENTAL IMPACTS**

An EIR must identify and focus on the significant environmental effects of the proposed project. In assessing the proposed project's potential impacts on the environment, the City should normally limit its examination to comparing changes that would result from the project as compared to the existing physical conditions in the affected area as they exist when the Notice of Preparation is published. If a Notice of Preparation is not published for the project, the City should compare the proposed project's potential impacts to the physical conditions that exist at the time environmental review begins.

Direct and indirect significant effects of the project on the environment must be clearly identified and described, considering both the short-term and long-term effects. The discussion should include relevant specifics of the area, the resources involved, physical changes, alterations to ecological systems, and changes induced in population distribution, population concentration, the human use of the land (including commercial and residential development), health and safety problems caused by the physical changes, and other aspects of the project that may impact resources in the project area, such as water, historical resources, scenic quality, and public services. The EIR must also analyze any significant environmental effects the project might cause by bringing development and people into the area. If applicable, an EIR should also evaluate the impacts of locating development in other areas susceptible to hazardous conditions (e.g., floodplains, coastlines, wildfire risk areas) as identified on authoritative hazard maps, risk assessments or in land use plans addressing such hazards areas.

The EIR must describe all significant impacts, including those which can be mitigated but not reduced to a level of insignificance. Where there are impacts that cannot be alleviated without imposing an alternative design, their implications and the reasons why the project is being proposed, notwithstanding their effect, should be described.

The EIR must also discuss any significant irreversible environmental changes which would be caused by the project. For example, use of nonrenewable resources during the initial and continued phases of a project may be irreversible if a large commitment of such resources makes removal or nonuse thereafter unlikely. Additionally, irreversible commitment of resources may include a discussion of how the project preempts future energy development or future energy conservation. The discussion of irreversible commitment of resources may include a discussion of how the project preempts future energy development or future energy conservation. Irretrievable commitments of resources to the proposed project should be evaluated to assure that such current consumption is justified.

#### **q) ANALYSIS OF CUMULATIVE IMPACTS**

An EIR must discuss cumulative impacts when the project's incremental effect is "cumulatively considerable" as defined in Local Guidelines Section 10.11. When the City is examining a project with an incremental effect that is not "cumulatively considerable," it need not consider that effect significant, but must briefly describe the basis for this conclusion. A project's contribution may be less than cumulatively considerable if the project is required to implement or fund its fair share of a mitigation measure designed to alleviate the cumulative impact. When relying on a fee program or mitigation measure(s), the City must identify facts and analysis supporting its conclusion that the cumulative impact is less than significant.

The City may determine that a project's incremental contribution to a cumulative effect is not cumulatively considerable if the project will comply with the requirements in a previously approved plan or mitigation program that provides specific requirements that will avoid or substantially lessen the cumulative problem in the geographic area in which the project is located. Such plans and programs may include, but are not limited to:

- (1) Water quality control plans;
- (2) Air quality attainment or maintenance plans;
- (3) Integrated waste management plans;
- (4) Habitat conservation plans;
- (5) Natural community conservation plans; and/or
- (6) Plans or regulations for the reduction of greenhouse gas emissions.

When relying on such a regulation, plan, or program, the City should explain how implementing the particular requirements of the plan, regulation or program will ensure that the project's incremental contribution to the cumulative effect is not cumulatively considerable.

A cumulative impact consists of an impact which is created as a result of the combination of the project evaluated in the EIR together with other projects causing related impacts. An EIR should not discuss impacts which do not result in part from the project evaluated in the EIR.

The discussion of cumulative impacts in an EIR must focus on the cumulative impact to which the identified other projects contribute, rather than the attributes of other projects which do not contribute to the cumulative impact. The discussion of significant cumulative impacts must meet either of the following elements:

- (1) A list of past, present, and probable future projects causing related or cumulative impacts including, if necessary, those projects outside the control of the City; or
- (2) A summary of projections contained in an adopted local, regional or statewide plan, or related planning document, that describes or evaluates conditions contributing to the cumulative effect. Such plans may include: a general plan, regional transportation plan, or a plan for the reduction of greenhouse gas emissions. A summary of projections may also be contained in an adopted or certified prior environmental document for such a plan. Such projections may be supplemented with additional

information such as a regional modeling program. Documents used in creating a summary of projections must be referenced and made available to the public.

When utilizing a list, as suggested above, factors to consider when determining whether to include a related project should include the nature of each environmental resource being examined and the location and type of project. Location may be important, for example, when water quality impacts are involved since projects outside the watershed would probably not contribute to a cumulative effect. Project type may be important, for example, when the impact is specialized, such as a particular air pollutant or mode of traffic.

Public Resources Code section 21094 also states that if a Lead Agency determines that a cumulative effect has been adequately addressed in an earlier EIR, it need not be examined in a later EIR if the later project's incremental contribution to the cumulative effect is not cumulatively considerable. A cumulative effect has been adequately addressed in the prior EIR if:

- (i) it has been mitigated or avoided as a result of the prior EIR; or
- (ii) the cumulative effect has been examined in a sufficient level of detail to enable the effect to be mitigated or avoided by site-specific revisions, the imposition of conditions, or other means in connection with the approval of the later project.

If the Lead Agency determines that the cumulative effect has been adequately addressed in a prior EIR, it should clearly explain how in the current environmental documentation for the project.

The City should define the geographic scope of the area affected by the cumulative effect and provide a reasonable explanation for the geographic limitation used.

#### **r) ANALYSIS OF MITIGATION MEASURES**

The discussion of mitigation measures in an EIR must distinguish between measures proposed by project proponents and other measures proposed by Lead, Responsible or Trust Agencies. This discussion shall identify mitigation measures for each significant environmental effect identified in the EIR.

Where several measures are available to mitigate an impact, each should be disclosed and the basis for selecting a particular measure should be identified. Formulation of mitigation measures should not be deferred until some future time. However, measures may specify performance standards which would mitigate the significant effects of the project and which may be accomplished in more than one specified way where: (1) the measures address the kind of impacts for which mitigation is known to be feasible; and (2) the measures are proposed early in the environmental review process.

If a mitigation measure would cause one or more significant effects in addition to those that would be caused by the project as proposed, the effects of the mitigation measure shall be disclosed but in less detail than the significant effects of the project itself.

If a project includes a housing development, the City may not reduce the project's proposed number of housing units as a mitigation measure or project alternative if the City determines that there is another feasible specific mitigation measure or project alternative that would provide a comparable level of mitigation without reducing the number of housing units.

Mitigation measures must be fully enforceable through permit conditions, agreements, or other legally binding instruments. In the case of the adoption of a plan, policy, regulating, or other public project, mitigation measures can be incorporated into the plan, policy, regulation, or project design. Mitigation measures must also be consistent with all applicable constitutional requirements such as the "nexus" and "rough proportionality" standards.

Where maintenance, repair, stabilization, rehabilitation, restoration, preservation, conservation or reconstruction of the historical resource will be conducted in a manner consistent with the Secretary of the Interior's "Standards for the Treatment of Historic Properties with Guidelines for Preserving, Rehabilitating, Restoring and Reconstructing Historic Buildings" (1995), Weeks and Grimmer, the project's impact on the historical resource shall generally be considered mitigated below a level of significance and thus not significant.

The City should, whenever feasible, seek to avoid damaging effects on any historical resource of an archaeological nature. The following factors must be considered and discussed in an EIR for a project involving an archaeological site:

- (1) Preservation in place is the preferred manner of mitigating impacts to archaeological sites.
- (2) Preservation in place may be accomplished by, but is not limited to, the following:
  - (i) Planning construction to avoid archaeological sites;
  - (ii) Incorporation of sites within parks, green space, or other open spaces;
  - (iii) Covering the archaeological sites with a layer of chemically stable soil before building tennis courts, parking lots, or similar facilities on the site;
  - (iv) Deeding the site into a permanent conservation easement.

When data recovery through excavation is the only feasible mitigation, a data recovery plan, which makes provision for adequately recovering the scientifically consequential information from and about the historical resource, shall be prepared and adopted prior to excavation. Such studies must be deposited with the California Historical Resources Regional Information Center.

Data recovery shall not be required for a historical resource if the City determines that existing testing or studies have adequately recovered the scientifically consequential information from and about the archaeological or historical resource, provided that the determination is documented in the EIR and that the studies are deposited with the California Historical Resources Regional Information Center.

**s) ANALYSIS OF ALTERNATIVES IN AN EIR**

The alternatives analysis must describe and evaluate the comparative merits of a range of reasonable alternatives to the project or to the location of the project which would feasibly attain most of the basic objectives of the project, but which would avoid or substantially lessen any of the significant effects of the project. An EIR need not consider every conceivable alternative to a project, and it need not consider alternatives which are infeasible. Rather, it must consider a reasonable range of potentially feasible alternatives that will foster informed decision making and public participation.

**Purpose of the Alternatives Analysis:** An EIR must identify ways to mitigate or avoid the significant effects that a project may have on the environment. For this reason, a discussion of alternatives must focus on alternatives to the project or its location which are capable of avoiding or substantially lessening any significant effect of the project, even if these alternatives would impede to some degree the attainment of the project objectives or would be more costly.

**Selection of a Range of Reasonable Alternatives:** The range of potential alternatives to the proposed project shall include those that could feasibly accomplish most of the basic purposes of the project and could avoid or substantially lessen one or more of the significant effects, even if those alternatives would be more costly or would impede to some degree the attainment of the project's objectives. The EIR should briefly describe the rationale for selecting the alternatives to be discussed. The EIR should also identify any alternatives that were considered by the Lead Agency and rejected as infeasible during the scoping process, and it should briefly explain the reasons for rejecting those alternatives. Additional information explaining the choice of alternatives should be included in the administrative record. Among the factors that may be used to eliminate alternatives from detailed consideration in an EIR are: (a) failure to meet most of the basic project objectives; (b) infeasibility; or (c) inability to avoid significant environmental impacts.

**Evaluation of Alternatives:** The EIR shall include sufficient information about each alternative to allow meaningful evaluation, analysis and comparison with the proposed project. A matrix displaying the major characteristics and significant environmental effects of each alternative may be used to summarize the comparison. The matrix may also identify and compare the extent to which each alternative meets project objectives. If an alternative would cause one or more significant effects in addition to those that would be caused by the project as proposed, the significant effects of the alternative shall be discussed but in less detail than the significant effects of the project as proposed.

**The Rule of Reason:** The range of alternatives required in an EIR is governed by a "rule of reason" which courts have held means that an alternatives discussion must be reasonable in scope and content. Therefore, the EIR must set forth only those alternatives necessary to permit

public participation, informed decision making, and a reasoned choice. The alternatives shall be limited to ones that would avoid or substantially lessen any of the significant effects of the project. Of those alternatives, the EIR need examine in detail only the ones the City determines could feasibly attain most of the basic objectives of the project. An EIR need not consider an alternative whose effect cannot be reasonably ascertained and whose implementation is remote and speculative.

**Feasibility of Alternatives:** The factors that may be taken into account when addressing the feasibility of alternatives include: site suitability; economic viability; availability of infrastructure; general plan consistency; other plans or regulatory limitations; jurisdictional boundaries (projects with a regionally significant impact should consider the regional context); and whether the proponent already owns the alternative site or can reasonably acquire, control or otherwise have access to the site. No one factor establishes a fixed limit on the scope of reasonable alternatives.

**Alternative Locations:** The first step in the alternative location analysis is to determine whether any of the significant effects of the project could be avoided or substantially lessened by putting the project in another location. This is the key question in this analysis. Only locations that would avoid or substantially lessen any of the significant effects of the project need be considered for inclusion in the EIR.

The second step in this analysis is to determine whether any of the alternative locations are feasible. If the City concludes that no feasible alternative locations exist, it must disclose its reasons, and it should include them in the EIR. When a previous document has sufficiently analyzed a range of reasonable alternative locations and environmental impacts for a project with the same basic purpose, the City should review the previous document and incorporate the previous document by reference. To the extent the circumstances have remained substantially the same with respect to an alternative, the EIR may rely on the previous document to help it assess the feasibility of the potential project alternative.

**The “No Project” Alternative:** The specific alternative of “no project” must be evaluated along with its impacts. The purpose of describing and analyzing the no project alternative analysis is to allow decision makers to compare the impacts of approving the proposed project with the impacts of not approving the proposed project. The no project alternative analysis, therefore may be different from the baseline environmental conditions. The no project alternative will be the same as the baseline only if the no project alternative is identical to the existing environmental setting and the Lead Agency has chosen the existing environmental setting as the baseline.

A discussion of the “no project” alternative should proceed along one of two lines:

- (1) When the project is the revision of an existing land use or regulatory plan, policy or ongoing operation, the “no project” alternative will be the continuation of the existing plan, policy or operation into the future. Typically, this is a situation where other projects initiated under the existing plan will continue while the new plan is developed. Thus, the

- projected impacts of the proposed plan or alternative plans would be compared to the impacts that would occur under the existing plan; or
- (2) If the project is other than a land use or regulatory plan, for example a development project on identifiable property, the “no project” alternative is the circumstance under which the project does not proceed. This discussion would compare the environmental effects of the property remaining in its existing state against environmental effects which would occur if the project is approved. If disapproval of the project would result in predictable actions by others, such as the proposal of some other project, this “no project” consequence should be discussed.

After defining the “no project” alternative, the City should proceed to analyze the impacts of the “no project” alternative by projecting what would reasonably be expected to occur in the foreseeable future if the project were not approved, based on current plans and consistent with available infrastructure and community services. If the “no project” alternative is the environmentally superior alternative, the EIR must also identify another environmentally superior alternative among the remaining alternatives.

**Remote or Speculative Alternatives:** An EIR need not consider an alternative whose effect cannot be reasonably ascertained and whose implementation is remote and speculative.

**t) ANALYSIS OF FUTURE EXPANSION**

An EIR must include an analysis of the environmental effects of future expansion (or other similar future modifications) if there is credible and substantial evidence that:

- (1) The future expansion or action is a reasonably foreseeable consequence of the initial project; and
- (2) The future expansion or action is likely to change the scope or nature of the initial project or its environmental effects.

Absent these two circumstances, future expansion of a project need not be discussed. CEQA does not require speculative discussion of future development which is unspecific or uncertain. However, if future action is not considered now, it must be considered and environmentally evaluated before it is actually implemented.

**u) NOTICE OF COMPLETION OF DEIR; NOTICE OF AVAILABILITY OF DEIR**

**Notice of Completion.** When the DEIR is completed, a Notice of Completion (Form “H”) shall be filed with the State Office of Planning and Research in a printed hard copy or in electronic form on a diskette or by electronic mail (email) transmission. The Notice of Completion shall contain:

- (1) A brief description of the proposed project,
- (2) The location of the proposed project including the proposed project’s latitude and longitude;
- (3) An address where copies of the DEIR are available; and
- (4) The review period during which comments will be received on the DEIR.



The Office of Planning and Research has developed a model form Notice of Completion. Form H follows OPR's model. To ensure that the documents are accepted by OPR staff, this form should be used when documents are transmitted to OPR.

**Notice of Availability.** At the same time the Director causes a Notice of Completion to be sent to the Office of Planning and Research, the Director shall provide public notice of the availability of the DEIR by distributing a Notice of Availability of DEIR (Form "K"). The Notice of Availability shall include at least the following information:

- (a) A brief description of the proposed project and its location;
- (b) The starting and ending dates for the review period during which comments will be received, and whether the review period has been shortened;
- (c) The date, time, and place of any scheduled public meetings or hearings to be held by the City on the proposed project, if the City knows this information when it prepares the Notice;
- (d) A list of the significant environmental effects anticipated as a result of the project;
- (e) The address where copies of the EIR and all documents referenced in the EIR will be available for public review. This location shall be readily accessible to the public during the City's normal working hours; and
- (f) A statement indicating whether the project site is included on any list of hazardous waste facilities, land designated as hazardous waste property, or hazardous waste disposal site, and, if so, the information required in the Hazardous Waste and Substances Statement pursuant to Government Code Section 65962.5.

The Notice of Availability shall be provided to:

- (a) Each Responsible and Trustee Agency;
- (b) Any other federal, state, or local agency which has jurisdiction by law or exercises authority over resources affected by the project, including:
  - (i) Any water supply agency consulted under Guidelines Section 5(n);
  - (ii) Any city or county bordering on the project area;
  - (iii) For a project of statewide, regional, or areawide significance, to any transportation agencies or public agencies which have major local arterials or public transit facilities within five (5) miles of the project site or freeways, highways, or rail transit service within ten (10) miles of the project site which could be affected by the project;
  - (iv) For a subdivision project located within one mile of a facility of the State Water Resources Development System, to the California Department of Water Resources; and
  - (v) For a general plan amendment, a project of statewide, regional, or areawide significance, or a project that relates to a public use

airport, or to certain projects involving military services (See Guidelines Section 7(f)).

- (c) The last known name and address of all organizations and individuals who have previously filed a written request with the City to receive these Notices;
- (d) For certain projects that may impact a low-level flight path, military impact zone, or special use airspace and that meet other criteria described in Guidelines Section 7(f) to the specified military services contact;
- (e) For certain projects that involve the construction or alteration of a facility anticipated to emit hazardous air emissions or handle hazardous substances within one-quarter mile of a school and that meet certain other requirements, to any potentially affected school district;
- (f) For certain waste-burning projects that meet certain requirements, to the owners and occupants of property within one-fourth mile of any parcel on which the project will be located; and
- (g) For a project that establishes or amends a redevelopment plan that contains land in agricultural use, notice and a copy of the DEIR shall be provided to the agricultural and farm agencies and organizations specified in Health and Safety Code Section 33333.3.

Staff may also consult with and obtain comments from any person known to have special expertise or any other person or organization whose comments relative to the DEIR would be desirable.

In addition, public notice shall be given by at least one (1) of the following procedures:

- (a) Publication of the Notice of Completion and/or the Notice of Availability at least one (1) time in a local newspaper of general circulation in the area affected by the proposed project. If more than one area will be affected, the notice shall be published in the newspaper of largest circulation from among the newspapers of general circulation in those areas;
- (b) Posting of the Notice of Completion and/or the Notice of Availability on and off site in the area of the proposed project; or,
- (c) Direct mailing of the Notice of Completion and/or the Notice of Availability to owners and occupants of property contiguous to the project, as identified on the latest equalized assessment roll.

The Notice of Completion and Notice of Availability shall be posted in the office of the Clerk in each county in which the project is located for at least thirty (30) days. If the public review period for the DEIR is longer than thirty (30) days, the City may wish to leave the Notice posted until the public review period for the DEIR has expired.

Copies of the DEIR shall also be made available at the City office for review by members of the general public. The City may require any person obtaining a copy of the DEIR to reimburse the City for the actual cost of its reproduction. Copies of the DEIR should also be furnished to appropriate public library systems.

The City may require requests for copies of these Notices to be renewed annually and may charge a fee for the reasonable cost of providing this service. A project will not be invalidated due to a failure to send a requested Notice provided there has been substantial compliance with these notice provisions. An action shall not be invalidated due to failure to send a requested notice provided the City has complied substantially with these notice provisions.

To increase public awareness, these notices may be posted on the City's web site, accessible through the internet. Such postings are not required but encouraged where convenient and are in addition to the procedures required by the State Guidelines and the Public Resources Code.

#### **v) REVIEW OF EIR BY OTHER PERSONS**

After the DEIR is completed, the Director shall consult with and obtain comments from each Responsible Agency, Trustee Agency, and any other agencies having jurisdiction by law over resources which may be affected by the project, including water agencies consulted pursuant to Guidelines Section 5(n). The City may fulfill its obligation to solicit comments from these agencies by providing them with a Notice of Availability or Notice of Completion and a copy of the DEIR. For state agencies, the City may fulfill its obligation by submitting its documents to the State Clearinghouse for distribution as provided in Guidelines Section 7(w).

Public agencies having jurisdiction by law over a project shall include, but are not necessarily limited to:

- (a) Any city or county bordering the project area;
- (b) Transportation planning agencies and public agencies with transportation facilities located within the project area; and
- (c) The State Department of Water Resources, when a project is located within one mile of a facility of the State Water Resources Development System.

The Director may also solicit comments from any persons or organizations known to have special expertise whose comments relative to the DEIR would be desirable. The Director shall provide the general public with an opportunity to comment on the DEIR.

#### **w) SUBMISSION OF DEIR TO STATE CLEARINGHOUSE**

A DEIR must be submitted to the State Clearinghouse for review by state agencies in the following situations:

- (1) The DEIR is prepared by a Lead Agency which is a state agency;
- (2) A state agency is a Responsible Agency, Trustee Agency, or otherwise has jurisdiction by law over resources potentially affected by the project; or
- (3) The DEIR is for a project identified in State Guidelines Section 15206 as being a project of statewide, regional, or areawide significance.

State Guidelines Section 15206 identifies the following types of projects as being examples of projects of statewide, regional, or areawide significance which require submission to the State Clearinghouse for circulation:

- (4) General plans, elements, or amendments for which an EIR was prepared.
- (5) Projects which have the potential for causing significant environmental effects beyond the city or county where the project would be located, such as:
  - 1. Residential development of more than 500 units.
  - 2. Commercial projects employing more than 1,000 persons or covering more than 500,000 square feet of floor space.
  - 3. Office building projects employing more than 1,000 persons or covering more than 250,000 square feet of floor space.
  - 4. Hotel or motel development of more than 500 rooms.
  - 5. Industrial projects housing more than 1,000 persons, occupying more than 40 acres of land, or covering more than 650,000 square feet of floor area.
- (6) Projects for the cancellation of a Williamson Act contract covering more than 100 acres.
- (7) Projects in one of the following Environmentally Sensitive Areas:
  - (a) Lake Tahoe Basin.
  - (b) Santa Monica Mountains Zone.
  - (c) Sacramento-San Joaquin River Delta.
  - (d) Suisun Marsh.
  - (e) Coastal Zone, as defined by the California Coastal Act.
  - (f) Areas within one-quarter mile of a river designated as wild and scenic.
  - (g) Areas within the jurisdiction of the San Francisco Bay Conservation and Development Commission.
- (8) Projects which would affect sensitive wildlife habitats or the habitats of any rare, threatened, or endangered species.
- (9) Projects which would interfere with water quality standards.
- (10) Projects which would provide housing, jobs, or occupancy for 500 or more people within 10 miles of a nuclear power plant.

A DEIR may be submitted to the State Clearinghouse when a state agency has special expertise with regard to the environmental impacts involved.

When the DEIR will be reviewed through the State review process handled by the State Clearinghouse, a Notice of Completion (Form “H”) should be used as a cover sheet. If the City uses the State Clearinghouse’s online process to submit the Notice of Completion form, the form generated on the Internet site satisfies the State Clearinghouse’s requirements.

A sufficient number of copies of the documents must be sent to the State Clearinghouse for circulation. Staff should contact the State Clearinghouse to find out the correct number of

printed copies required for circulation. Minimally, the City must submit one (1) copy of the Notice of Completion and fifteen (15) copies of the entire document.

The City may submit fifteen (15) hard copies of the entire draft environmental document or fifteen (15) CD-ROMs of the entire document. The document must be on a CD-ROM in a common file format such as Word or Acrobat. In addition, each CD-ROM must be accompanied by fifteen (15) printed copies of the DEIR summary, executive summary, or introduction section. Form "Q" may be used as a cover sheet for document transmittal. The summary allows both the State Clearinghouse and the various agency CEQA coordinators to distribute the documents quickly without the use of a computer.

Submission of the Draft EIR to the State Clearinghouse affects the timing of the public review period.

**x) TIME FOR REVIEW OF DEIR; FAILURE TO COMMENT**

A period of between thirty (30) and sixty (60) days from the filing of the Notice of Completion of the DEIR shall be allowed for review of and comment on the DEIR, except in unusual situations. When a DEIR is submitted to the State Clearinghouse for review by state agencies, the public review period shall be at least forty-five (45) days, unless a shorter period is approved by the State Clearinghouse as discussed below.

If a state agency is a Responsible Agency, or if the DEIR is submitted to the State Clearinghouse, the public review period shall be at least as long as the review period established by the State Clearinghouse. The public review period and the state agency review period may, but are not required to, begin and end at the same time. The state agency review period begins (day one) on the date that the State Clearinghouse distributes the DEIR to state agencies. The State Clearinghouse is required to distribute the DEIR to state agencies within three (3) working days from the date the State Clearinghouse receives the document, as long as the DEIR is complete when submitted to the State Clearinghouse. If the document submitted to the State Clearinghouse is not complete, the State Clearinghouse must notify the Lead Agency. The review period for the public and all other agencies may run concurrently with the state agency review period established by the State Clearinghouse.

A shortened review period may be requested as provided in Guidelines Section 7(y).

A shortened review period is not available for any proposed project of statewide, regional or areawide environmental significance as determined pursuant to State Guidelines Section 15206. Any approval of a shortened review period shall be given prior to, and reflected in, the public notices.

In the event a public agency, group, or person whose comments on a DEIR are solicited fails to comment within the required time period, it shall be presumed that such agency, group, or person has no comment to make, unless the Lead Agency has received a written request for a specific extension of time for review and comment and a statement of reasons for the request.

Planning activities concerning the proposed project, short of formal approval or commitment to any specific course of action, may continue during the period set aside for review and comment on the DEIR.

**y) SHORTENED REVIEW PERIOD**

The Director and/or the City Manager are hereby authorized to request a shortened public review period from the Office of Planning and Research for EIRs submitted to the State Clearinghouse. However, a shortened review period shall not be less than thirty (30) days for a DEIR. Any request for a shortened review period must be made in writing by the City to the Office of Planning and Research. The City has designated the Director and/or the City Manager as the persons authorized to make such requests. See Form “P”.

Whenever the Director and/or City Manager request a shortened public review period, the Director and/or City Manager shall notify the City Council in writing of this request which shall appear on the next legally permissible City Council Agenda as an information item. The City Council may thereafter, either receive and file the report, or rescind the action by directing the Director and/or City Manager to notify the Office of Planning and Research of this rescission.

A shortened review period is not available for any proposed project of statewide, regional or areawide environmental significance as determined pursuant to State Guidelines Section 15206. Any approval of a shortened review period shall be given prior to, and reflected in, the public notices.

**z) PUBLIC HEARING ON DEIR**

CEQA does not require formal public hearings at any stage of the environmental review process for certification of an EIR; public comments may be restricted to written communications. (However, a hearing is required to utilize the limited exemption for Transit Priority Projects as explained in Local Guidelines Section 3(k).) A public hearing on the Draft EIR is not required by CEQA. However, if the City provides a public hearing on its consideration of a project, the City should include the project’s environmental review documents as one of the subjects of the hearing. Notice of the time and place of the hearing shall be given in a timely manner in accordance with any legal requirements applicable to the proposed project. Generally, the requirements of the Ralph M. Brown Act will provide the minimum requirements for the inclusion of CEQA matters on agendas and at hearings. (Gov. Code, § 54950 et seq.) At a minimum, agendas for meetings and hearings before commissions, boards, councils, and other agencies must be posted in a location that is freely accessible to members of the public at least seventy-two (72) hours prior to a regular meeting. The agenda must contain a brief general description of each item to be discussed and the time and location of the meeting. (Gov. Code, § 54954.2.)

**aa) EVALUATION OF AND RESPONSE TO COMMENTS ON DEIR**

The City as Lead Agency shall evaluate any comments on environmental issues received during the public review period for the DEIR. The Director shall cause written responses to be prepared to those comments that raise significant environmental issues. As stated below, the City should also consider evaluating and responding to any comments received after the public

review period. The written responses shall describe the disposition of any significant environmental issues that are raised in the comments. The responses may take the form of a revision of the DEIR, an attachment to the DEIR, or some other oral or written response which is adequate under the circumstances. If the City's position is at variance with specific recommendations or suggestions raised in the comment, the City's response must detail the reasons why such recommendations or suggestions were not accepted. Moreover, the City shall respond to any specific suggestions for project alternatives or mitigation measures for significant impacts, unless such alternatives or mitigation measures are facially infeasible. The response shall contain recommendations, when appropriate, to alter the project as described in the DEIR as a result of an analysis of the comments received.

At least ten (10) days prior to certifying a Final EIR, the City shall provide its proposed written response to any public agency which has made comments on the DEIR during the public review period. The City is not required to respond to comments received after the public review period. However, the City should consider responding to all comments if it will not delay action on the Final EIR, since any comment received before final action on the EIR can form the basis of a legal challenge. A written response that addresses the comment or adequately explains the City's action in light of the comment may assist the City in defending against a legal challenge.

**bb) PREPARATION AND CONTENTS OF FINAL EIR**

Following the receipt of any comments on the DEIR as required herein, such comments shall be evaluated by Staff and a Final EIR shall be prepared.

The Final EIR shall meet all requirements of Guidelines Section 7(o) and shall consist of the DEIR or a revision of the Draft, a section containing either verbatim or in summary the comments and recommendations received through the review and consultation process, a list of persons, organizations and public agencies commenting on the Draft, and a section containing the responses of the City to the significant environmental points raised in the review and consultation process.

**cc) RECIRCULATION WHEN NEW INFORMATION IS ADDED TO EIR**

When significant new information is added to the EIR after notice and consultation but before certification, the City must recirculate the DEIR for another public review period. The Director shall determine whether changes made to an EIR require its recirculation. The term "information" can include changes in the project or environmental setting as well as additional data or other information.

New information is significant only when the EIR is changed in a way that would deprive the public of a meaningful opportunity to comment upon a substantial adverse environmental effect of a project or a feasible way to mitigate or avoid such an effect, including a feasible project alternative, which the project proponents decline to implement. Recirculation is required, for example, when:

- (i) New information added to an EIR discloses:
  - 1. A new significant environmental impact resulting from the project or from a new mitigation measure proposed to be implemented; or
  - 2. A significant increase in the severity of an environmental impact (unless mitigation measures are also adopted that reduce the impact to a level of insignificance); or
  - 3. A feasible project alternative or mitigation measure that clearly would lessen the significant environmental impacts of the project, but which the project proponents decline to adopt; or
- (ii) The DEIR is so fundamentally and basically inadequate and conclusory in nature that meaningful public review and comment were precluded.

Recirculation is not required when the new information added to the EIR merely clarifies or amplifies or makes insignificant modifications in an adequate EIR. If the revision is limited to a few chapters or portions of the EIR, the City as Lead Agency need only recirculate the chapters or portions that have been modified. A decision to not recirculate an EIR must be supported by substantial evidence in the record.

When the City determines to recirculate a DEIR, it shall give Notice of Recirculation (Form “M”) to every agency, person, or organization that commented on the prior DEIR. The Notice of Recirculation must indicate whether new comments must be submitted and whether the City has exercised its discretion to require reviewers to limit their comments to the revised chapters or portions of the recirculated EIR. The City shall also consult again with those persons contacted before certifying the EIR. When the EIR is substantially revised and the entire EIR is recirculated, the City may require that reviewers submit new comments and need not respond to those comments received during the earlier circulation period. In those cases, the City should advise reviewers that, although their previous comments remain part of the administrative record, the final EIR will not provide a written response to those comments, and new comments on the revised EIR must be submitted. The City need only respond to those comments submitted in response to the revised EIR.

When the EIR is revised only in part and the City is recirculating only the revised chapters or portions of the EIR, the City may request that reviewers limit their comments to the revised chapters or portions. The City need only respond to: (1) comments received during the initial circulation period that relate to chapters or portions of the document that were not revised and recirculated, and (2) comments received during the recirculation period that relate to the chapters or portions of the earlier EIR that were revised and recirculated.

When recirculating a revised EIR, either in whole or in part, the City must, in the revised EIR or by an attachment to the revised EIR, summarize the revisions made to the previously circulated DEIR.



**dd) CERTIFICATION OF FINAL EIR**

Following the preparation of the Final EIR, Staff shall review the Final EIR and make a recommendation to the decision making body regarding whether the Final EIR is in order and whether it has been completed in compliance with CEQA, the State Guidelines and the City's Guidelines. The Final EIR and Staff recommendation shall then be presented to the decision making body. The decision making body shall independently review and consider the information contained in the Final EIR and determine whether the Final EIR reflects its independent judgment. Before it approves the project, the decision making body must certify and find that: (1) the Final EIR has been completed in compliance with CEQA, the State Guidelines and the City's Guidelines; (2) the Final EIR was presented to the decision making body and the decision making body reviewed and considered the information contained in the Final EIR before approving the project; and (3) the Final EIR reflects the City's independent judgment and analysis.

**ee) APPEAL OF CERTIFICATION OF EIR**

Except in those cases in which the City Council is the final decision making body on a project, any interested person may appeal the certification or denial of certification of a Final EIR to the City Council. The procedures of Section 2.04.100 et seq. of the Lake Forest Municipal Code shall govern this appeal, except that the time limit for filing the appeal shall be ten (10) days after the adoption or rejection of the Negative Declaration.

**ff) CONSIDERATION OF EIR BEFORE APPROVAL OR DISAPPROVAL OF PROJECT**

The EIR shall be considered and certified by the decision making body before it approves or disapproves the proposed project for which the EIR was prepared. The decision making body may then proceed to consider the proposed project for purposes of approval or disapproval. Separately or in conjunction with its action approving or disapproving the project, the decision making body shall certify that it has reviewed and considered the information contained in the EIR and found the EIR to be legally adequate under CEQA.

**gg) FINDINGS**

The decision making body shall not approve or carry out a project if a completed EIR identifies one or more significant environmental effects of the project unless it makes one or more of the following written findings for each such significant effect, accompanied by a brief explanation of the rationale supporting each finding. For impacts that have been identified as potentially significant, the possible findings are:

- (1) Changes or alterations have been required in, or incorporated into, the project which mitigate or avoid the significant effects on the environment as identified in the Final EIR, such that the impact has been reduced to a less-than-significant level.
- (2) Such changes or alterations are within the responsibility and jurisdiction of another public agency and not the City. Such changes have been, or can and should be, adopted by that other agency.

- (3) Specific economic, legal, social, technological or other considerations, including considerations for the provision of employment opportunities for highly trained workers, make infeasible the mitigation measures or alternatives identified in the Final EIR. The decision making body must make specific written findings stating why it has rejected an alternative to the project as infeasible.

The findings required by this Section shall be supported by substantial evidence in the record. Measures identified and relied on to mitigate environmental impacts identified in the EIR to below a level of significance should be expressly adopted or rejected in the findings. The findings should include a description of the specific reasons for rejecting any mitigation measures or project alternatives identified in the EIR that would reduce the significant impacts of the project. Any mitigation measures that are adopted must be fully enforceable through permit conditions, agreements, or other measures.

If any of the proposed alternatives could avoid or lessen an adverse impact for which no mitigation measures are proposed, the City shall analyze the feasibility of such alternative(s). If the project is to be approved without including such alternative(s), the City shall find that specific economic, legal, social, technological or other considerations, including considerations for the provision of employment opportunities for highly trained workers, make infeasible the alternatives identified in the Final EIR and shall list such considerations before such approval.

The decision making body shall not approve or carry out a project as proposed unless: (1) the project as approved will not have a significant effect on the environment; or (2) its significant environmental effects have been eliminated or substantially lessened (as determined through one or more of the findings indicated above), and any remaining unavoidable significant effects have been found acceptable because of facts and circumstances described in a Statement of Overriding Considerations (see Guidelines Section 7(hh)). Statements in the DEIR or comments on the DEIR are not determinative of whether the project will have significant effects.

When making the findings required by this Section, the City as Lead Agency shall specify the location and custodian of the documents or other material which constitute the record of proceedings upon which it based its decision.

Special procedural findings must be made for projects involving the construction or alteration of a facility within one-quarter mile of a school when: (1) the facility might reasonably be anticipated to emit hazardous air emissions or to handle an extremely hazardous substance or a mixture containing extremely hazardous substances in a quantity equal to or greater than the threshold specified in Health and Safety Code Section 25532(j); and (2) the emissions or substances may pose a health or safety hazard to persons who would attend or would be employed at the school. If the project meets both of those criteria, the City may not certify an EIR or approve a Negative Declaration unless it makes a finding that:

- (1) The City, as Lead Agency, consulted with the affected school district or districts having jurisdiction over the school regarding the potential impact of the project on the school; and

- (2) The school district was given written notification of the project not less than thirty (30) days prior to the proposed certification of the EIR or approval of the Negative Declaration.

Implementation of this Guideline shall be consistent with the definitions and terms utilized in State Guidelines Section 15186.

Additionally, for projects involving the acquisition of a school site or the construction of a secondary or elementary school by a school district, the negative declaration or EIR prepared for the project may not be adopted or certified unless there is sufficient information in the entire record to determine whether the project is within 500 feet of the edge of the closest traffic lane of a freeway or other busy traffic corridor.

If it is determined that the project involves the acquisition of a school site that is within 500 feet of the edge of the closest traffic lane of a freeway, or other busy traffic corridor, the Negative Declaration or EIR may not be adopted or certified unless the school board determines, through a health risk assessment pursuant to Section 44360(b)(2) of the Health and Safety Code and after considering any potential mitigation measures, that the air quality at the proposed project site does not present a significant health risk to pupils.

#### **hh) STATEMENT OF OVERRIDING CONSIDERATIONS**

Before a project that has unmitigated significant adverse environmental effects can be approved, the decisionmaking body must adopt a Statement of Overriding Considerations. If the decisionmaking body finds in the Statement of Overriding Considerations that specific benefits of a proposed project outweigh the unavoidable adverse environmental effects, the adverse environmental effects may be considered “acceptable.”

Accordingly, the Statement of Overriding Considerations allows the decisionmaking body to approve a project despite one or more unmitigated significant environmental impacts identified in the Final EIR. A Statement of Overriding Considerations can be made only if feasible project alternatives or mitigation measures do not exist to reduce the environmental impact(s) to a level of insignificance and the benefits of the project outweigh the adverse environmental effect(s). The feasibility of project alternatives or mitigation measures is determined by whether the project alternative or mitigation measure can be accomplished within a reasonable period of time, taking into account economic, environmental, social, legal and technological factors.

Project benefits which are appropriate to consider in the Statement of Overriding Considerations include the economic, legal, environmental, technological and social value of the project. The City may also consider region-wide or statewide environmental benefits.

Substantial evidence in the entire record must justify the decisionmaking body’s findings and its use of the Statement of Overriding Considerations. If the decisionmaking body makes a Statement of Overriding Considerations, the Statement must be included in the record of the project approval and it should be referenced in the Notice of Determination.

**ii) USING A PREVIOUSLY PREPARED STATEMENT OF OVERRIDING CONSIDERATIONS**

Under certain limited circumstances, Public Resources Code section 21094 allows a Lead Agency to use of a previously adopted Statement of Overriding Considerations prepared for a prior project in approving a later project. To “tier off” of the previous Statement of Overriding Considerations, the Lead Agency must first determine that the significant environmental effects of the later project are not greater than or different from those identified in the EIR prepared in conjunction with the previous Statement of Overriding Considerations.

To rely on a previously adopted Statement of Overriding Considerations, the Lead Agency must:

- (i) Incorporate by reference the prior Statement of Overriding Considerations adopted for the previous project;
- (ii) Determine that the impacts from the later project are not greater than or different from those identified in the previous EIR;
- (iii) Find that the prior Statement of Overriding Considerations was not based on a determination that mitigation measures would be identified and approved in a subsequent environmental review;
- (iv) Determine that the mitigation measures or alternatives found to be infeasible in the prior EIR remain infeasible and incorporate all applicable mitigation measures identified in the prior EIR into the later project; and
- (v) Demonstrate that the prior EIR was certified not more than three (3) years before CEQA findings were made for the approval of the later project.

The Lead Agency should document all of the above findings in its staff report, resolution approving the project or some other document or format that will be part of the administrative record. Additional overriding considerations specific to the later project may also at the Lead Agency’s discretion be included in an additional Statement of Overriding Considerations.

This procedure for tiering will sunset on January 1, 2016 unless it is extended by the California Legislature.

**jj) MITIGATION MONITORING OR REPORTING PROGRAM FOR EIR**

The City may require feasible changes in any or all activities involved in the project in order to substantially lessen or avoid significant effects on the environment, consistent with applicable constitutional requirements such as the “nexus” and “rough proportionality” standards established by case law. (e.g., *Nollan v. California Coastal Commission*, 483 U.S. 825 (1987); *Dolan v. City of Tigard*, 512 U.S. 374 (1994); *Ehrlich v. City of Culver City*, 12 Cal. 4th 854 (1996).)

When making findings regarding an EIR, the City must do all of the following:

- (1) Adopt a reporting or monitoring program to assure that mitigation measures which are required to mitigate or avoid significant effects on the environment will be implemented by the project proponent or other responsible party in a timely manner, in accordance with conditions of project approval;
- (2) Make sure all conditions and mitigation measures are feasible and fully enforceable through permit conditions, agreements, or other measures. Such permit conditions, agreements, and measures must be consistent with applicable constitutional requirements such as the “nexus” and “rough proportionality” standards established by case law; and
- (3) Specify the location and the custodian of the documents which constitute the record of proceedings upon which the City based its decision in the resolution certifying the EIR.

The adequacy of a mitigation monitoring program is determined by the “rule of reason.” This means that a mitigation monitoring program does not need to include every imaginable mitigation measure. It needs only to provide measures that are reasonably feasible and that are necessary to avoid significant impacts on the environment or to reduce the severity of impacts to a less-than-significant level.

There is no requirement that the reporting or monitoring program be circulated for public review; however, the City may choose to circulate it for public comments along with the DEIR. Any mitigation measures required to mitigate or avoid significant effects on the environment shall be adopted and made fully enforceable, such as by being imposed as conditions on the conditional use permit, site plan, area plan, or other discretionary approvals for the project. Alternatively, the City may require the applicant to enter into a written Mitigation Monitoring Compliance Agreement which specifies the obligations and duties relative to mitigation, monitoring, and reporting on said mitigation measures. The reporting or monitoring program shall be designed to assure compliance during the construction and implementation of the project.

The adequacy of a mitigation monitoring program is determined by the “rule of reason.” This means that a mitigation monitoring program does not need to provide every imaginable measure. It needs only to provide measures that are reasonably feasible and that are necessary to avoid significant impacts or to reduce the severity of impacts to a less-than-significant level.

The mitigation monitoring or reporting program shall be designed to assure compliance with the mitigation measures during the implementation and construction of the project. If a Responsible Agency or Trustee Agency has required that certain conditions be incorporated into the project, the City may request that agency to prepare and submit a proposed reporting or monitoring program. The City shall also require that, prior to the close of the public review period for a DEIR, the Responsible or Trustee Agency submit detailed performance objectives for mitigation measures, or refer the City to appropriate, readily available guidelines or reference documents. Any mitigation measures submitted to the City by a Responsible or Trustee Agency

shall be limited to measures that mitigate impacts to resources that are within the Responsible or Trustee Agency's authority.

When a project is of statewide, regional, or areawide significance, any transportation information resulting from the reporting or monitoring program required to be adopted by the City shall be submitted to the regional transportation planning agency where the project is located and to the Department of Transportation. The transportation planning agency and the Department of Transportation are required by law to adopt guidelines for the submittal of these reporting or monitoring programs, so the City may wish to tailor its submittal to such guidelines.

Local agencies have the authority to levy fees sufficient to pay for this program. For all private projects, the City may impose a program to charge the project applicant a fee to cover actual costs of program processing and implementation.

The City may delegate reporting or monitoring responsibilities to an agency or to a private entity which accepts the delegation; however, until mitigation measures have been completed, the City remains responsible for ensuring that implementation of the mitigation measures occurs in accordance with the program.

The City may choose whether its program will monitor mitigation, report on mitigation, or both. "Reporting" is defined as a written compliance review that is presented to the Council or an authorized staff person. A report may be required at various stages during project implementation or upon completion of the mitigation measure. Reporting is suited to projects which have readily measurable or quantitative mitigation measures or which already involve regular review. "Monitoring" is generally an ongoing or periodic process of project oversight. Monitoring is suited to projects with complex mitigation measures which may exceed the expertise of the City to oversee, are expected to be implemented over a period of time, or require careful implementation to assure compliance.

At its discretion, the City may adopt standardized policies and requirements to guide individually adopted programs.

Standardized policies or requirements for monitoring and reporting may describe, but are not limited to:

- (a) The relative responsibilities of various departments within the City for various aspects of the program;
- (b) The responsibilities of the project proponent;
- (c) Guidelines adopted by the City to govern preparation of programs;
- (d) General standards for determining project compliance with the mitigation measures and related conditions of approval;
- (e) Enforcement procedures for noncompliance, including provisions for administrative appeal;
- (f) Process for informing the Council and staff of the relative success of mitigation measures and using those results to improve future mitigation measures;

## **kk) NOTICE OF DETERMINATION**

After final approval of a project for which the City is the Lead Agency, the Director shall cause a Notice of Determination (Form “F”) to be prepared, filed, and posted. The Notice of Determination shall include the following information:

- (1) An identification of the project, including its common name, where possible, and its location;
- (2) A brief description of the project;
- (3) The date when the City approved the project;
- (4) Whether the project in its approved form with mitigation will have a significant effect on the environment;
- (5) A statement that an EIR was prepared and certified pursuant to the provisions of CEQA;
- (6) Whether mitigation measures were made a condition of the approval of the project;
- (7) Whether findings and/or a Statement of Overriding Considerations was adopted for the project; and
- (8) The address where a copy of the EIR (with comments and responses) and the record of project approval may be examined by the general public.

It shall be the responsibility and duty of the applicant to deliver to the Community Development Department a check payable to the County Clerk of the County of Orange in an amount sufficient to cover the fee required by Section 7(nn) below, including the County Clerk’s processing fee, within forty-eight (48) hours of City’s final approval of the project. If within such forty-eight (48) hour period, the applicant has not delivered to the Community Development Department the check required above, the approval for the project shall be void.

The Notice of Determination shall be filed with the County Clerk of the County of Orange within five (5) working days of project approval. (To determine the fees that must be paid with the filing of the Notice of Determination, see Guidelines Section 7(nn).) The County Clerk is required to post the Notice of Determination within twenty-four (24) hours of receipt. The Notice must be posted in the office of the Clerk for a minimum of thirty (30) days. Thereafter, the Clerk shall return the notice to the City with a notation of the period it was posted. The City shall retain the notice for not less than twelve (12) months.

Simultaneously with the filing and posting of the Notice of Determination with the Clerk, Staff shall cause a copy of such Notice to be posted at City Hall. If the project requires discretionary approval from a state agency, the Notice of Determination shall also be filed with the State Office of Planning and Research within five (5) working days of project approval, along with proof of payment of the California Department of Fish and Game fee or a completed form from DFG documenting DFG’s determination that the project will have no effect on fish and wildlife. . (If the City submits the Notice of Determination in person, the City may bring an extra copy to be date stamped by OPR.)

When a request is made for a copy of the Notice of Determination prior to the date on which the City approves the project, the Director shall cause a copy of the Notice to be mailed,

first class postage prepaid, within five (5) days of the City's approval of the project. If such a request is made following the City's approval of the project, then the copy should be mailed in the same manner as soon as possible. The recipients of such documents may be charged a fee reasonably related to the cost of providing the service.

For projects with more than one phase, Staff shall file a Notice of Determination for each phase requiring a discretionary approval. When separate Notices are filed for successive phases of the same overall project, the thirty (30) day statute of limitation to challenge the subsequent phase begins to run when the Notice for the approval of that phase is filed.

The filing and posting of the Notice of Determination with the Clerk, and, if necessary, with the Office of Planning and Research, usually starts a thirty (30) day statute of limitations on court challenges to any actions taken pursuant to CEQA.

To increase public awareness, Notices may be posted on the City's web site, accessible through the internet. Such postings are not required but encouraged where convenient and are in addition to the posting requirements of the CEQA Guidelines and the Public Resources Code.

## **ll) DISPOSITION OF A FINAL EIR**

The City shall file a copy of the Final EIR with the appropriate planning agency of any city or county where significant effects on the environment may occur. The City shall also retain one or more copies of the Final EIR as a public record for a reasonable period of time. Finally, for private projects, the City may require that the project applicant provide a copy of the certified Final EIR to each Responsible Agency.

## **mm) PRIVATE PROJECT COSTS**

For private projects, the person or entity proposing to carry out the project shall be charged a reasonable fee to recover the estimated costs incurred by the City in preparing, circulating, and filing the Draft and Final EIRs, as well as all publication costs incident thereto.

## **nn) FILING FEES FOR PROJECTS WHICH AFFECT WILDLIFE RESOURCES**

At the time a Notice of Determination for an EIR is filed with the County or Counties in which the project is located, a fee of \$2,839.25, or the then applicable fee, shall be paid to the Clerk for projects which will adversely affect fish or wildlife resources. These fees are collected by the Clerk on behalf of DFG.

Only one filing fee is required for each project unless the project is tiered or phased and separate environmental documents are prepared. For projects where Responsible Agencies file separate Notices of Determination, only the Lead Agency is required to pay the fee.

Note: County Clerks are authorized to charge a documentary handling fee for each project in addition to the Fish and Game fees specified above. Refer to the Index in the Staff Summary to help determine the correct total amount of fees applicable to the project.

For private projects, the City should pass these costs on to the project applicant.



No fees are required for projects with “no effect” on fish or wildlife resources or for certain projects undertaken by the DFG and implemented through a contract with a non-profit entity or local government agency. If the City believes that a project will have “no effect” on fish or wildlife resources, it may request a finding of “no effect.” See Local Guidelines Section 6(p) for more information regarding a “no effect” determination.

## **8) TIERING**

### **a) EIRs GENERALLY**

This chapter describes a number of examples of various EIRs tailored to different situations. All of these types of EIRs must meet the applicable requirements of Chapter 7 of these Guidelines.

### **b) TIERING**

“Tiering” refers to using the analysis of general matters contained in a previously certified broader EIR in later EIRs or Negative Declarations prepared for narrower projects. The later EIR or Negative Declaration may incorporate by reference the general discussions from the broader EIR and may concentrate solely on the issues specific to the later project.

An Initial Study shall be prepared for the later project and used to determine whether a previously certified EIR may be used and whether new significant effects should be examined. Tiering does not excuse the City from adequately analyzing reasonably foreseeable significant environmental effects of a project, nor does it justify deferring analysis to a later tier EIR or Negative Declaration. However, the level of detail contained in a first-tier EIR need not be greater than that of the program, plan, policy, or ordinance being analyzed. When the City is using the tiering process in connection with an EIR for a large-scale planning approval, such as a general plan or component thereof (e.g., an area plan, specific plan or community plan), the development of detailed, site-specific information may not be feasible. Such site-specific information can be deferred, in many instances, until such time as the City prepares a future environmental document in connection with a project of a more limited geographical scale, as long as deferral does not prevent adequate identification of significant effects of the planning approval at hand.

When assessing whether there is a new significant cumulative effect for purposes of a subsequent tier environmental document, the City shall consider whether the incremental effects of the project would be considerable when viewed in the context of past, present, and probable future projects.

The City may use only a valid CEQA document as a first-tier document. Accordingly, the City should carefully review the first-tier environmental document to determine whether or not the statute of limitations for challenging the document has run. If the statute of limitations has not expired, the City should use the first-tier document with caution and pay careful attention to the legal status of the document. If the first-tier document is subsequently invalidated, any later environmental document may also be defective.

A Lead Agency may also tier off of a previously prepared Statement of Overriding Considerations if certain conditions are met.

**c) PROJECT EIR**

The most common type of EIR examines the environmental impacts of a specific development project and focuses primarily on the changes in the environment that would result from the development project.

If the EIR for a redevelopment plan is a project EIR, all public and private activities or undertakings pursuant to or in furtherance of the Redevelopment Plan shall constitute a single project, which shall be deemed approved at the time of the adoption of the Redevelopment Plan by the City Council. Once certified, no subsequent EIRs will be needed. (State Guideline Section 15180.)

**d) SUBSEQUENT EIR**

A Subsequent EIR is required when a previous EIR has been prepared and certified or a Negative Declaration has been adopted for a project and at least one of the three following situations occur:

- (1) Substantial changes are proposed in the project which will require major revisions of a previous EIR due to the identification of new significant environmental effects or a substantial increase in the severity of previously identified significant effects;
- (2) Substantial changes occur with respect to the circumstances under which the project is to be undertaken which will require major revisions of a previous EIR due to the identification of new significant environmental effects or a substantial increase in the severity of previously identified significant effects; or
- (3) New information, which was not known and could not have been known with the exercise of reasonable diligence at the time the previous EIR was certified as complete or the Negative Declaration was adopted, becomes available and shows any of the following:
  - (i) the project will have one or more significant effects not discussed in a previous EIR or Negative Declaration;
  - (ii) significant effects previously examined will be substantially more severe than shown in a previous EIR;
  - (iii) mitigation measures or alternatives previously found not to be feasible are in fact feasible and would substantially reduce one or more significant effects, but the project proponent declines to adopt the mitigation measures or alternatives; or
  - (iv) mitigation measures or alternatives which were not considered in a previous EIR would substantially lessen one or more significant effects on the environment, but the project proponent declines to adopt the mitigation measures or alternatives.

A Subsequent EIR must receive the same circulation and review as the previous EIR received.

In instances where the City is evaluating a modification or revision to an existing use permit, the City may consider only those environmental impacts related to the changes between what was allowed under the old permit and what is requested under the new permit. Only if these differential impacts fall within the categories described above may the City require additional environmental review.

When the City is considering approval of a development project which is consistent with a general plan for which an EIR was completed, another EIR is required only if the project causes environmental effects peculiar to the parcel which were not addressed in the prior EIR or substantial new information shows the effects peculiar to the parcel will be more significant than described in the prior EIR.

**e) SUPPLEMENTAL EIR**

The City may choose to prepare a Supplemental EIR, rather than a Subsequent EIR, if any of the conditions described in Guidelines Section 8(d) have occurred but only minor additions or changes would be necessary to make the previous EIR adequately apply to the project in the changed situation. To assist the City in making this determination, the decision making body should request an Initial Study and/or a recommendation by Staff. The Supplemental EIR need contain only the information necessary to make the previous EIR adequate for the project as revised.

A Supplemental EIR shall be given the same kind of notice and public review as is given to a DEIR but may be circulated by itself without recirculating the previous EIR.

When the decision making body decides whether to approve the project, it shall consider the previous EIR as revised by the Supplemental EIR. Findings pursuant shall be made for each significant effect identified in the Supplemental EIR.

**f) SUBSEQUENT NEGATIVE DECLARATION**

When a Negative Declaration has been adopted for a project, or when an EIR has been certified, a subsequent Negative Declaration or EIR must be prepared in the following instances:

- (1) Substantial changes are proposed in the project which will require major revisions of the previous EIR or Negative Declaration due to the involvement of new significant environmental effects or a substantial increase in the severity of previously identified significant effects;
- (2) Substantial changes occur with respect to the circumstances under which the project is undertaken which will require major revisions of the previous EIR or Negative Declaration due to the involvement of new significant environmental effects or a substantial increase in the severity of previously identified significant effects; or
- (3) New information of substantial importance which was not known and could not have been known with the exercise of reasonable diligence at the

time the previous EIR was certified or the Negative Declaration was adopted which shows any of the following:

- (i) The project will have one or more significant effects not discussed in the previous EIR or Negative Declaration;
- (ii) Significant effects previously examined will be substantially more severe than shown in the previous EIR;
- (iii) Mitigation measure(s) or alternative(s) previously found not to be feasible would in fact be feasible and would substantially reduce one or more significant effects of the project, but the project proponents declined to adopt the mitigation measure(s) or alternative(s); or
- (iv) Mitigation measure(s) or alternative(s) which are considerably different from those analyzed in the previous EIR would substantially reduce one or more significant effects on the environment, but the project proponents decline to adopt the mitigation measure(s) or alternative(s).

The City as Lead Agency would then determine whether a Subsequent EIR, Supplemental EIR, Negative Declaration or Addendum would be applicable. Subsequent Negative Declarations must be given the same notice and public review period as other Negative Declarations. The Subsequent Negative Declaration shall state where the previous document is available and can be reviewed.

**g) ADDENDUM TO AN EIR**

The City may choose to prepare an Addendum to an EIR, rather than a Subsequent or Supplemental EIR, only if none of the conditions described in Guidelines Section 8(d) or 8(e) calling for preparation of a Subsequent or Supplemental EIR have occurred and only minor technical changes or additions to the previous environmental document are necessary. Since significant effects on the environment were addressed by findings in the original EIR, no new findings are required in the Addendum.

An Addendum to an EIR need not be circulated for public review but should be included in or attached to the Final EIR. The decision making body shall consider the Addendum with the Final EIR prior to making a decision on a project. A brief explanation of the decision not to prepare a Subsequent EIR or a Supplemental EIR should be included in the Addendum, the Lead Agency's findings on the project, or elsewhere in the record. This explanation must be supported by substantial evidence.

**h) ADDENDUM TO NEGATIVE DECLARATION**

The City may prepare an addendum to an adopted Negative Declaration if only minor technical changes or additions are necessary. The City may also prepare an addendum to an adopted Negative Declaration when none of the conditions calling for a subsequent Negative

Declaration have occurred. An addendum need not be circulated for public review but can be attached to the adopted Negative Declaration. The City shall consider the addendum with the adopted Negative Declaration prior to project approval.

**i) STAGED EIR**

When a large capital project will require a number of discretionary approvals from governmental agencies and one of the approvals will occur more than two years before construction will begin, a Staged EIR may be prepared. The Staged EIR covers the entire project in a general form or manner. A Staged EIR should evaluate a proposal in light of current and contemplated plans and produce an informed estimate of the environmental consequences of an entire project. The particular aspect of the project before the City for approval shall be discussed with a greater degree of specificity.

When a Staged EIR has been prepared, a Supplemental EIR shall be prepared when a later approval is required for the project and the information available at the time of the later approval would permit consideration of additional environmental impacts, mitigation measures, or reasonable alternatives to the project.

**j) PROGRAM EIR**

A Program EIR is an EIR which may be prepared on an integrated series of actions that are related either:

- (1) Geographically;
- (2) As logical parts in a chain of contemplated actions;
- (3) In connection with the issuance of rules, regulations, plans or other general criteria to govern the conduct of a continuing program; or
- (4) As individual projects carried out under the same authorizing statutory or regulatory authority and having generally similar environmental effects which can be mitigated in similar ways.

An advantage of using a Program EIR is that it can “[a]llow the lead agency to consider broad policy alternatives and program wide mitigation measures at an early time when the agency has greater flexibility to deal with basic problems or cumulative impacts.” (State Guidelines, § 15168(b)(4).) A Program EIR is distinct from a project EIR, as a project EIR is prepared for a specific project and must examine in detail site-specific considerations. Program EIR's are commonly used in conjunction with the process of tiering.

Tiering is the coverage of general matters in broader EIRs (such as on general plans or policy statements) with subsequent narrower EIRs. (See State Guidelines Section 15385; see also Local Guidelines Sections 8(b) and 10.56.) Tiering is proper “when it helps a public agency to focus upon the issues ripe for decision at each level of environmental review and in order to exclude duplicative analysis of environmental effects examined in previous environmental impact reports.” (Pub. Res. Code, § 21093(a).) For example, the California Supreme Court recently ruled that a Program EIR is consistent with CEQA if it identifies potential sources of water and analyzes the associated environmental effects in general terms. Rather, identification

of specific sources and environmental effects is required only at the second-tier stage when specific projects are considered. (*In re Bay-Delta etc.* (2008) 43 Cal. 4th 1143.)

Subsequent activities in the program must be examined in light of the Program EIR to determine whether additional environmental documents must be prepared. Additional environmental review documents must be prepared if the proposed later project may arguably cause significant adverse effects on the environment.

**k) MASTER EIR**

A Master EIR is an EIR which may be prepared for:

- (1) A general plan (including elements and amendments);
- (2) A specific plan;
- (3) A project consisting of smaller individual projects to be phased;
- (4) A regulation to be implemented by subsequent projects;
- (5) A project to be carried out pursuant to a development agreement;
- (6) A project pursuant to or furthering a redevelopment plan;
- (7) A state highway or mass transit project subject to multiple reviews or approvals; or
- (8) A regional transportation plan or congestion management plan.

A Master EIR must do both of the following:

- (1) Describe and present sufficient information about anticipated subsequent projects within its scope, including their size, location, intensity, and scheduling; and
- (2) Preliminarily describe potential impacts of anticipated subsequent projects for which insufficient information is available to support a full impact assessment.

The City and Responsible Agencies identified in the Master EIR may use the Master EIR to limit environmental review of subsequent projects. However, the Lead Agency for the subsequent project must prepare an Initial Study to determine whether the subsequent project and its significant environmental effects were included in the Master EIR. If the Lead Agency for the subsequent project finds that the subsequent project will have no additional significant environmental effect and that no new mitigation measures or alternatives may be required, it may prepare written findings to that effect without preparing a new environmental document. When the Lead Agency makes this finding, it must provide public notice of the availability of its proposed finding for public review and comment in the same manner as if it were providing public notice of the availability of a DEIR. (See Sections 15177(d) and 15087 of the State Guidelines and Section 7(u) of these Guidelines.)

A previously certified Master EIR cannot be relied upon to limit review of a subsequent project if:

- (a) A project not identified in the certified Master EIR has been approved and that project may affect the adequacy of the Master EIR for the subsequent project now under consideration; or
- (b) The Master EIR was certified more than five (5) years before the filing of an application for the subsequent project, unless the City reviews the adequacy of the Master EIR and:
  - (i) Finds that, since the Master EIR was certified, no substantial changes have occurred that would cause the subsequent project to have significant environmental impacts, and there is no new information that the subsequent project would have significant environmental impacts; or
  - (ii) Prepares an Initial Study and either certifies a Subsequent or Supplement EIR or adopts a Mitigated Negative Declaration that addresses any substantial changes or new information that would cause the subsequent project to have potentially significant environmental impacts. If the City certifies a subsequent or supplemental EIR it must either be incorporated into the previously certified Master EIR or the City must identify any deletions, additions or other modifications to the previously certified Master EIR in the new document. The City may include a section in the subsequent or supplemental EIR that identifies these changes to the previously certified Master EIR.

When the Lead Agency cannot find that the subsequent project will have no additional significant environmental effect and no new mitigation measures or alternatives will be required, it must prepare either a Mitigated Negative Declaration or an EIR for the subsequent project.

#### **I) SPECIAL REQUIREMENTS FOR REDEVELOPMENT PROJECTS**

An EIR for a redevelopment plan may be a Master EIR, program EIR or project EIR. An EIR for a redevelopment plan must specify whether it is a Master EIR, a program EIR or a project EIR.

If a program EIR is prepared for a redevelopment plan, subsequent activities in the redevelopment program will be subject to review if they would have effects that were not examined in the program EIR. The City should use a written checklist or similar device to document the evaluation of the site and the proposed activity to determine whether the environmental effects of the operation were indeed covered in the program EIR. If the City finds that no new effects could occur, no new mitigation measures would be required or that State Guidelines Sections 15162 and 15163 do not otherwise apply, the City can approve the activity as being within the scope of the project covered by the program EIR, and no new environmental document is required.

If the EIR for a redevelopment plan is a project EIR, all public and private activities or undertakings pursuant to or in furtherance of the Redevelopment Plan shall constitute a single project, which shall be deemed approved at the time of the adoption of the Redevelopment Plan



by the City Council. Once certified, no subsequent EIRs will be needed unless required by State Guidelines sections 15162 or 15163. (State Guideline Section 15180.)

If a Master EIR is prepared for a redevelopment plan, subsequent projects will be subject to review if they would have effects that were not examined in the Master EIR. If the City finds that no new effects could occur or no new mitigation measures would be required, it can approve the activity as being within the scope of the project covered by the Master EIR, and no new environmental document is required.

## 9) CEQA LITIGATION

### a) **TIMELINES**

When a CEQA lawsuit is filed, there are numerous and complex time requirements that must be met. Pressing deadlines begin to run in the days immediately after a CEQA lawsuit has been filed with the Court. For example, within ten (10) business days of the public agency being served with a petition or complaint alleging a violation of CEQA, the City, if it was the Lead Agency, must provide the petitioner with a list of Responsible Agencies and public agencies with jurisdiction by law over any natural resource affected by the project at issue.

There are a variety of other deadlines that apply in CEQA litigation. If a CEQA lawsuit is filed, CEQA counsel should be contacted immediately in order to ensure that all the applicable deadlines are met. CEQA and the Code of Civil Procedure also allow parties to seek sanctions for frivolous CEQA claims and CEQA counsel can help assess the merits of the lawsuit. A “frivolous claim” is defined as “totally and completely without merit.” Under Public resources Code Section 21169.11, a court may impose sanctions of up to \$10,000 on a party making a frivolous claim in the course of an action brought under CEQA on or before December 31, 2015.

### b) **MEDIATION AND SETTLEMENT**

**After Litigation Has Been Filed.** The parties in a CEQA lawsuit are required to meet and discuss settlement. Within twenty (20) days of being served with a CEQA legal challenge, the public agency named in the lawsuit must file a notice with the court setting forth the time and place for a settlement meeting. The meeting must be scheduled and held not later than forty-five (45) days from the date of service of the petition or complaint upon the public agency. Usually the main parties to the litigation, (such as the Lead Agency, the developer of the project if there is one, and those challenging the project and their respective attorneys) meet to discuss settlement, there is no requirement to hire a professional mediator. The settlement meeting is usually subject to a confidentiality agreement.

If the parties in a CEQA lawsuit are in settlement or mediation, that attempt is intended to occur concurrently with the litigation. This means that the respondent public agency will be required to comply with all existing litigation timelines and requirements (for example, preparing and lodging the administrative record discussed below) while simultaneously conducting settlement or mediation, unless the parties enter into an alternate agreement to stay the litigation and that agreement is approved by the court.

**Before Litigation Has Been Filed.** CEQA also allows a potential petitioner to file a request for mediation within five (5) business days of the filing of a Notice of Determination or a Notice of Exemption but before litigation is started. The Lead Agency then has five (5) business days to respond the request. If the Lead Agency does not respond, the request for mediation is deemed denied. If the parties agree to mediation, the statute of limitations for filing the CEQA suit is tolled, or frozen, for the duration of the mediation. If the Lead Agency denies the request for mediation and a lawsuit is filed, the Lead Agency will still be required to comply with the settlement meeting requirement discussed above.

If the City receives a request for mediation, it should contact its legal counsel as soon as possible. Note that this mediation provision only applies to Notices of Determination and Notices of Exemption filed and posted on or after July 1, 2011.

These provisions allowing for mediation before litigation will expire on January 1, 2016, unless they are extended.

**c) ADMINISTRATIVE RECORD**

**Contents of Administrative Record.**

When the Lead Agency's CEQA finding(s) and/or action is challenged in a lawsuit, the Lead Agency must certify the administrative record that formed the basis of the Lead Agency's decision. To the extent the documents listed below exist and are not subject to a privilege that exempts them from disclosure, the following items should be included in the administrative record:

- (i) All project application materials;
- (ii) All staff reports and related documents prepared by the public agency with respect to its compliance with the substantive and procedural requirements of CEQA and with respect to the action on the project;
- (iii) All staff reports and related documents prepared by the public agency and written testimony or documents submitted by any person relevant to any findings or statement of overriding considerations adopted by the public agency pursuant to this division;
- (iv) Any transcript or minutes of the proceedings at which the decision making body of the public agency heard testimony on or considered any environmental document on the project, and any transcript or minutes of proceedings before any advisory body to the respondent public agency that were presented to the decision making body prior to action on the environmental documents or on the project;
- (v) All notices issued by the public agency to comply with CEQA or with any other law governing the processing and approval of the project;
- (vi) All written comments received in response to, or in connection with, environmental documents prepared for the project, including responses to the notice of preparation;

- (vii) All written evidence or correspondence submitted to, or transferred from, the public agency with respect to compliance with CEQA or with respect to the project;
- (viii) Any proposed decisions or findings submitted to the decision making body of the public agency by its staff or the project proponent, project opponents, or other persons, to the extent such documents are subject to public disclosure;
- (ix) The documentation of the final public agency decision, including the final environmental impact report, mitigated negative declaration, or negative declaration, and all documents, in addition to those referenced in paragraph (3) above, cited or relied on in the findings or in a statement of overriding considerations adopted pursuant to CEQA;
- (x) Any other written materials relevant to the respondent public agency's compliance with CEQA or to its decision on the merits of the project, including the initial study; any drafts of any environmental document, or portions thereof, that were released for public review; copies of studies or other documents relied upon in any environmental document prepared for the project and either made available to the public during the public review period or included in the public agency's files on the project; and internal agency communications related to the project or to compliance with CEQA, to the extent such documents are subject to public disclosure; and
- (xi) The full written record before any inferior administrative decision making body whose decision was appealed prior to the filing of the lawsuit

The administrative record can be prepared: (1) by the petitioner, if the petitioner elects to do so, or (2) by the Lead Agency. The petitioner and the Lead Agency can also agree on any alternative method of preparing the record. However, when a third party such as the project applicant prepares or assists with the preparation of the administrative record, the Lead Agency may not be able to recover fees incurred by the third party unless petitioner has agreed to this method of preparation.

### **Organization of Administrative Record.**

The administrative record should be organized as follows:

- (1) Index. A detailed index must be included at the beginning of the administrative record listing each document in the order presented. Each entry must include the document's title, date, brief description, and the volume and page where the document begins;
- (2) The Notice of Determination;

- (3) The resolutions or ordinances adopted by the lead agency approving the project;
- (4) The findings required by Public Resources Code section 21081, including any statement of overriding considerations;
- (5) The Final EIR, including the Draft EIR or a revision of the draft, all other matters included in the Final EIR (such as traffic studies and air quality studies), and other types of environmental documents prepared under CEQA, such as a negative declaration, mitigated negative declaration, or addenda;
- (6) The initial study;
- (7) Staff reports prepared for the administrative bodies providing subordinate approvals or recommendations to the lead agency, in chronological order;
- (8) Transcripts and minutes of hearings, in chronological order; and
- (9) All other documents appropriate for inclusion in the administrative record, in chronological order.

Each section listed above must be separated by tabs or marked with electronic bookmarks. Oversized documents (such as building plans and maps) must be presented in a manner that allows them to be easily unfolded and viewed.

The court may issue an order allowing the documents to be organized in a different manner.

## 10) DEFINITIONS

Whenever the following terms are used in these Guidelines, they shall have the following meaning unless otherwise expressly defined:

**10.01**     **“Applicant”** means a person who proposes to carry out a project which requires a lease, permit, license, certificate, or other entitlement for use, or requires financial aid from one or more public agencies when applying for governmental approval or assistance.

**10.02**     **“Approval”** means a decision by the decision making body or other authorized body or officer of the City which commits the City to a definite course of action with regard to a particular project. With regard to any project to be undertaken directly by the City, approval shall be deemed to occur on the date when the decision making body adopts a motion or resolution determining to proceed with the project, which in no event shall be later than the date of adoption of plans and specifications. As to private projects, approval shall be deemed to have occurred upon the earliest commitment to provide service or the issuance by the City of a discretionary contract, subsidy, or other form of financial assistance, lease, permit, license, certificate, or other entitlement for use of the project. The mere acquisition of land by the City shall not, in and of itself, be deemed to constitute approval of a project.

For purposes of these Guidelines, all environmental documents must be completed as of the time of project approval.

**10.03**     **“Agricultural Employee”** means a person engaged in agriculture, including farming in all its branches, and, among other things, includes: (1) the cultivation and tillage of the soil, (2) dairying, (3) the production, cultivation, growing, and harvesting of any agricultural or horticultural commodities, (4) the raising of livestock, bees, furbearing animals, or poultry, and (5) any practices (including any forestry or lumbering operations) performed by a farmer or on a farm as an incident to or in conjunction with such farming operations, including preparation for market and delivery to storage or to market or to carriers for transportation to market.

This definition does not include any person covered by the National Labor Relations Act as agricultural employees pursuant to Section 2(3) of the Labor Management Relations Act (Section 152(3), Title 29, United States Code) and Section 3(f) of the Fair Labor Standards Act (Section 203(f), Title 29, United States Code). This definition does not apply to employees who perform work to be done at the site of the construction, alteration, painting, or repair of a building, structure, or other work (as these terms have been construed under Section 8(e) of the Labor Management Relations Act, 29 United States Code Section 158(e)) or logging or timber-clearing operations in initial preparation of land for farming, or who does land leveling or only land surveying for any of the above. As used in this definition, “land leveling” shall include only major land moving operations changing the contour of the land, but shall not include annual or seasonal tillage or preparation of land for cultivation. (State Guidelines Section 15191(a).)

- 10.04**     **“Baseline”** refers to the pre-project environmental conditions. By comparing the project’s potential impacts to the baseline, the Lead Agency determines whether the project’s impacts are substantial enough to be significant under the relevant thresholds of significance. Generally, the baseline is the environmental conditions existing on the date the environmental analysis begins, such as the date of the Notice of Preparation is published for an EIR or the date of the Notice of Intent to Adopt a Negative Declaration. However, in certain circumstances, an earlier or later date may provide a more accurate environmental analysis. The City may establish any baseline that is appropriate, including an earlier or later date, as long as the choice of baseline can be supported by substantial evidence.
- 10.05**     **“CEQA”** (the California Environmental Quality Act) means California Public Resources Code Sections 21000, et seq.
- 10.06**     **“Categorical Exemption”** means an exception from the requirement of preparing a Negative Declaration or an EIR, based on a finding by the Secretary of the Resources Agency that the class of projects does not have a significant effect on the environment.
- 10.07**     **“City”** means the City of Lake Forest, California.
- 10.08**     **“Clerk”** means either the “Clerk of the Board” or the “County Clerk” depending upon the county. Please refer to the “Index to Environmental Filing by County” in the Staff Summary to determine which applies.
- 10.09**     **“Community-level Environmental Review”** means either of the following:
- (1)     An EIR certified on any of the following: (A) a general plan; (B) a revision or update to the general plan that includes at least the land and circulation elements; (C) an applicable community plan; (D) an applicable specific plan; (E) a housing element of the general plan, if the environmental impact report analyzed the environmental effects of the density of the proposed project.
  - (2)     A negative declaration or mitigated negative declaration adopted as a subsequent environmental review document, following and based upon an EIR on a general plan, an applicable community plan, or an applicable specific plan, provided that the subsequent environmental review document is allowed by CEQA following a master EIR or a program EIR, or is required pursuant to Section 21166.
- 10.10**     **“Cumulative Impacts”** means two or more individual effects which, when considered together, are considerable or which compound or increase other environmental impacts. The individual effects may be changes resulting from a single project or a number of separate projects, whether past, present or future.

The cumulative impact from several projects is the change in the environment which results from the incremental impact of the project when added to other closely related past, present and reasonably foreseeable future projects. Cumulative impacts can

- result from individually minor but collectively significant projects taking place over a period of time.
- 10.11**     **“Cumulatively Considerable”** means that the incremental effects of an individual project are significant when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects.
- 10.12**     **“Decision making Body”** means the body within the City, i.e., the City Council or Planning Commission, with final approval authority over the particular project.
- 10.13**     **“Developed Open Space”** means land that meets all of the following criteria: (1) land that is publicly owned, or financed in whole or in part by public funds, (2) is generally open to, and available for use by, the public, and (3) is predominantly lacking in structural development other than structures associated with open spaces, including, but not limited to, playgrounds, swimming pools, ball fields, enclosed child play areas, and picnic facilities.
- Developed open space may include land that has been designated for acquisition by a public agency for developed open space but does not include lands acquired by public funds dedicated to the acquisition of land for housing purposes.
- 10.14**     **“Development Project”** means any project undertaken for the purpose of development, including any project involving the issuance of a permit for construction or reconstruction but not a permit to operate. It does not include any ministerial projects proposed to be carried out or approved by public agencies. (Government Code Section 65928.)
- 10.15**     **“Discretionary Project”** means a project for which approval requires the exercise of independent judgment, deliberation, or decision making on the part of the City.
- 10.16**     **“DEIR”** means an EIR containing the information summarized in Guidelines Section 7(o).
- 10.17**     **“Director”** means the Development Services Director, or his or her designee.
- 10.18**     **“Emergency”** means a sudden, unexpected occurrence, involving a clear and imminent danger, demanding immediate action to prevent or mitigate loss of, or damage to, life, health, property, or essential public services. Emergency includes such occurrences as fire, flood, earthquake, landslide or other natural disaster, as well as such occurrences as riot, war, terrorist incident, accident or sabotage.
- 10.19**     **“Endangered, Rare or Threatened Species”** means certain species or subspecies of animals or plants. A species or subspecies of animal or plant is “endangered” when its survival and reproduction in the wild are in immediate jeopardy from one or more cause, including loss of habitat, change in habitat, overexploitation, predation, competition, disease, or other factors. A species or subspecies of animal or plant is “threatened” when it is listed as a threatened species pursuant to the California



Endangered Species Act or the federal Endangered Species Act. A species or subspecies of animal or plant is “rare” when either:

- (1) Although not presently threatened with extinction, the species is existing in such small numbers throughout all or a significant portion of its range that it may become endangered if its environment worsens; or
- (2) The species is likely to become endangered within the foreseeable future throughout all or a significant portion of its range and may be considered “threatened” as that term is used in the Federal Endangered species Act.

For purposes of analyzing impacts to biological resources, a species of animal or plant shall be presumed to be endangered, rare or threatened if it is listed under the California Endangered Species Act or the federal Endangered Species Act.

This definition shall not include any species of the Class Insecta which is a pest whose protection under the provisions of CEQA would present an overwhelming and overriding risk to man as determined by the Director of Food and Agriculture (with regard to economic pests) or the Director of Health Services (with regard to health risks).

- 10.20**     **“Environment”** means the physical conditions which exist in the area which will be affected by a proposed project, including land, air, water, minerals, flora, fauna, ambient noise, and objects of historic or aesthetic significance. The area involved shall be the area in which significant effects would occur either directly or indirectly as a result of the project. The “environment” includes both natural and man-made conditions.
- 10.21**     **“EIR”** (Environmental Impact Report) means a detailed written statement setting forth the environmental effects and considerations pertaining to a project. EIR may mean a Draft or a Final EIR, a Project EIR, a Subsequent EIR, a Supplemental EIR, a Tiered EIR, a Staged EIR, a Program EIR, a Redevelopment EIR, a Master EIR, or a Focused EIR.
- 10.22**     **“Feasible”** means capable of being accomplished in a successful manner within a reasonable period of time, taking into account economic, environmental, social and technological factors.
- 10.23**     **“Final EIR”** means an EIR containing the information contained in the DEIR, comments either verbatim or in summary received in the review process, a list of persons commenting, and the response of the City to the comments received.
- 10.24**     **“Greenhouse Gas”** includes, but is not limited to, carbon dioxide, methane, nitrous oxide, hydrofluorocarbons, perfluorocarbons, and sulfur hexafluoride.

## 10.25 **“Historical Resources”**

Resources listed in, or eligible for listing in, the California Register of Historical Resources shall be considered historical resources.

A resource may be listed in the California Register if it meets any of the following National Register of Historic Places criteria:

- (a) Is associated with events that have made a significant contribution to the broad patterns of California's history and cultural heritage;
- (b) Is associated with the lives of persons important in our past;
- (c) Embodies the distinctive characteristics of a type, period, region, or method of construction, or represents the work of an important creative individual, or possesses high artistic values; or
- (d) Has yielded, or may be likely to yield, information important in prehistory or history.

A resource may also be listed in the California Register if it is identified as significant in an historical resource survey that meets all of the following criteria:

- (a) The survey has been or will be included in the State Historic Resources Inventory;
- (b) The survey and the survey documentation were prepared in accordance with office procedures and requirements; and
- (c) The resource is evaluated and determined by the office to have a significance rating of Category 1 to 5 on DPR Form 523.

Resources included in a local register of historical resources on a list of properties officially designated or recognized as historically significant by a local government pursuant to a local ordinance or resolution as defined in Public Resources Code Section 5020.1(k), or identified as significant in a historical resource survey, (as described above) as specified in Public Resources Code Section 5024.1(g), are presumed to be historically or culturally significant, unless a preponderance of evidence demonstrates that they are not historically or culturally significant.

Any of the following may be considered historically significant: any object, building, structure, site, area, place, record or manuscript which a Lead Agency determines, based upon substantial evidence in light of the whole record, to be historically significant or significant in the architectural, engineering, scientific, economic, agricultural, educational, social, political, military or cultural annals of California.

The Lead Agency is not precluded from determining that a resource is a historical resource, as defined in Public Resources Code Sections 5020.1(j) or 5024.1, even if it is: (a) not listed in, or determined to be eligible for listing in, the California Register of Historical Resources; (b) not included in a local register of historical resources; or (c) not identified in a historical resources survey.

**10.26**     **“Infill Site”** means a site in an urbanized area that meets one of the following criteria: (1) the site has been previously developed for qualified urban uses; or (2) the site has not been developed for qualified urban uses but all immediately adjacent parcels are developed with existing qualified urban uses; or (3) the site has not been developed for qualified urban uses, no parcel within the site has been created with the past 10 years, and the site is situated so that: (A) at least 75 percent of the perimeter of the site is adjacent to parcels that are developed with existing qualified urban uses at the time the lead agency receives an application for an approval; and (B) the remaining 25 percent of the perimeter of the site adjoins parcels that had been previously developed for qualified urban uses.

**10.27**     **“Initial Study”** means a preliminary analysis conducted by the City to determine whether an EIR or a Negative Declaration must be prepared or to identify the significant environmental effects to be analyzed in an EIR.

**10.28**     **“Jurisdiction by Law”** means the authority of any public agency to grant a permit or other entitlement for use, to provide funding for the project in question or to exercise authority over resources which may be affected by the project.

The City will have jurisdiction by law over a project when the City, having primary and exclusive jurisdiction over the area involved, is the site of the project, the area in which the major environmental effects will occur, or the area in which reside those citizens most directly concerned by any such environmental effects.

**10.29**     **“Lead Agency”** means the public agency which has the principal responsibility for preparing environmental documents and for carrying out or approving a project when more than one public agency is involved with the same underlying activity.

**10.30**     **“Low-income Households”** means households of persons and families of very low and low income, which are defined in Sections 50093 and 50105 of the Health and Safety Code as follows:

- (1)     “Persons and families of low income” or “persons of low income” is defined in Section 50093 of the Health & Safety Code to mean persons or families who are eligible for financial assistance specifically provided by a governmental agency for the benefit of occupants of housing financed pursuant to this division.
- (2)     “Very low income households” is defined in Section 50105 of the Health & Safety Code to mean persons and families whose incomes do not exceed the qualifying limits for very low income families as established and amended from time to time pursuant to Section 8 of the United States Housing Act of 1937. “Very low income households” includes extremely low income households, as defined in Section 50106 of the Health & Safety Code.

**10.31**     **“Low-Level Flight Path”** means any flight path for any aircraft owned, maintained, or under the jurisdiction of the United States Department of Defense that flies lower than 1,500 feet above ground level, as indicated in the United States Department of

Defense Flight Information Publication, “Area Planning Military Training Routes: North and South America (AP/1B)” published by the United States National Imagery and Mapping Agency or its successor.

**10.32**     **“Metropolitan Planning Organization”** means a federally-designated agency that provides transportation planning and programming in metropolitan areas. A MPO is designated for each urban area that has been defined in the most recent federal census as having a population of more than 50,000 people. The Census Bureau issued its list of qualifying Urbanized Areas based on population counts from the 2000 decennial Census. There are 18 federally-designated MPOs in California. Non-urbanized (rural) areas do not have a designated MPO.

**10.33**     **“Military Impact Zone”** means any area, including airspace, that meets both of the following criteria:

- (1) Is located within two miles of a military installation, including, but not limited to, any base, military airport, camp, post, station, yard, center, homeport facility for a ship, or any other military activity center that is under the jurisdiction of the United States Department of Defense; and
- (2) Covers greater than 500 acres of unincorporated land, or greater than 100 acres of city incorporated land.

**10.34**     **“Ministerial”** describes a governmental decision involving little or no personal judgment by the public official as to the wisdom or manner of carrying out the project. The public official merely applies the law to the facts as presented but uses no special discretion or standards or objective measurements, and the public official cannot use personal, subjective judgment in deciding whether or how the project should be carried out. Common examples of ministerial permits include automobile registrations, dog licenses, and marriage licenses. A building permit is ministerial if the ordinance requiring the permit limits the public official to determining whether the zoning allows the structure to be built in the requested locations, the structure would meet the strength requirements in the Uniform Building Code, and the applicant has paid his fee. (Public Resources Code Section 21080(b)(1).)

**10.35**     **“Mitigated Negative Declaration”** means a Negative Declaration prepared for a Project when the Initial Study has identified potentially significant effects on the environment, but: (1) revisions in the project plans or proposals made, or agreed to, by the applicant before the proposed Negative Declaration and Initial Study are released for public review would avoid the effects or mitigate the effects to a point where clearly no significant effect on the environment would occur, and (2) there is no substantial evidence in light of the whole record before the public agency that the project, as revised, may have a significant effect on the environment.

**10.36**     **“Mitigation”** means avoiding the environmental impact altogether by not taking a certain action or parts of an action, minimizing impacts by limiting the degree or magnitude of the action and its implementation, rectifying the impact by repairing,

rehabilitating or restoring the impacted environment, reducing or eliminating the impact over time by preservation and maintenance operations during the life of the action, or compensating for the impact by replacing or providing substitute resources or environments.

- 10.37**     **“Negative Declaration”** means a written statement by the City briefly describing the reasons that a proposed project, not exempt from CEQA, will not have a significant effect on the environment and, therefore, does not require the preparation of an EIR.
- 10.38**     **“Notice of Completion”** means a brief report filed with the Office of Planning and Research by the City when it is the Lead Agency as soon as it has completed a DEIR and is prepared to send out copies for review.
- 10.39**     **“Notice of Determination”** means a brief notice to be filed by the City when it approves or determines to carry out a project which is subject to the requirements of CEQA.
- 10.40**     **“Notice of Exemption”** means a brief notice which may be filed by the City when it has approved or determined to carry out a project, and it has determined that the project is exempt from the requirements of CEQA. Such a notice may also be filed by an applicant where such a determination has been made by a public agency which must approve the project.
- 10.41**     **“Notice of Preparation”** means a brief notice sent by a Lead Agency to notify the Responsible Agencies, Trustee Agencies, the Office of Planning and Research, and involved federal agencies that the Lead Agency plans to prepare an EIR for a project. The purpose of this notice is to solicit guidance from those agencies as to the scope and content of the environmental information to be included in the EIR. Public agencies are free to develop their own formats for this notice.
- 10.42**     **“Person”** includes any person, firm, association, organization, partnership, business, trust, corporation, company, city, county, city and county, town, the state, and any of the agencies which may be political subdivisions of such entities, and, to the extent permitted by federal law, the United States, or any of its agencies or political subdivisions.
- 10.43**     **“Private Project”** means a project which will be carried out by a person other than a governmental agency, but which will need a discretionary approval from the City. Private projects will normally be those listed in subsections (2) and (3) of Guidelines Section 10.44.
- 10.44**     **“Project”** means the whole of an action or activity which may cause either a direct physical change in the environment, or a reasonably foreseeable indirect change in the environment, and is any of the following:
- (1)     A discretionary activity directly undertaken by the City including but not limited to public works construction and related activities, clearing or grading of land, or improvements to existing public structures.

- (2) A discretionary activity which involves a public agency's issuance to a person of a lease, permit, license, certificate, or other entitlement for use, or which is supported, in whole or in part, through contracts, grants, subsidies, loans or other forms of assistance by the City.
- (3) A discretionary project proposed to be carried out or approved by public agencies, including but not limited to the enactment and amendment of local General Plans or elements thereof, the enactment of zoning ordinances, the issuance of zoning variances, the issuance of conditional use permits and the approval of tentative subdivision maps.

The presence of any real degree of control over the manner in which a project is completed makes it a discretionary project.

The term "project" refers to the activity which is being approved and which may be subject to several discretionary approvals by governmental agencies. The term "project" does not mean each separate governmental approval.

**10.45** **"Project-Specific Effects"** means all the direct or indirect environmental effects of a project other than cumulative effects and growth-inducing effects. (Public Resources Code Section 21065.3; State Guidelines Section 15191(j).)

**10.46** **"Public Water System"** means a system for the provision of piped water to the public for human consumption that has 3000 or more service connections. A public water system includes all of the following: (A) Any collection, treatment, storage, and distribution facility under control of the operator of the system which is used primarily in connection with the system; (B) Any collection or pretreatment storage facility not under the control of the operator that is used primarily in connection with the system; (C) Any person who treats water on behalf of one or more public water systems for the purpose of rendering it safe for human consumption. (State Guideline Section 15155.)

**10.47** **"Residential"** means a use consisting of either of the following: (1) Residential units only. (2) Residential units and primarily neighborhood-serving goods, services, or retail uses that do not exceed 15 percent of the total floor area of the project.

**10.48** **"Responsible Agency"** means a public agency which proposes to carry out or approve a project for which a Lead Agency has prepared the environmental documents. For the purposes of CEQA, the term "Responsible Agency" includes all federal, state, regional and local public agencies other than the Lead Agency which have discretionary approval power over the project.

**10.49** **"Significant Effect"** means a substantial, or potentially substantial, adverse change in any of the physical conditions within the area affected by the activity including land, air, water, minerals, flora, fauna, ambient noise, and objects of historic or aesthetic significance. A social or economic change related to a physical change may be considered in determining whether the physical change is significant.

**10.50**      **“Special Use Airspace”** means the land area underlying the airspace that is designated for training, research, development, or evaluation for a military service, as that land area is established by the United States Department of Defense Flight Information Publication, “Area Planning: Special Use Airspace: North and South America (AP/1A)” published by the United States National Imagery and Mapping Agency or its successor.

**10.51**      **“Staff”** means the City Manager, the Development Services Director, or his or her designee.

**10.52**      **“Standard”** means a standard of general application that is all of the following:

- (1)      A quantitative, qualitative or performance requirement found in a statute, ordinance, resolution, rule, regulation, order, or other standard of general application;
- (2)      Adopted for the purpose of environmental protection;
- (3)      Adopted by a public agency through a public review process;
- (4)      Governs the same environmental effect which the change in the environment is impacting; and
- (5)      Governs the jurisdiction where the project is located.

The definition of “standard” includes any thresholds of significance adopted by the City which meet the requirements of this Section.

If there is a conflict between standards, the City shall determine which standard is appropriate based upon substantial evidence in light of the whole record.

**10.53**      **“State Guidelines” or “State CEQA Guidelines”** means the Guidelines for Implementation of the California Environmental Quality Act as adopted by the Secretary of the California Resources Agency as they now exist or hereafter may be amended. (California Administrative Code, Title 14, Sections 15000, et seq.)

**10.54**      **“Substantial Evidence”** means reliable information on which a fair argument can be based to support an inference or conclusion, even though another conclusion could be drawn from that information. “Substantial evidence” includes facts, reasonable assumptions predicated upon facts, and expert opinion supported by facts. “Substantial evidence” does not include argument, speculation, unsubstantiated opinion or narrative, evidence which is clearly inaccurate or erroneous, or evidence of social or economic impacts which do not contribute to, or are not caused by, physical impacts on the environment.

**10.55**      **“Sustainable Communities Strategy”** is an element of a Regional Transportation Plan, which must be adopted by the metropolitan planning organization for the region. (See Local Guidelines Section 10.32.) The Sustainable Communities

Strategy is an integrated land use and transportation plan intended to reduce greenhouse gases. The Sustainable Communities Strategy includes various components such as: consideration of existing densities and uses within the region, identification of areas within the region that can accommodate an eight-year projection of the region's housing needs, development of projections for growth in the region, identification of existing transportation networks, and preparation of a forecast for development pattern for the region that can be integrated with transportation networks.

**10.56**     **“Tiering”** means the coverage of general matters in broader EIRs (such as on general plans or policy statements) with subsequent narrower EIRs or ultimately site-specific EIRs incorporating by reference the general discussions and concentrating solely on the issues specific to the EIR subsequently prepared. Tiering is appropriate when the sequence of EIRs is:

- (a)     From a general plan, policy, or program EIR to a program, plan, or policy EIR of lesser scope or to a site-specific EIR;
- (b)     From an EIR on a specific action at an early stage to a subsequent EIR or a supplement to an EIR at a later stage. Tiering in such cases is appropriate when it helps the Lead Agency to focus on the issues which are ripe for decision and exclude from consideration issues already decided or not yet ripe.

(Public Resources Code Sections 21003, 21061 and 21100.)

**10.57**     **“Transit Priority Project”** means a mixed use project that is consistent with the general use designation, density, building intensity, and applicable policies specified for the project area in either a sustainable communities strategy or an alternative planning strategy for which for which the California Air Resources Board has accepted a metropolitan planning organization's determination that the sustainable communities strategy or the alternative planning strategy would, if implemented, achieve the greenhouse gas emission reduction targets. Such a project may be exempt from CEQA if a detailed laundry list of requirements is met. To qualify for the exemption, the Transit Priority Project must:

- (1)     contain at least 50 percent residential use based on total building square footage
- (2)     if the project contains between 26 percent and 50 percent non-residential uses, the floor-to-area ratio (FAR) must be at least 0.75;
- (3)     have a minimum net density of 20 dwelling units per acre;
- (4)     be located within a half mile of a major transit stop or high-quality transit corridor included in a regional transportation plan; and
- (5)     meet all the requirements of Public Resources Code Section 21155.1.



**10.58**      **“Transportation Facilities”** includes major local arterials and public transit within five (5) miles of the project site and freeways, highways, overpasses, on-ramps, off-ramps, and rail transit service within ten (10) miles of the project site.

**10.59**      **“Trustee Agency”** means a State agency having jurisdiction by law over natural resources affected by a project which are held in trust for the people of the State of California. Trustee Agencies may include, but are not limited to, the following:

- (a)      The California Department of Fish and Game (“DFG”) with regard to the fish and wildlife of the state, designated rare or endangered native plants, and game refuges, ecological reserves, and other areas administered by DFG.
- (b)      The State Lands Commission with regard to state owned “sovereign” lands such as the beds of navigable waters and state school lands.
- (c)      The State Department of Parks and Recreation with regard to units of the State Park System.
- (d)      The University of California with regard to sites within the Natural Land and Water Reserve System.
- (e)      The State Water Resources Control Board with respect to surface waters.

**10.60**      **“Urbanized Area”** means either of the following: (1) an incorporated city that either by itself or in combination with two contiguous incorporated cities has a population of at least 100,000 persons; or (2) an unincorporated area that meets the requirements set forth in (A) and (B) below.

- (A)      The unincorporated area must meet one of the following location or density requirements:
  - (1)      the unincorporated area must be:
    - (i)      completely surrounded by one or more incorporated cities,
    - (ii)     have a population level of at least 100,000 persons either by itself or in combination with the surrounding incorporated city or cities, and
    - (iii)    have a population density that at least equals the population density of the surrounding city or cities; or
  - (2)      the unincorporated area must be located within an urban growth boundary and have an existing residential population of at least 5,000 persons per square mile. For purposes of this subparagraph, an “urban growth boundary” means a provision of a locally adopted general plan that allows urban uses on one side of the boundary and prohibits urban uses on the other side.

- (B) The board of supervisors with jurisdiction over the unincorporated area must have taken the following steps:
- (1) The board has prepared a draft document by which the board would find that the general plan, zoning ordinance, and related policies and programs applicable to the unincorporated area are consistent with principles that:
    - (i) encourage compact development in a manner that promotes efficient transportation systems, economic growth, affordable housing, energy efficiency, and an appropriate balance of jobs and housing, and
    - (ii) protects the environment, open space, and agricultural areas.
  - (2) The board has submitted the draft document to OPR and allowed OPR thirty days to submit comments on the draft findings to the board.
  - (3) No earlier than thirty days after submitting the draft document to OPR, the board has adopted a final finding in substantial conformity with the draft finding described in the draft document referenced above in (B)(1).

**10.61** **“Water Acquisition Plans”** means any plans for acquiring additional water supplies prepared by the public water system or a city or county lead agency pursuant to subdivision (a) of section 10911 of the Water Code.

**10.62** **“Water Assessment” or “Water Supply Assessment”** means the water supply assessment that must be prepared by the governing body of a public water system, or the City, pursuant to and in compliance with sections 10910 to 10915 of the Water Code, and that includes, without limitation, the elements of the assessment required to comply with subdivisions (d), (e), (f), and (g) of section 10910 of the Water Code.

**10.63** **“Water Demand Project”** means any one of the following:

1. A residential development of more than 500 dwelling units;
2. A shopping center or business establishment employing more than 1,000 persons or having more than 500,000 square feet of floor space;
3. A commercial office building employing more than 1,000 persons or having more than 250,000 square feet of floor space;
4. A hotel or motel, or both, having more than 500 rooms;
5. An industrial, manufacturing, or processing plant, or industrial park planned to house more than 1,000 persons, occupying more than 40 acres of land, or having more than 650,000 square feet of floor area;
6. A mixed-use project that includes one or more of the projects specified in subdivisions (A); (B), (C), (D), (E), and (G);

7. A project that would demand an amount of water equivalent to, or greater than, the amount of water; required by a 500 dwelling unit project;
8. For public water systems with fewer than 5,000 service connections, a project that meets the following criteria:
  - a. A proposed residential, business, commercial, hotel or motel, or industrial development that would account for an increase of 10 percent or more in the number of a public water system's existing service connections; or
  - b. A mixed-use project that would demand an amount of water equivalent to, or greater than, the amount of water required by residential development that would represent an increase of 10 percent or more in the number of the public water system's existing service connections. (State Guideline Section 15155.)

**10.64**     **“Wetlands”** has the same meaning as that term is construed in the regulations issued by the United States Army Corps of Engineers pursuant to the Clean Water Act. Thus, “wetlands” means areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, bogs, and similar areas. (Public Resources Code Section 21159.21(d), incorporating Title 33, Code of Federal Regulations, Section 328.3.)

**10.65**     **“Wildlife Habitat”** means the ecological communities upon which wild animals, birds, plants, fish, amphibians, and invertebrates depend for their conservation and protection. (Public Resources Code Section 21159.21.)

**10.66**     **“Zoning Approval”** means any enactment, amendment, or appeal of a zoning ordinance; granting of a conditional use permit or variance; or any other form of land use, subdivision, tract, or development approval required from the city or county having jurisdiction to permit the particular use of the property.

**11) FORMS**

## 12) COMMON ACRONYMS

- A.** \*\*\*\*\*
- ADEIR – Administrative Draft Environmental Impact Report  
AQMD – Air Quality Management District  
AQMP – Air Quality Management Plan  
AR – Administrative Record  
ARB – Air Resources Board
- B.** \*\*\*\*\*
- BMP – Best Management Practices  
BO – Biological Opinion
- C.** \*\*\*\*\*
- Cal EPA – California Environmental Protection Agency  
CAP – Climate Action Plan  
CCAA – California Clean Air Act  
CCR – California Code of Regulations (Title 14 Sections 15000 et seq  
CE – Categorical Exclusion (NEPA)  
CESA – California Endangered Species Act  
CEQA – California Environmental Quality Act  
CFR – Code of Federal Regulations  
CMP – Congestion Management Plan  
CRWQCB – California Regional Water Quality Control Board
- D.** \*\*\*\*\*
- DEIR – Draft Environmental Impact Report  
DFG – Department of Fish and Game
- E.** \*\*\*\*\*
- EA – Environmental Assessment (NEPA term)  
EIR – Environmental Impact Report  
EIS – Environmental Impact Statement (NEPA term)  
EPA – Environmental Protection Agency  
ESA – Endangered Species Act; Environmental Site Assessment
- F.** \*\*\*\*\*
- FCAA – Federal Clean Air Act  
FEIR – Final Environmental Impact Report  
FOIA – Freedom of Information Act (Federal)  
FONSI – Finding of No Significant Impact (NEPA term)  
FWS – Fish and Wildlife Service

- G.** \*\*\*\*\*  
GHG – Greenhouse Gas  
GW – Ground Water
- H.** \*\*\*\*\*  
HH&E – Human Health and Environment  
HRA – Health Risk Assessment  
HS – Hazardous Substance
- I.** \*\*\*\*\*  
IS – Initial Study
- J.** \*\*\*\*\*
- K.** \*\*\*\*\*
- L.** \*\*\*\*\*  
LADD – Lifetime Average Daily Dose; Lowest Acceptable Daily Dose  
LEA – Local Enforcement Agency  
LESA – Land Evaluation and Site Assessment  
LUFT – Leaking Underground Fuel Tank  
LUST – Leaking Underground Storage Tanks
- M.** \*\*\*\*\*  
MEIR – Master Environmental Impact Report  
MMRP – Mitigation Monitoring and Reporting Plan  
MPO – Metropolitan Planning Organization  
MND – Mitigated Negative Declaration
- N.** \*\*\*\*\*  
ND – Negative Declaration  
NEPA – National Environmental Policy Act  
NOA – Notice of Availability  
NOC – Notice of Completion  
NOD – Notice of Determination  
NOE – Notice of Exemption  
NOI – Notice of Intent  
NOP – Notice of Preparation  
NOV – Notice of Violation
- O.** \*\*\*\*\*  
OPR – Office of Planning and Research

**P.** \*\*\*\*\*

PEIR – Program Environmental Impact Report  
PM – Particulate Matter  
PRA – Public Records Act  
PSA – Permit Streamlining Act

**Q.** \*\*\*\*\*

**R.** \*\*\*\*\*

RCRA – Resource Conservation and Recovery Act (1976) Governs definition, handling,  
and disposal of hazardous waste

**S.** \*\*\*\*\*

SCH – State Clearinghouse  
SEIR – Supplemental or Subsequent Environmental Impact Report  
SMARA – Surface Mining and Reclamation Act  
SWMP – Stormwater Monitoring Program  
SWPPP – Stormwater Pollution Prevention Program

**T.** \*\*\*\*\*

TCM – Transportation Control Measure  
TCP – Transportation Control Plan  
TDS – Total Dissolved Solids  
TMP – Transportation Management Plan  
Title V – refers to Title V of the Clean Air Act related to ambient air quality provisions  
TLV – Threshold Limit Value

**U.** \*\*\*\*\*

UBC – Uniform Building Code  
UFC – Uniform Fire Code  
UGST – Underground Storage Tank  
USDW – Underground Source of Drinking Water  
UWMP – Urban Water Management Plan

**V.** \*\*\*\*\*

VOC – Volatile Organic Compounds (Health & Safety Code, Section 25123.6.)  
VOS – Vehicle Operating Survey

**W.** \*\*\*\*\*

WQS – Water Quality Standard  
WSA – Water Supply Assessment  
WTP – Water Treatment Plant  
WWTP – Wastewater Treatment Plan

**X.** \*\*\*\*\*

**Y.** \*\*\*\*\*

**Z.** \*\*\*\*\*